

**State of Alaska
FY2016 Governor Amended Operating
Budget**

**Department of Health and Social Services
Services to the Seriously Mentally Ill
Component Budget Summary**

Component: Services to the Seriously Mentally III

Contribution to Department's Mission

Increase the number of at-risk adults with serious mental illness who are able to live safely in the least restrictive community based setting.

Core Services

- To provide psychiatric and rehabilitative services to adults with serious mental illness through grants to community mental health agencies. Core services are assessment, psychotherapy, case management, medication management, peer support services, and skill building services to support independent living and maximize quality of life. Specialized services include supported residential and employment programs.

Major Component Accomplishments in 2014

- **Alaska Complex Behavior Collaborative**

During FY2014, 50 participants were served (19 youth, 31 adults).

- 27 were discharged from Complex Behavior Collaborative Services (12 Youth, 15 adults)
- 23 (10 youth, 13 adults) remain in services in FY2015
- The entire FY2014 budget of \$450,000 was fully expended

- **Bridge Home Program**

The Bridge-Home program continues to be an outstanding example of a well-managed housing-first type model of supportive housing. In FY2014 the program, which has operated for the past seven years, served 63 individuals. While staying true to the basic tenants of "housing first", it has morphed over the years. The primary change has been in two areas. The first was an increase in the emphasis placed of clients developing the greatest level of self-sufficiency possible. This incorporated a focus on employment. The next shift in focus came when the program started gradually moving toward serving a population having relatively high needs compared to other clients in the agency that houses the program. The current model of the program is one that focuses on serving clients having complex needs, while maintaining a philosophy of client empowerment for movement to their highest level of community independence. There is a systemic issue that has been an on-going challenge for the program. That is a change in availability of housing vouchers. Applications for housing vouchers to the state housing entity became more than they could manage so the system was changed to a lottery draw. This resulted in there being fewer housing options available for the client of the program. The clients are now tending to stay longer in the program than in previous years. A positive is that when housing does become available in the community, the clients are more ready to move into an independent setting and more likely to remain housed. During active enrollment in the program clients generally reduced their time spent in the Alaska Psychiatric Institute and jail.

During FY2014 staff from the Mental Health Trust, the Division of Behavioral Health and Anchorage providers collaborated on developing a framework for an Assertive Community Treatment program that would meet the unique needs of the people of the state of Alaska. There was work also done on re-configuring the internal structure of the Division of Behavioral Health Office of Supportive Housing to allow it to more fully address the supportive housing concept.

- **Department of Corrections Discharge Incentive Grants**

- During 2014, 60 individuals were served in this program. The number served and the severity of psychiatric disability of the population has been essentially constant for three years.
- The use of inpatient psychiatric care at the Alaska Psychiatric Institute decreased. The number of individuals admitted and the number of days used were both reduced.
- Clients served also showed a decrease in their use of Department of Corrections' facilities. The number of individuals incarcerated, number of arrests, and jail bed days were all reduced.
- Beneficiaries, community providers, the Court, and State professionals appreciate and agree that this program helped to improve the quality of life and health for each of these individuals, reduce recidivism, and

improve personal and public safety.

Key Component Challenges

- Seriously mentally ill adults continue to experience hospitalization and incarceration, especially in Anchorage and the South Central regions, which are the most underserved, according to prevalence data. Service gaps exist for individuals with seriously mental illness and complex cognitive and behavioral disorders, resulting in hospitalization, incarceration, and out-of-state placement.
- People with serious mental illness lack personal resources on which to draw. Mental health services are financed primarily through Medicaid and grants. Non-resourced clients cause financial strain for providers.
- Individuals exiting correctional facilities or involved with the Court System lack supportive housing to prevent repeated episodes of homelessness and institutionalization. These clients are often denied housing due to the nature of their criminal offense (arson, sex offenses, and violent assault).
- There are not enough direct service mental health workers because wages are low and work is difficult.
- Supported employment is an evidence-based practice and a key element in recovery for many individuals and needs continued support in the state.
- Assisted Living Home providers serve more than three hundred individuals around the state with mental health issues and need continuing skill development to work with increasingly complex resident mental health problems and behaviors.
- There is a statewide shortage of residential supportive housing that can accommodate people having behavioral health issues too severe to be managed in a standard assisted living home but who are not so ill that they require hospitalization.

Significant Changes in Results to be Delivered in FY2016

No changes in results delivered.

