

State of Alaska
FY2017 Governor's Operating Budget

Department of Transportation/Public Facilities
Whittier Access and Tunnel
Component Budget Summary

Component: Whittier Access and Tunnel

Contribution to Department's Mission

Maintain and operate the Whittier Tunnel in a manner that allows for the safe and efficient transportation of passengers and freight between Anchorage and Whittier, including coordination with the Alaska Railroad Corporation (ARRC) to provide for freight and passenger trains.

Core Services

- Operate the tunnel through a contractor on an 18-hour per day summer schedule and a 16-hour per day winter schedule.
- Collect tunnel tolls and account for all revenues that support tunnel operations.
- Maintain staging areas and access roads to and from tunnel during winter snow events.
- Coordinate with the ARRC regarding scheduling and use of the tunnel.

Major Component Accomplishments in 2015

- Continued to provide service to a larger class of cruise ship.
- Replaced expiring fire safety equipment.
- Accommodated a total of 231,384 vehicle trips through the Whittier Tunnel.
- Made improvements to the radio system by replacing older equipment.
- Issued a new maintenance and operations contract.

Key Component Challenges

- Accommodating the variable schedules of tourist and ferry traffic while maintaining scheduled openings for the existing users.
- Installing and updating new systems that will assist the tunnel operator in monitoring traffic to better plan and manage traffic flows.
- Maintaining and replacing aging components.
- Accommodating additional freight traffic and highway traffic as demand grows.
- Coordinating access by the ARRC and various contractors to perform work inside the tunnel while maintaining scheduled vehicle access.

Significant Changes in Results to be Delivered in FY2017

Results Based Budgeting will be used to develop performance measures that quantify outcomes (not activities) as well as determine priorities.

Statutory and Regulatory Authority

AS 19.05.010 Highways and Ferries AAC 38.020.
AS 37.05.146(c)(32) & (b)(3) Definition of Program Receipts & Non-General Fund Program Receipts
AAC 14 Public Works
23 CFR Highways

Contact Information

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Whittier Access and Tunnel Personal Services Information				
Authorized Positions			Personal Services Costs	
	<u>FY2016</u> <u>Management</u> <u>Plan</u>	<u>FY2017</u> <u>Governor</u>		
Full-time	1	1	Annual Salaries	94,942
Part-time	0	0	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	51,733
			<i>Less 0.00% Vacancy Factor</i>	(0)
			Lump Sum Premium Pay	0
Totals	1	1	Total Personal Services	146,675

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Facilities Manager I	1	0	0	0	1
Totals	1	0	0	0	1

Component Detail All Funds
Department of Transportation/Public Facilities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Non-Formula Component

	FY2015 Actuals	FY2016 Conference Committee	FY2016 Authorized	FY2016 Management Plan	FY2017 Governor	FY2016 Management Plan vs FY2017 Governor	
71000 Personal Services	139.7	148.0	144.9	144.9	146.7	1.8	1.2%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	4,233.0	4,337.8	4,337.8	4,337.8	5,837.8	1,500.0	34.6%
74000 Commodities	47.5	70.0	70.0	70.0	68.2	-1.8	-2.6%
75000 Capital Outlay	316.3	207.5	207.5	207.5	207.5	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	4,736.5	4,763.3	4,760.2	4,760.2	6,260.2	1,500.0	31.5%
Fund Sources:							
1004Gen Fund (UGF)	403.6	3.1	0.0	0.0	0.0	0.0	0.0%
1061CIP Rcpts (Other)	2,605.7	2,831.8	2,831.8	2,831.8	4,331.8	1,500.0	53.0%
1214WhitTunnel (Other)	1,727.2	1,928.4	1,928.4	1,928.4	1,928.4	0.0	0.0%
Unrestricted General (UGF)	403.6	3.1	0.0	0.0	0.0	0.0	0.0%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	4,332.9	4,760.2	4,760.2	4,760.2	6,260.2	1,500.0	31.5%
Federal Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Positions:							
Permanent Full Time	1	1	1	1	1	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Transportation/Public Facilities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2016 Conference Committee To FY2016 Authorized *****												
FY2016 Conference Committee												
ConfCom		4,763.3	148.0	0.0	4,337.8	70.0	207.5	0.0	0.0	1	0	0
1004 Gen Fund		3.1										
1061 CIP Rcpts		2,831.8										
1214 WhitTunnel		1,928.4										
Reverse Over Appropriation of FY2016 Cost of Living Adjustment												
Unalloc		-3.1	-3.1	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		-3.1										
Reverse Over Appropriation of FY2016 Cost of Living Adjustment												
Subtotal		4,760.2	144.9	0.0	4,337.8	70.0	207.5	0.0	0.0	1	0	0
***** Changes From FY2016 Management Plan To FY2017 Governor *****												
Reverse to Correct for Negative Fund Source												
SalAdj		3.1	3.1	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		3.1										
Restore UGF FY2016 Salary Increases because cuts taken in other expenditure lines.												
Reverse FY2016 One-Time Unrestricted General Fund Salary Adjustments in FY2017 Budget Request												
SalAdj		-3.1	-3.1	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		-3.1										
Cost of living adjustment for certain bargaining units: \$3.1												
Year three cost of living adjustment for Alaska State Employees Association/General Government Unit - 2.5%: \$3.1												
Align Authority to Comply with Vacancy Factor Guidelines												
LIT		0.0	1.8	0.0	0.0	-1.8	0.0	0.0	0.0	0	0	0
Transfer authority from the services line to the personal services line to bring personal services within vacancy factor guidelines. Whittier Access and Tunnel is a small component with only one employee and carries a 0% vacancy factor.												
Authority is available from the commodities line due to conservative spending related to repair and maintenance supplies.												
Tunnel Maintenance and Operations Contract Adjustment												
Inc		1,500.0	0.0	0.0	1,500.0	0.0	0.0	0.0	0.0	0	0	0
1061 CIP Rcpts		1,500.0										

