

**State of Alaska
FY2016 Governor Amended Operating
Budget**

**Department of Health and Social Services
Behavioral Health Prevention and Early Intervention Grants
Component Budget Summary**

Component: Behavioral Health Prevention and Early Intervention Grants

Contribution to Department's Mission

Ensure that effective community-based behavioral health prevention services are available statewide, incorporating community readiness, planning, partnerships and coalitions, and evidence-based strategies that demonstrate positive outcomes for individuals, families, and communities.

Core Services

- Coordinate statewide community-based Fetal Alcohol Spectrum Disorders diagnostic team network to provide local diagnostic services to families and individuals in their home or hub community.
- Coordinate rural case management services for individuals and their families receiving a diagnosis in Bethel, Kenai, Juneau, and Sitka.
- Provide service partner and family training about Fetal Alcohol Spectrum Disorders public awareness and education.
- Increase community support to individuals and families through grants and contracts, and the ongoing development of partnerships to decrease the prevalence of Fetal Alcohol Spectrum Disorders across Alaska.
- Provide the foundation funding for Alaska's effort to prevent substance abuse within the State, with a special focus on preventing youth from experimenting with and becoming addicted to alcohol and other drugs.
- Decrease negative impacts of alcohol and substance abuse in Alaska.
- The Community-Based Suicide Prevention Program provides funds to develop data-driven, community-based strategies focused on mental health promotion, prevention of suicide, and increased mental health.
- The Rural Human Services System Project provides funds to regional agencies to hire, train, and supervise village-based counselors to attend the University of Alaska Fairbanks Rural Human Services Certification program. Rural Human Services trained village-based counselors provide integrated substance abuse and mental health outpatient, aftercare, and support services, as well as prevention and education activities throughout rural and remote Alaska.

Major Component Accomplishments in 2014

- FY2014 saw the completion of our three-year competitive funding cycle for the Comprehensive Behavioral Health Prevention grant programs. Grantees are working diligently to improve on the outcomes for their selected strategies, working toward population level change, not just individual level change.
- During FY2014, the Southcentral Foundation Family Wellness Warriors Initiative (FWWI) began a new a three-year grant cycle working with the community of Bethel, and beginning expansion to Eastern Aleutian Islands. The Family Wellness Warriors Initiative has a strong outcome evaluation component that is tracking outcome data across years and communities engaging in the Family Wellness Warriors Initiative programs.
- The federal Strategic Prevention Framework State Incentive Grant, originally set to end in FY2014 received a one-year no-cost extension that will allow the six (6) grantees to continue their implementation and evaluation work through FY2015. Much of the work being done on our new FY2015-2018 Comprehensive Behavioral Health Prevention and Early Intervention grant request for proposal, will take lessons learned from our federal grant using the Strategic Prevention Framework planning process with fidelity.
- Eight trainers were newly certified throughout Alaska to implement the National Alliance on Mental Illness suicide postvention training model – *Connect*. There are approximately 35 postvention trainers that are implementing trainings throughout the state. Postvention trainings have occurred in a variety of disciplines to include law enforcement, faith based organizations, education, mental health and substance abuse treatment centers, and social services.
- Approximately 120 Alaska Gatekeeper Trainers were certified in the internationally recognized QPR (Question, Persuade, Refer) training model. Various disciplines were trained in the model. In addition, the division provides technical assistance and support to trainers as well as agencies who support the mission of adopting the model as best practice and a commitment to sustain the training in future years.
- During FY2014, the Fetal Alcohol Spectrum Disorders diagnostic teams' conducted 119 diagnoses, this represents an increase of 59 percent over the number of diagnoses in FY2013. Between 2000 and 2014, a total of 1,996 diagnoses or an average of 133 completed diagnoses per year.

- During FY2014 we began to see progress with our Fetal Alcohol Spectrum Disorders Case Management services. Case managers served 16 clients for a total of 160 hours of case management services.
- Due to the difficulty recruiting Fetal Alcohol Spectrum Disorders case managers in the original four rural communities, we expanded the reach of the Case Managers Provider Agreement by revising the qualifications to include all communities where a diagnostic team exists—Fairbanks, Mat-Su, Anchorage, Kenai, Bethel, Juneau, and Sitka. In addition, new outreach and recruitments began, increasing the number of Case Managers eligible to provide services.

