

**State of Alaska
FY2016 Governor Amended Operating
Budget**

**Department of Corrections
Facility-Capital Improvement Unit
Component Budget Summary**

Component: Facility-Capital Improvement Unit

Contribution to Department's Mission

Coordination and review of facility infrastructure for increase in institutional bed capacity.

Core Services

- Manage the Planning, Design, Modification, Renovation and Repair, and Construction Program for Confinement Facilities and Prison System Expansion Projects

Major Component Accomplishments in 2014

All facilities are now narrowband compliant. Additional handheld equipment will be provided to all institutions with remaining project funds.

Implementation of online Material Safety Data Sheet (MSDS) program to insure Occupational Safety and Health Administration (OSHA) compliance.

Completed training for Global Harmonized System (GHS) for all employees as required by OSHA.

A total of 43 deferred maintenance projects were completed within the 12 facilities. Three of the major projects completed were:

- Anchorage Correctional Complex-West (ACC-W) Security Controls: The security control system at ACC-W was over 14 years old and failing regularly. Replacement parts were no longer manufactured and there were only two technicians in the State of Alaska that could repair the proprietary system. To interface this system with the fire alarm system further complicated the repairs and costs as all parts had to be Underwriters Laboratory (UL) compliant to meet National Fire Protection Association (NFPA) standards. A non-proprietary system using off the shelf parts was installed to replace the existing system. Software and hardware components are open protocol allowing the department flexibility and opens competition for construction and future service and repairs. Redundancy was also built into the system to eliminate downtime and improve overall security and safety. The new system has had no downtime for six months with no contract service calls or maintenance required.
- Spring Creek Correctional Center (SCCC) Fire Alarm System Replacement: The analog fire alarm system at SCCC was installed in 1987 and at risk of failure. Replacement parts for the system were no longer manufactured and the facility was at risk of losing early fire detection notification. Prisons have higher than normal chances of unintended fire events due to the offender populations housed at these facilities. The analog system was replaced with a new addressable system that can pinpoint to the device any fire events to include alarms, maintenance, or tampering of devices. The new systems also allowed the reduction of the number of vandal proof covers further reducing the labor house needed for maintenance and testing.
- Yukon Kuskokwim Correctional Center (YKCC) Mechanical Repairs: The air handling system at YKCC was undersized for the current number of offenders housed at the facility. The increased occupancy load required increased air flow delivery from the air handler. A new air handling system was installed coupled with an air to air energy heat recovery system to increase air flow and reduce heating demands. The sewage lift station was also deteriorating and located in the crawl space. The location of the lift station was no longer in compliance with mechanical and plumbing codes. System failures required costly emergency maintenance repairs. Additional air quality problems were created inside of the facility as sewer gases released from the compromised system. In addition, a new lift station was re-located outside of the facility reducing unpleasant odors inside and improving the health and safety issues for offenders and staff.

Key Component Challenges

Reduce the ongoing deterioration of the facilities and backlog of deferred maintenance.

Identify the critical facility projects and completion of the projects that have received funding. These include fire alarms, security controls, roofing and electrical / mechanical system repairs at a number of institutions.

Expand bed space within existing square footage to ease overcrowding.

Estimate and budget projects to account for inflation in the construction industry.

Coordination of the department's long range expansion plan for all correctional institutions to assure proper bed capacity to meet institution needs.

Significant Changes in Results to be Delivered in FY2016

No changes in results delivered.

Statutory and Regulatory Authority

- 1) Probation, Prisons, Pardons, and Prisoners (AS 33)
- 2) Welfare, Social Services and Institutions (AS 47)
- 3) Corrections (22 AAC)
- 4) Health and Safety (AS 18)
- 5) Criminal Law (AS 11)
- 6) Public Finance (AS 37)
- 7) State Government (AS 44)

Contact Information
<p>Contact: Remond Henderson, Deputy Commissioner Phone: (907) 465-3339 Fax: (907) 465-3315 E-mail: remond.henderson@alaska.gov</p>