Change Record Detail - Multiple Scenarios with Descriptions
Department of Transportation/Public Facilities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
<p>The 2016 – 2020 (five year award) contract for the maintenance and operation of the Whittier Tunnel was awarded with a \$1.5 million dollar increase. The current capital improvement project (CIP) receipt authority for this contract is \$2.6 million. The Whittier Access and Tunnel component requests an additional \$1.5 million in CIP Receipt authority to accommodate the increased cost for the new maintenance and operations contract.</p>												
	Totals	6,260.2	146.7	0.0	5,837.8	68.2	207.5	0.0	0.0	1	0	0

Personal Services Expenditure Detail
Department of Transportation/Public Facilities

Scenario: FY2017 Governor (12995)
Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	UGF Amount
25-0783	Facilities Manager I	FT	A	GP	Anchorage	200	20M / N	12.0		94,942	0	0	51,733	146,675	0
													Total Salary Costs:	94,942	
													Total COLA:	0	
													Total Premium Pay:	0	
													Total Benefits:	51,733	
													Total Pre-Vacancy:	146,675	
													Minus Vacancy Adjustment of 0.00%:	(0)	
													Total Post-Vacancy:	146,675	
													Plus Lump Sum Premium Pay:	0	
													Personal Services Line 100:	146,675	
		Total Component Months:	12.0												

PCN Funding Sources:	Pre-Vacancy	Post-Vacancy	Percent
1061 Capital Improvement Project Receipts	146,675	146,675	100.00%
Total PCN Funding:	146,675	146,675	100.00%

Note: If a position is split, an asterisk (*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (**) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

Line Item Detail
Department of Transportation/Public Facilities
Services

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Line Number	Line Name		FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
73000	Services		4,233.0	4,337.8	5,837.8
Expenditure Account			FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
73000 Services Detail Totals			4,233.0	4,337.8	5,837.8
73050	Financial Services	Loan and other service fees such as bank charge fees and credit card merchant processing fees (\$17.0). Required insurance for the tunnel (\$40.0). FY2014/FY2015: Per Risk Mgmt - insurance policy is no longer required.	15.9	17.0	17.0
73150	Information Technlgy	Software licensing - Microsoft Enterprise Agreement.	0.2	0.2	0.2
73156	Telecommunication	Communications: the cost of phone, fax, cellular phone, toll costs and other dedicated line costs.	4.0	4.3	4.3
73169	Federal Indirect Rate Allocation	A portion of Whittier Access and Tunnel operational costs are paid for by a federally-reimbursed capital project. Capital projects are assessed an indirect cost allocation plan (ICAP) fee.	118.4	119.0	119.0
73225	Delivery Services	Freight and Courier charges.	0.5	0.0	0.0
73525	Utilities	Electricity and natural gas for the tunnel manager's office.	5.1	6.3	6.3
73660	Other Repairs/Maint	Alaska Railroad miscellaneous repairs and service outside the railroad agreement.	0.8	0.0	0.0
73750	Other Services (Non IA Svcs)	Contractual obligation for the Whittier Tunnel operations and maintenance will be to one contractor. The contract will cover a wide scope of operational items including, but not limited to: Operate and maintain the tunnel 365 days/year; Provide fire, safety and emergency response services; Operate control center; Maintain all Operating and Maintenance systems; Pay for electricity, gas, trash collection and disposal.	3,484.5	3,525.0	5,025.0
73805	IT-Non-Telecommunication	Admin - Enterprise Technology Services	0.4	0.4	0.4
		Computer services provided by Department of Administration Enterprise Technology Services, such as central server, data storage, printing, network services, open connect, and task order system.			