Key Component Challenges

- As we move into a new grant cycle in FY2015, our challenge is to transition communities away from single agency projects offering direct services into broader community programming that is led by a coalition of community partners and focuses on strategies that will change and improve the community environment toward population-level change. This is a paradigm shift for most of our grantees and our communities, but prevention is clearly more effective with a population level approach, not an individual client approach. Our new Request for Proposal for our next grant cycle will require this new approach.
- Domestic violence and sexual assault have traditionally been identified with victim services related to safety and legal assistance to provide appropriate conditions for safety to be maintained in or out of shelter services. The division is now expanding the approach to domestic violence and sexual assault by recognizing the impact of mental health and substance use/abuse issues that can be contributing factors to intensity, timing, severity, and victim vulnerability related to interpersonal violence.
- The Community Based Suicide Prevention program is a program developed to meet the needs of rural Alaska, where our state's suicide rates are often much higher than in urban areas of the state. These grant dollars help focus on the needs of small communities with limited resources and with a great many challenges with alcohol and drug use, unemployment, mental health concerns, and a loss of cultural identity. We are working to enhance training for project coordinators and establish or broaden links among projects. The division's prevention staff is working with grantees to develop better strategies, outcome measures, and community planning and readiness to deal with issues related to suicide. We will also continue to partner with the Statewide Suicide Prevention Council and the Alaska Native Tribal Health Consortium on increasing our focus on regional and community planning and readiness to address the difficult issues surrounding suicide.
- One critical challenge is staff turnover among our Fetal Alcohol Spectrum Disorders Diagnostic Teams. Because a team requires specific specializations, when staff turnover occurs teams are unable to diagnose until that specialty can be replaced. We are working with all diagnostic teams to "double train" for all critical positions so there will be two people qualified, trained and approved to provide the diagnostic services.
- The Alaska Pregnancy Risk Assessment Monitoring System data indicates fewer women are drinking during pregnancy; however, we are seeing an increase in women of childbearing age participating in binge drinking. Related to this is the concern that there are mixed messages coming from some members of our medical community about the dangers of alcohol during pregnancy. This is an ongoing challenge that we hope can be alleviated with better and more consistent screening for alcohol use during primary care visits for all women of childbearing years.

Significant Changes in Results to be Delivered in FY2016

- FY2016 will be the second year of a new four-year grant cycle for our Comprehensive Behavioral Health Prevention and Early Intervention coalition grant program. Year one of the new grant (FY2015) was spent mostly on community assessment, capacity building, identifying intermediate variable and selecting strategies to focus on change at the policy, system and environmental (PSE) level. We shifted our approach for comprehensive proposals, focusing more on a data-driven process; applications from coalitions, not individual agencies; community readiness to act; strategic planning; environmental strategies (strategies to change the conditions that lead to behavioral health concerns such as youth access to alcohol); and looking for uniform population-level change (30-day alcohol use by youth; binge drinking; age of onset for alcohol, marijuana and other drugs, etc.). During FY2016 all twenty comprehensive prevention coalition grantees will move into implementation and evaluation, continuing to carry out the Strategic Prevention Framework with fidelity.
- With more stability among our Fetal Alcohol Spectrum Disorders Diagnostic Teams and an increase in Fetal Alcohol Spectrum Disorders Case Management Provider Agreements we anticipate increases in clients

receiving both diagnostic services and case management services being offered in more communities.

Statutory and Regulatory Authority

AS 28.35.030	Miscellaneous Provisions
AS 47.30.011-061	Mental Health Trust Authority
AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism & Intoxication Treatment Act
7 AAC 29	Uniform Alcoholism & Intoxication Treatment
7 AAC 78	Grant Programs

Contact Information
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Component — Behavioral Health Prevention and Early Intervention Grants

**Behavioral Health Prevention and Early Intervention Grants
Component Financial Summary**

All dollars shown in thousands

	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	0.0	0.0	2,009.2
74000 Commodities	0.0	0.0	40.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	0.0	0.0	8,813.2
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	0.0	0.0	10,862.4
Funding Sources:			
1002 Federal Receipts	0.0	0.0	4,064.0
1004 General Fund Receipts	0.0	0.0	2,377.0
1007 Interagency Receipts	0.0	0.0	200.0
1037 General Fund / Mental Health	0.0	0.0	2,034.6
1180 Alcohol & Other Drug Abuse Treatment & Prevention Fund	0.0	0.0	2,186.8
Funding Totals	0.0	0.0	10,862.4