Statutory and Regulatory Authority

AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.655 - 915	State Mental Health Policy
AS 47.30.011 - 061	Mental Health Trust Authority
7 AAC 78	Grant Programs
7 AAC 72	Civil Commitment
7 AAC 71	Community Mental Health Services

Contact Information
Contact: Sarah Woods, Deputy Director Phone: (907) 465-1631 Fax: (907) 465-2499 E-mail: sarah.woods2@alaska.gov

Services to the Seriously Mentally III Component Financial Summary			
		<i>All dollars shown in thousands</i>	
	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	45.0	45.9	0.0
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	17,819.4	19,143.9	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	17,864.4	19,189.8	0.0
Funding Sources:			
1002 Federal Receipts	855.0	1,009.5	0.0
1004 General Fund Receipts	120.4	1,194.5	0.0
1037 General Fund / Mental Health	16,043.6	16,135.8	0.0
1092 Mental Health Trust Authority Authorized Receipts	845.4	850.0	0.0
Funding Totals	17,864.4	19,189.8	0.0

Estimated Revenue Collections				
Description	Master Revenue Account	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Unrestricted Revenues				
Mental Health Trust Authority Auth.Rec.	51410	0.0	850.0	0.0
Unrestricted Total		0.0	850.0	0.0
Restricted Revenues				
Federal Receipts	51010	855.0	1,009.5	0.0
Restricted Total		855.0	1,009.5	0.0
Total Estimated Revenues		855.0	1,859.5	0.0

**Summary of Component Budget Changes
From FY2015 Management Plan to FY2016 Governor Amended**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2015 Management Plan	17,330.3	0.0	850.0	1,009.5	19,189.8
One-time items:					
-Reverse Mental Health Trust Recommendation	0.0	0.0	-850.0	0.0	-850.0
Adjustments which continue current level of service:					
-MH Trust: Housing - Grant 604 Department of Corrections Discharge Incentive Grants (FY16-FY17)	0.0	0.0	100.0	0.0	100.0
-MH Trust: Housing - Grant 575 Bridge Home Program & Intensive Services for Community Integration (FY14-FY16)	0.0	0.0	750.0	0.0	750.0
-Transfer to Behavioral Health Treatment and Recovery Grants to More Efficiently Deliver Services	-17,330.3	0.0	-850.0	-1,009.5	-19,189.8
FY2016 Governor Amended	0.0	0.0	0.0	0.0	0.0

Component Detail All Funds
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (AR23145) (800)
RDU: Behavioral Health (483)

	FY2014 Actuals	FY2015 Conference Committee	FY2015 Authorized	FY2015 Management Plan	FY2016 Governor Amended	FY2015 Management Plan vs FY2016 Governor Amended	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	45.0	45.9	45.9	45.9	0.0	-45.9	-100.0%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	17,819.4	19,089.2	19,089.2	19,143.9	0.0	-19,143.9	-100.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	17,864.4	19,135.1	19,135.1	19,189.8	0.0	-19,189.8	-100.0%
Fund Sources:							
1002Fed Rcpts (Fed)	855.0	972.0	972.0	1,009.5	0.0	-1,009.5	-100.0%
1004Gen Fund (UGF)	120.4	1,194.5	1,194.5	1,194.5	0.0	-1,194.5	-100.0%
1037GF/MH (UGF)	16,043.6	16,118.6	16,118.6	16,135.8	0.0	-16,135.8	-100.0%
1092MHTAAR (Other)	845.4	850.0	850.0	850.0	0.0	-850.0	-100.0%
Unrestricted General (UGF)	16,164.0	17,313.1	17,313.1	17,330.3	0.0	-17,330.3	-100.0%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	845.4	850.0	850.0	850.0	0.0	-850.0	-100.0%
Federal Funds	855.0	972.0	972.0	1,009.5	0.0	-1,009.5	-100.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2015 Conference Committee To FY2015 Authorized *****												
FY2015 Conference Committee												
	ConfCom	19,135.1	0.0	0.0	45.9	0.0	0.0	19,089.2	0.0	0	0	0
1002 Fed Rcpts		972.0										
1004 Gen Fund		1,194.5										
1037 GF/MH		16,118.6										
1092 MHTAAR		850.0										
Subtotal		19,135.1	0.0	0.0	45.9	0.0	0.0	19,089.2	0.0	0	0	0
***** Changes From FY2015 Authorized To FY2015 Management Plan *****												
Transfer from Behavioral Health Grants for Community Mental Health Services Block Grant Increase												
	Trin	37.5	0.0	0.0	0.0	0.0	0.0	37.5	0.0	0	0	0
1002 Fed Rcpts		37.5										
Transfer federal authority from Behavioral Health Grants to Services for the Seriously Mentally Ill to receive and expend the increase in the Community Mental Health Services block grant. These funds are used to provide comprehensive community-based mental health services to adults with serious mental illnesses and to children with serious emotional disturbances, and to monitor progression implementing a comprehensive, community-based mental health system. Uncollectible federal authorization is available for transfer from Behavioral Health Grants.												
Transfer from Behavioral Health Grants for the FY2015 Grant Cycle												
	Trin	17.2	0.0	0.0	0.0	0.0	0.0	17.2	0.0	0	0	0
1037 GF/MH		17.2										
Transfer general fund/mental health authority from Behavioral Health Grants to Services for the Seriously Mentally Ill to support the division's comprehensive behavioral health treatment and recovery services. This array of services includes outpatient services, residential services, detoxification, designated evaluation, stabilization, and treatment, and acute psychiatric inpatient services. Grant authority is being repurposed to work within available resources while addressing the need for community-based treatment to enable people with serious mental illness to live in their home communities as independently as possible and in the least restrictive environment.												
Specific grantees will not be harmed. This change reflects a shift in the services that grantees are providing based on the circumstances of the individual Alaskans they serve.												
Subtotal		19,189.8	0.0	0.0	45.9	0.0	0.0	19,143.9	0.0	0	0	0
***** Changes From FY2015 Management Plan To FY2016 Governor Amended *****												
Reverse Mental Health Trust Recommendation												
	OTI	-850.0	0.0	0.0	0.0	0.0	0.0	0.0	-850.0	0	0	0
1092 MHTAAR		-850.0										