Line Item Detail
Department of Transportation/Public Facilities
Services

Component: Whittier Access and Tunnel (2510)

RDU: Highways, Aviation and Facilities (408)

Expenditure Account	Servicing Agency	Explanation	FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
73000 Services Detail Totals			4,233.0	4,337.8	5,837.8
73806	IT-Telecommunication	Admin - Enterprise Technology Services Telecommunications services provided by Department of Administration Enterprise Technology Services, such as basic telephone services, equipment and features, dedicated voice/data line service, statewide paging, two-way radio, and video conferencing.	3.8	1.3	1.3
73809	Mail	Central Mail Central mail service provided by Department of Administration, General Services, for mailing vendor payment from the state accounting system (AKSAS) and payroll payments from the state payroll system (AKPAY). Expenses in this cost allocation include postage, envelope stock, warrant stock, bursting, folding, inserting, and sorting.	0.1	0.1	0.1
73810	Human Resources	Admin - Personnel Services provided by Department of Administration Division of Personnel such as labor relations, position classifications, and departmental payroll processing.	0.0	0.1	0.1
73812	Legal	Legal services provided by the Department of Law	0.1	0.0	0.0
73815	Financial	Admin - Finance State accounting system (AKSAS) and state payroll system (AKPAY) chargeback from the Department of Administration, Division of Finance.	0.1	0.1	0.1
73816	ADA Compliance	Labor - Americans With Disabilities Americans with Disabilities (ADA) statewide coordinator for the executive branch, chargeback from the Department of Labor and Workforce Development.	0.1	0.1	0.1
73826	Other Equip/Machinery	Trans - Central Highways and Aviation Reimbursable services agreement for equipment operators support (labor only) for snow plowing up to and around the tunnel, and maintenance of tunnel routes.	146.0	185.0	185.0
73848	State Equip Fleet	State Equipment Fleet Motor Pool charges payable to State Equipment Fleet, include the Whittier Tunnel assets and the rolling stock state equipment. Total assets = 47 pieces. This includes service repairs to equipment and credit card fuel for vehicle usage.	453.0	478.9	478.9

Line Item Detail
Department of Transportation/Public Facilities
Commodities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Line Number	Line Name		FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
74000	Commodities		47.5	70.0	68.2
Expenditure Account	Servicing Agency	Explanation	FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
74000 Commodities Detail Totals			47.5	70.0	68.2
74200	Business	All office and library supplies.	1.7	1.0	1.0
74600	Safety (Commodities)	Fire suppression tanks, Lifeguard Air Systems/SCBA Masks and breathing apparatus supplies, rescue harness, radar speed display.	25.0	0.0	0.0
74650	Repair/Maintenance (Commodities)	Other repair and maintenance supplies.	20.8	69.0	67.2

Line Item Detail
Department of Transportation/Public Facilities
Capital Outlay

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Line Number	Line Name		FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
75000	Capital Outlay		316.3	207.5	207.5
Expenditure Account	Servicing Agency	Explanation	FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
75000 Capital Outlay Detail Totals			316.3	207.5	207.5
75400	Materials & Supplies	StarTrack rubber flange filler for safety repairs to the Tunnel track system.	108.8	0.0	0.0
75485	Capital Lease Prin	Alaska Transportation Infrastructure Bank principal payment for tunnel construction loan. Loan startup November 2001 through payoff date of November 2020.	163.4	152.6	152.6
75486	Capital Lease Int	Alaska Transportation Infrastructure Bank interest payment for tunnel construction loan. Loan startup November 2001 through payoff date of November 2020.	44.1	54.9	54.9

Restricted Revenue Detail
Department of Transportation/Public Facilities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Master Account	Revenue Description	FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
51134	Whittier Tunnel Toll Receipts	1,727.2	1,928.4	1,928.4

Detail Information					FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund			
51134	DOT Whittier Tunnel Toll		Fund Source 1214		1,727.2	1,928.4	1,928.4
	Tolls collected for the use of Whittier Tunnel.						