Estimated Revenue Collections

Description	Master Revenue Account	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	0.0	0.0	4,064.0
Interagency Receipts	51015	0.0	0.0	200.0
Restricted Total		0.0	0.0	4,264.0
Total Estimated Revenues		0.0	0.0	4,264.0

**Summary of Component Budget Changes
From FY2015 Management Plan to FY2016 Governor Amended**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2015 Management Plan	0.0	0.0	0.0	0.0	0.0
Adjustments which continue current level of service:					
-Transfer from Multiple Components to More Efficiently Deliver Services	4,411.6	2,186.8	200.0	4,064.0	10,862.4
FY2016 Governor Amended	4,411.6	2,186.8	200.0	4,064.0	10,862.4

**Component Detail All Funds
Department of Health and Social Services**

Component: Behavioral Health Prevention and Early Intervention Grants (AR0) (3098)
RDU: Behavioral Health (483)

	FY2014 Actuals	FY2015 Conference Committee	FY2015 Authorized	FY2015 Management Plan	FY2016 Governor Amended	FY2015 Management Plan vs FY2016 Governor Amended	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	0.0	0.0	0.0	0.0	2,009.2	2,009.2	100.0%
74000 Commodities	0.0	0.0	0.0	0.0	40.0	40.0	100.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	8,813.2	8,813.2	100.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	0.0	0.0	0.0	0.0	10,862.4	10,862.4	100.0%
Fund Sources:							
1002Fed Rcpts (Fed)	0.0	0.0	0.0	0.0	4,064.0	4,064.0	100.0%
1004Gen Fund (UGF)	0.0	0.0	0.0	0.0	2,377.0	2,377.0	100.0%
1007I/A Rcpts (Other)	0.0	0.0	0.0	0.0	200.0	200.0	100.0%
1037GF/MH (UGF)	0.0	0.0	0.0	0.0	2,034.6	2,034.6	100.0%
1180Alcohol Fd (DGF)	0.0	0.0	0.0	0.0	2,186.8	2,186.8	100.0%
Unrestricted General (UGF)	0.0	0.0	0.0	0.0	4,411.6	4,411.6	100.0%
Designated General (DGF)	0.0	0.0	0.0	0.0	2,186.8	2,186.8	100.0%
Other Funds	0.0	0.0	0.0	0.0	200.0	200.0	100.0%
Federal Funds	0.0	0.0	0.0	0.0	4,064.0	4,064.0	100.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Behavioral Health Prevention and Early Intervention Grants (3098)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
Subtotal		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
***** Changes From FY2015 Management Plan To FY2016 Governor Amended *****												
Transfer from Multiple Components to More Efficiently Deliver Services												
	Trin	10,862.4	0.0	0.0	2,009.2	40.0	0.0	8,813.2	0.0	0	0	0
1002 Fed Rcpts		4,064.0										
1004 Gen Fund		2,377.0										
1007 I/A Rcpts		200.0										
1037 GF/MH		2,034.6										
1180 Alcohol Fd		2,186.8										
Totals		10,862.4	0.0	0.0	2,009.2	40.0	0.0	8,813.2	0.0	0	0	0

Consolidate Alaska Fetal Alcohol Syndrome Program, Community Action Prevention and Intervention Grants, and Rural Services and Suicide Prevention components into the new Behavioral Health Prevention and Early Intervention Grants component to more efficiently deliver services.

Throughout the fiscal year, shifts occur in the relative proportions of the types of mental health services requested by clients. Grantees are leery of reacting too quickly and requesting a grant budget change, only to have the trend change again. By the time the changes to the grant budget are requested, it is often late in the year, and there is limited time to obtain approval to reallocate resources, wait for transaction processing, amend grants, and change grant encumbrances to reflect the shift in client needs. The division is holding ample authority to help meet providers' declared budget needs, but within the "wrong" service type component.

By consolidating the prevention and early intervention components, the Division of Behavioral Health will be better able to respond to a provider's need for a grant budget change.