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	

This zero-based adjustment record includes all MHTAAR and/or MHT Admin funding for FY2015 for this component.

MH Trust: Housing - Grant 604 Department of Corrections Discharge Incentive Grants (FY16-FY17)

	IncT	100.0	0.0	0.0	0.0	0.0	0.0	0.0	100.0	0	0	0
1092 MHTAAR		100.0										

This project is a strategy in the Trust's Affordable Housing initiative and the Disability Justice workgroup. It is consistent with the Housing workgroup's focus on 'community re-entry' by targeting beneficiaries exiting Department of Corrections settings who are challenging to serve due to issues related to mental illness and other conditions and will require extended supervision and support services to avoid repeat incarceration and becoming public safety concerns. The purpose of the program is to provide the immediate needed housing and support services necessary to facilitate the successful transition of clients from incarceration to community care. These funds will be administered by the Division of Behavioral Health as Assisted Living Home vouchers and, in collaboration with the Department of Corrections, will provide for alternative housing placements and the immediate service needs of the clients. This project will be referenced in the Supported Housing Office Annual Work Plan as a priority for coordination efforts of Trust funding.

MH Trust: Housing - Grant 575 Bridge Home Program & Intensive Services for Community Integration (FY14-FY16)

	IncT	750.0	0.0	0.0	0.0	0.0	0.0	0.0	750.0	0	0	0
1092 MHTAAR		750.0										

This project replicates successful transition programs in other states for individuals 'cycling' through emergency and institutional settings who experience a serious mental illness and other conditions. Emphasis is focused on intensive, responsive, community based mental health services to ensure successful community housing for persons identified as currently or previously cycling through emergency services. The focus locations for the project will include Anchorage and other locations where needed to assist individuals to remain safely housed in the community. This program is limited to locations where rental subsidies are available. Rental subsidies come from Alaska Housing Finance Corporation (AHFC) when available or thru some other source. Institutions targeted for re-entry include: Alaska Psychiatric Institution, Department of Corrections' facilities, hospital emergency services and other high-cost social service and health programs. The project allows for up to 100 individuals to receive less expensive, continuous services, including a rental subsidy (estimate based on charging the tenant 30 percent of income) in order to 'bridge' from institutional discharge onto the U.S. Department of Housing and Urban Development Housing Choice voucher program (formerly known as the Section 8 housing voucher program) paired with intensive in-home support services. This pairing of resources for beneficiaries has proven successful in other states in reducing recidivism and impacts on service systems. Alaska's success rates have been demonstrated in reduction of return to Department of Corrections and in use of emergency level services in the initial years of the project. This request allows for expansion of the program in other critical parts of the state outside of Anchorage and assists in increasing the intensity of services for people with more complex service delivery needs.

Transfer to Behavioral Health Treatment and Recovery Grants to More Efficiently Deliver Services

	Trout	-19,189.8	0.0	0.0	-45.9	0.0	0.0	-19,143.9	0.0	0	0	0
1002 Fed Rcpts		-1,009.5										
1004 Gen Fund		-1,194.5										
1037 GF/MH		-16,135.8										
1092 MHTAAR		-850.0										

Consolidate Behavioral Health Grants, Psychiatric Emergency Services, Services to the Seriously Mentally Ill, and Services for Severely Emotionally Disturbed Youth components into the new Behavioral Health Treatment and Recovery Grants component to more efficiently deliver services.