Facility-Capital Improvement Unit Component Financial Summary			
	<i>All dollars shown in thousands</i>		
	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	475.8	556.5	566.6
72000 Travel	0.0	0.0	0.0
73000 Services	35.3	30.6	30.6
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	511.1	587.1	597.2
Funding Sources:			
1004 General Fund Receipts	98.7	175.4	176.9
1061 Capital Improvement Project Receipts	412.4	411.7	420.3
Funding Totals	511.1	587.1	597.2

Estimated Revenue Collections				
Description	Master Revenue Account	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Capital Improvement Project Receipts	51200	412.4	411.7	420.3
Restricted Total		412.4	411.7	420.3
Total Estimated Revenues		412.4	411.7	420.3

**Summary of Component Budget Changes
From FY2015 Management Plan to FY2016 Governor Amended**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2015 Management Plan	175.4	0.0	411.7	0.0	587.1
Adjustments which continue current level of service:					
-FY2016 Salary Increases	1.7	0.0	8.8	0.0	10.5
-FY2016 Health Insurance Rate Reduction	-0.2	0.0	-0.2	0.0	-0.4
FY2016 Governor Amended	176.9	0.0	420.3	0.0	597.2

Facility-Capital Improvement Unit Personal Services Information				
Authorized Positions			Personal Services Costs	
	FY2015 Management Plan	FY2016 Governor Amended		
Full-time	4	4	Annual Salaries	305,680
Part-time	0	0	COLA	10,424
Nonpermanent	0	0	Premium Pay	0
			Annual Benefits	178,016
			<i>Less 0.00% Vacancy Factor</i>	(0)
			Lump Sum Premium Pay	72,480
Totals	4	4	Total Personal Services	566,600

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Admin Asst III	1	0	0	0	1
Facilities Manager I	2	0	0	0	2
Facilities Manager II	1	0	0	0	1
Totals	4	0	0	0	4

Component Detail All Funds
Department of Corrections

Component: Facility-Capital Improvement Unit (AR50630) (696)
RDU: Population Management (550)

	FY2014 Actuals	FY2015 Conference Committee	FY2015 Authorized	FY2015 Management Plan	FY2016 Governor Amended	FY2015 Management Plan vs FY2016 Governor Amended	
71000 Personal Services	475.8	606.5	606.5	556.5	566.6	10.1	1.8%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	35.3	30.6	30.6	30.6	30.6	0.0	0.0%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	511.1	637.1	637.1	587.1	597.2	10.1	1.7%
Fund Sources:							
1004Gen Fund (UGF)	98.7	225.4	225.4	175.4	176.9	1.5	0.9%
1061CIP Rcpts (Other)	412.4	411.7	411.7	411.7	420.3	8.6	2.1%
Unrestricted General (UGF)	98.7	225.4	225.4	175.4	176.9	1.5	0.9%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	412.4	411.7	411.7	411.7	420.3	8.6	2.1%
Federal Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Positions:							
Permanent Full Time	4	4	4	4	4	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Corrections

Component: Facility-Capital Improvement Unit (696)
RDU: Population Management (550)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2015 Conference Committee To FY2015 Authorized *****												
FY2015 Conference Committee												
	ConfCom	637.1	606.5	0.0	30.6	0.0	0.0	0.0	0.0	4	0	0
1004 Gen Fund		225.4										
1061 CIP Rcpts		411.7										
Subtotal		637.1	606.5	0.0	30.6	0.0	0.0	0.0	0.0	4	0	0
***** Changes From FY2015 Authorized To FY2015 Management Plan *****												
Transfer to Institution Directors Office to Comply with Vacancy Factor Guidelines												
	Trout	-50.0	-50.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		-50.0										
Subtotal		587.1	556.5	0.0	30.6	0.0	0.0	0.0	0.0	4	0	0
***** Changes From FY2015 Management Plan To FY2016 Governor Amended *****												
FY2016 Salary Increases												
	SalAdj	10.5	10.5	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		1.7										
1061 CIP Rcpts		8.8										
Cost of living adjustment for certain bargaining units: \$10.5												
Year three cost of living adjustment for Alaska State Employees Association/General Government Unit - 2.5%: \$7.1												
Year three cost of living adjustment for Alaska Public Employees Association/Supervisory Unit - 2.5%: \$3.4												
FY2016 Health Insurance Rate Reduction												
	SalAdj	-0.4	-0.4	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		-0.2										
1061 CIP Rcpts		-0.2										
Select Benefits health insurance rate reduction from \$1,371 to \$1,346: \$-0.4												
Totals		597.2	566.6	0.0	30.6	0.0	0.0	0.0	0.0	4	0	0