Special language in the operating bill allows Whittier Tunnel Toll receipts to carry forward into the following fiscal year if not used in the fiscal year collected.

Restricted Revenue Detail
Department of Transportation/Public Facilities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Master Account	Revenue Description				FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
51200	Capital Improvement Project Receipts				2,605.7	2,831.8	4,331.8
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
51201	Direct CIP Receipts				2,605.7	2,831.8	4,331.8
	The Federal Highway Administration has provided funding through the capital budget for operational costs of the Whittier Tunnel. The current agreement ends February 28, 2014.						

Interagency Services
Department of Transportation/Public Facilities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Expenditure Account		Service Description	Service Type	Servicing Agency	FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
73805	IT-Non-Telecommunication	Computer services provided by Department of Administration Enterprise Technology Services, such as central server, data storage, printing, network services, open connect, and task order system.	Inter-dept	Admin - Enterprise Technology Services	0.4	0.4	0.4
73805 IT-Non-Telecommunication subtotal:					0.4	0.4	0.4
73806	IT-Telecommunication	Telecommunications services provided by Department of Administration Enterprise Technology Services, such as basic telephone services, equipment and features, dedicated voice/data line service, statewide paging, two-way radio, and video conferencing.	Inter-dept	Admin - Enterprise Technology Services	3.8	1.3	1.3
73806 IT-Telecommunication subtotal:					3.8	1.3	1.3
73809	Mail	Central mail service provided by Department of Administration, General Services, for mailing vendor payment from the state accounting system (AKSAS) and payroll payments from the state payroll system (AKPAY). Expenses in this cost allocation include postage, envelope stock, warrant stock, bursting, folding, inserting, and sorting.	Inter-dept	Central Mail	0.1	0.1	0.1
73809 Mail subtotal:					0.1	0.1	0.1
73810	Human Resources	Services provided by Department of Administration Division of Personnel such as labor relations, position classifications, and departmental payroll processing.	Inter-dept	Admin - Personnel	0.0	0.1	0.1
73810 Human Resources subtotal:					0.0	0.1	0.1
73812	Legal	Legal services provided by the Department of Law	Inter-dept		0.1	0.0	0.0
73812 Legal subtotal:					0.1	0.0	0.0
73815	Financial	State accounting system (AKSAS) and state payroll system (AKPAY) chargeback from the Department of Administration, Division of Finance.	Inter-dept	Admin - Finance	0.1	0.1	0.1
73815 Financial subtotal:					0.1	0.1	0.1
73816	ADA Compliance	Americans with Disabilities (ADA) statewide coordinator for the executive branch, chargeback from the Department of Labor and Workforce Development.	Inter-dept	Labor - Americans With Disabilities	0.1	0.1	0.1
73816 ADA Compliance subtotal:					0.1	0.1	0.1
73826	Other Equip/Machinry	Reimbursable services agreement for equipment operators support (labor only) for snow plowing up to and around the tunnel, and maintenance of tunnel routes.	Intra-dept	Trans - Central Highways and Aviation	146.0	185.0	185.0
73826 Other Equip/Machinry subtotal:					146.0	185.0	185.0
73848	State Equip Fleet	Motor Pool charges payable to State Equipment Fleet, include the Whittier Tunnel assets and the rolling stock state equipment. Total assets = 47 pieces. This	Intra-dept	State Equipment Fleet	453.0	478.9	478.9

Interagency Services
Department of Transportation/Public Facilities

Component: Whittier Access and Tunnel (2510)
RDU: Highways, Aviation and Facilities (408)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2015 Actuals	FY2016 Management Plan	FY2017 Governor
	includes service repairs to equipment and credit card fuel for vehicle usage.					
				73848 State Equip Fleet subtotal:	453.0	478.9
				Whittier Access and Tunnel total:	603.6	666.0
				Grand Total:	603.6	666.0