Throughout the fiscal year, shifts occur in the relative proportions of the types of mental health services requested by clients. Grantees are leery of reacting too

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP	
										PFT	PPT		
<p>quickly and requesting a grant budget change, only to have the trend change again. By the time the changes to the grant budget are requested, it is often late in the year, and there is limited time to obtain approval to reallocate resources, wait for transaction processing, amend grants, and change grant encumbrances to reflect the shift in client needs. The division is holding ample authority to help meet providers' declared budget needs, but within the "wrong" service type component.</p> <p>By consolidating the treatment and recovery components, the Division of Behavioral Health will be better able to respond to a provider's need for a grant budget change.</p> <p>The components being consolidated are as follows: Behavioral Health Grants -- \$29,983.0 Psychiatric Emergency Services -- \$7,633.7 Services to the Seriously Mentally Ill -- \$19,189.8 Services for Severely Emotionally Disturbed Youth -- \$15,340.8</p>													
		Totals	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0

Line Item Detail
Department of Health and Social Services
Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name			FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000	Services			45.0	45.9	0.0
Expenditure Account	Servicing Agency	Explanation		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000 Services Detail Totals				45.0	45.9	0.0
73979	Mgmt/Consulting (IA Svcs)			45.0	0.0	0.0
73979	Mgmt/Consulting (IA Svcs)	AK MH/Alc & Drug Abuse Brds	RSA with AMHB for planning requirements of the CMHS Block Grant	0.0	45.9	0.0

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
77000	Grants, Benefits		17,819.4	19,143.9	0.0
Expenditure Account	Servicing Agency	Explanation	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
77000 Grants, Benefits Detail Totals			17,819.4	19,143.9	0.0
77110	Grants	Funding recommendations resulted in a shift to more grant funding for services to seriously mentally ill adults and less grant funding for substance abuse treatment services and psychiatric emergency services.	17,756.7	579.7	0.0
77110	Grants	Individual Case Management grants (GF)	0.0	0.3	0.0
77110	Grants	Level II Referrals (GF)	0.0	2.5	0.0
77110	Grants	Bridge Expansion (GF)	0.0	270.0	0.0
77110	Grants	DOC Incentive Discharge Provider Agreements (GF)	0.0	320.0	0.0
77110	Grants	Supported Employment grants (CMHS Block Grant)	0.0	414.4	0.0
77110	Grants	Behavioral Health Provider Association (GF)	0.0	55.9	0.0
77110	Grants	SMI Individual Service Agreements (GF, incl Nat'l Deaf Academy Tuition \$60.3)	0.0	1,363.0	0.0
77110	Grants	Assisted Living provider agreements (GF)	0.0	2,719.5	0.0
77110	Grants	Services for Seriously Mentally Ill awarded under the Comprehensive Treatment & Recovery Grant Program	0.0	13,418.6	0.0
77670	Benefits		62.7	0.0	0.0

Unrestricted Revenue Detail
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51410	Mental Health Trust Authority Auth.Recs.				0.0	850.0	0.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51410	MH Settlement Income			11100	0.0	850.0	0.0

Restricted Revenue Detail
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51010	Federal Receipts				855.0	1,009.5	0.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51010	Federal Receipts Uncollectible federal authorization			11100	0.0	39.5	0.0
51010	Federal Receipts SAMHSA Projects for Assistance in Transition from Homelessness (PATH) Federal CFDA 93.150		06338607	11100	0.0	300.0	0.0
51010	Federal Receipts SAMHSA Community Mental Health Service Block Grant Federal CFDA 93.958		06338704, 05, 06, 07	11100	0.0	670.0	0.0
57200	Alc/Da/Mh Sv Blk Grt				574.7	0.0	0.0
57590	Fed Projects- Health				280.3	0.0	0.0

Interagency Services
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73979	Mgmt/Consulting (IA Svcs)	Inter-dept		45.0	0.0	0.0
73979	Mgmt/Consulting (IA Svcs)	RSA with AMHB for planning requirements of the CMHS Block Grant	Intra-dept AK MH/Alc & Drug Abuse Brds	0.0	45.9	0.0
73979 Mgmt/Consulting (IA Svcs) subtotal:				45.0	45.9	0.0
Services to the Seriously Mentally Ill total:				45.0	45.9	0.0
Grand Total:				45.0	45.9	0.0