The components being consolidated are as follows:

Alaska Fetal Alcohol Syndrome Program -- \$1,182.1

Community Action Prevention and Intervention Grants -- \$5,688.3

Rural Services and Suicide Prevention -- \$3,992.0

Line Item Detail
Department of Health and Social Services
Services

Component: Behavioral Health Prevention and Early Intervention Grants (3098)
RDU: Behavioral Health (483)

Line Number	Line Name			FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000	Services			0.0	0.0	2,009.2
Expenditure Account	Servicing Agency	Explanation		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000 Services Detail Totals				0.0	0.0	2,009.2
73025	Education Services	Alaska Youth Suicide Prevention contracts		0.0	0.0	240.3
73025	Education Services	CADCA - Community Anti-Drug Coalitions of American annual membership		0.0	0.0	3.0
73052	Mgmt/Consulting (Non IA Svcs Financial)	Spending authority for uncollectible federal receipts		0.0	0.0	10.1
73175	Health Services	Statewide Suicide Crisis Call Center		0.0	0.0	269.1
73225	Delivery Services	Courier services		0.0	0.0	1.5
73450	Advertising & Promos	Sponsorships of conferences and learning events by partnership agencies		0.0	0.0	38.4
73650	Struc/Instruct/Land	Storage Facility		0.0	0.0	4.0
73750	Other Services (Non IA Svcs)	Suicide postvention and resource contracts		0.0	0.0	85.8
73750	Other Services (Non IA Svcs)	ADTP contracts not specifically assigned		0.0	0.0	46.3
73750	Other Services (Non IA Svcs)	FASD training events		0.0	0.0	150.0
73750	Other Services (Non IA Svcs)	Spending authority from uncollectible I/A receipts		0.0	0.0	50.0
73750	Other Services (Non IA Svcs)	Annual grantee meeting coordination - GF		0.0	0.0	50.0
73750	Other Services (Non IA Svcs)	Uncollectible federal from Strategic Prevention Framework State Incentive Grants (SPFSIG) I. May be utilized for SPFSIG II that starts October 1, 2015		0.0	0.0	600.5
73805	IT-Non-Telecommunication	H&SS	RSA: IT positions	0.0	0.0	21.6
73818	Training (Services-IA Svcs)	Univ	RSA with University of Alaska Fairbanks for continued program administration and coordination of the Rural Human Services Program that provides training for rural counselors - GF	0.0	0.0	154.0
73818	Training (Services-IA Svcs)	Univ	RSA with University for School of Social Work, Rural Intensive Bachelor of Social Work	0.0	0.0	120.0

Line Item Detail
Department of Health and Social Services
Services

Component: Behavioral Health Prevention and Early Intervention Grants (3098)

RDU: Behavioral Health (483)

Expenditure Account	Servicing Agency	Explanation	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000 Services Detail Totals			0.0	0.0	2,009.2
73979	Mgmt/Consulting (IA Svcs)	Univ RSA with University for evaluation of the Alaska Youth Suicide Prevention project - federal	0.0	0.0	82.5
73979	Mgmt/Consulting (IA Svcs)	Management consultation and technical assistance contracts not specifically assigned.	0.0	0.0	62.1
73979	Mgmt/Consulting (IA Svcs)	E&ED RSA with EED for Youth Risk Behavioral Survey	0.0	0.0	20.0

Line Item Detail
Department of Health and Social Services
Commodities

Component: Behavioral Health Prevention and Early Intervention Grants (3098)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
74000	Commodities		0.0	0.0	40.0
Expenditure Account	Servicing Agency	Explanation	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
74000 Commodities Detail Totals			0.0	0.0	40.0
74200	Business	Program resources - GF	0.0	0.0	6.7
74200	Business	Supplies for Alaska Youth Suicide Prevention project - federal	0.0	0.0	3.3
74200	Business	Supplies for prevention activities	0.0	0.0	30.0

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Behavioral Health Prevention and Early Intervention Grants (3098)

RDU: Behavioral Health (483)

Line Number	Line Name		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
77000	Grants, Benefits		0.0	0.0	8,813.2
Expenditure Account	Servicing Agency	Explanation	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
77000 Grants, Benefits Detail Totals			0.0	0.0	8,813.2
77110	Grants	Rural Human Services grants-ADTP	0.0	0.0	1,842.7
77110	Grants	Suicide Prevention awards within the Comprehensive Prevention & Early Intervention Grant Program-GF	0.0	0.0	1,131.2
77110	Grants	FAS grant funds were allocated to the following agencies: Association of Village Council Presidents Homer Children Services Petersburg Mental Health Services Safe and Fear-Free Environment, Inc. Tanana Chiefs Conference Volunteers of America - Alaska	0.0	0.0	264.5
77110	Grants	New FASD provider agreement for case management services	0.0	0.0	250.0
77110	Grants	FAS Diagnostic Team provider agreements	0.0	0.0	496.0
77110	Grants	Comprehensive Prevention and Early Intervention grant awards funded from Community Action Prevention and Intervention GF	0.0	0.0	1,627.4
77110	Grants	Comprehensive Prevention and Early Intervention grant awards funded from the federal Substance Abuse, Prevention, and Treatment Block Grant (SAPT)	0.0	0.0	939.9
77110	Grants	Uncollectible federal grant authority from Strategic Prevention Framework State Incentive Grant (SPFSIG) I. Will be utilized for SPFSIG II, which starts October 1, 2015	0.0	0.0	2,111.5
77110	Grants	DVSA Family Wellness Warriors Initiative	0.0	0.0	150.0

Restricted Revenue Detail
Department of Health and Social Services

Component: Behavioral Health Prevention and Early Intervention Grants (3098)
RDU: Behavioral Health (483)

Master Account	Revenue Description			FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended	
51010	Federal Receipts			0.0	0.0	4,064.0	
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51010	Federal Receipts Uncollectible federal receipt authority			11000	0.0	0.0	10.1
51010	Federal Receipts Substance Abuse and Mental Health Services Administration (SAMHSA) Youth Suicide Prevention Grant, CFDA 93.243			11100	0.0	0.0	402.0
51010	Federal Receipts SAMHSA Strategic Prevention Framework State Incentive Grant (SPF SIG) CFDA 93.243		06337330	11100	0.0	0.0	2,712.0
51010	Federal Receipts SAMHSA Substance Abuse, Prevention and Treatment Block Grant (SAPT), CFDA 93.959		06337621	11100	0.0	0.0	939.9

Restricted Revenue Detail
Department of Health and Social Services

Component: Behavioral Health Prevention and Early Intervention Grants (3098)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51015	Interagency Receipts				0.0	0.0	200.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
59015	Office Of The Governor Interagency receipts tied to the DVSA Family Wellness Warriors project	Executive Office	6337292	11100	0.0	0.0	150.0
59060	Health & Social Svcs Uncollectible interagency receipt authority			11100	0.0	0.0	50.0

Interagency Services
Department of Health and Social Services

Component: Behavioral Health Prevention and Early Intervention Grants (3098)
RDU: Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended	
73805	IT-Non-Telecommunication	RSA: IT positions	Intra-dept	H&SS	0.0	0.0	21.6
				73805 IT-Non-Telecommunication subtotal:	0.0	0.0	21.6
73818	Training (Services-IA Svcs)	RSA with University of Alaska Fairbanks for continued program administration and coordination of the Rural Human Services Program that provides training for rural counselors - GF	Inter-dept	Univ	0.0	0.0	154.0
73818	Training (Services-IA Svcs)	RSA with University for School of Social Work, Rural Intensive Bachelor of Social Work	Inter-dept	Univ	0.0	0.0	120.0
				73818 Training (Services-IA Svcs) subtotal:	0.0	0.0	274.0
73979	Mgmt/Consulting (IA Svcs)	RSA with University for evaluation of the Alaska Youth Suicide Prevention project - federal	Inter-dept	Univ	0.0	0.0	82.5
73979	Mgmt/Consulting (IA Svcs)	RSA with EED for Youth Risk Behavioral Survey	Inter-dept	E&ED	0.0	0.0	20.0
				73979 Mgmt/Consulting (IA Svcs) subtotal:	0.0	0.0	102.5
				Behavioral Health Prevention and Early Intervention Grants total:	0.0	0.0	398.1
				Grand Total:	0.0	0.0	398.1