Personal Services Expenditure Detail
Department of Corrections

Scenario: FY2016 Governor Amended (12201)
Component: Facility-Capital Improvement Unit (696)
RDU: Population Management (550)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	UGF Amount
20-1009	Admin Asst III	FT	A	GP	Anchorage	200	15B / C	12.0		48,353	1,649	0	34,409	84,411	0
20-1069	Facilities Manager I	FT	A	GP	Anchorage	200	20F / G	12.0		77,532	2,644	0	45,028	125,204	0
20-7403	Facilities Manager I	FT	A	GP	Anchorage	200	20J / K	12.0		82,142	2,801	0	46,705	131,648	0
20-7405	Facilities Manager II	FT	A	SS	Anchorage	200	21L / M	12.0		97,653	3,330	0	51,874	152,857	73,821
													Total Salary Costs:	305,680	
													Total COLA:	10,424	
													Total Premium Pay::	0	
													Total Benefits:	178,016	
													Total Pre-Vacancy:	494,120	
													Minus Vacancy Adjustment of 0.00%:	(0)	
													Total Post-Vacancy:	494,120	
													Plus Lump Sum Premium Pay:	72,480	
													Personal Services Line 100:	566,600	
Total Component Months:		48.0													

PCN Funding Sources:	Pre-Vacancy	Post-Vacancy	Percent
1004 General Fund Receipts	73,821	73,821	14.94%
1061 Capital Improvement Project Receipts	420,300	420,300	85.06%
Total PCN Funding:	494,120	494,120	100.00%

Lump Sum Funding Sources:	Amount	Percent
1061 General Fund Receipts	72,480	100.00%
Total Lump Sum Funding:	72,480	100.00%

Note: If a position is split, an asterisk (*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (**) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

Line Item Detail
Department of Corrections
Services

Component: Facility-Capital Improvement Unit (696)
RDU: Population Management (550)

Line Number	Line Name			FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000	Services			35.3	30.6	30.6
Expenditure Account	Servicing Agency	Explanation		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000 Services Detail Totals				35.3	30.6	30.6
73225	Delivery Services		Delivery services for freight, postage and courier.	0.8	0.0	0.0
73421	Sef Fuel A87 Allowed	Trans	State Equipment Fleet fuel charges.	1.9	0.0	0.0
73650	Struc/Infstruct/Land		Renovations and maintenance associated with implementation of the space standards.	9.3	0.0	0.0
73805	IT-Non-Telecommunication	Admin	Computer charges.	2.7	3.5	3.5
73806	IT-Telecommunication	Admin	Telephone charges.	6.4	7.0	7.0
73809	Mail	Admin	Central mailroom charges.	0.0	0.1	0.1
73810	Human Resources	Admin	HR integration charges.	2.6	4.0	4.0
73811	Building Leases	Admin	Office lease space charges.	1.0	13.0	13.0
73814	Insurance	Admin	Risk Management charges.	0.2	0.3	0.3
73815	Financial	Admin	DOA chargeback items (AKPAY, AKSAS, EEO, etc.).	0.1	0.1	0.1
73816	ADA Compliance	Labor	ADA charges.	0.0	0.1	0.1
73848	State Equip Fleet	Trans	State Equipment Fleet charges.	10.3	2.5	2.5

Restricted Revenue Detail
Department of Corrections

Component: Facility-Capital Improvement Unit (696)
RDU: Population Management (550)

Master Account	Revenue Description				FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51200	Capital Improvement Project Receipts				412.4	411.7	420.3
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51200	Cap Improv Proj Rec		20661030	11100	412.4	411.7	420.3
	Capital funds appropriated for the Department of Corrections' capital projects for the current year and constitute that percentage necessary to fund the Facility-Capital Improvement Unit.						

**Interagency Services
Department of Corrections**

Component: Facility-Capital Improvement Unit (696)
RDU: Population Management (550)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73421	Sef Fuel A87 Allowed	State Equipment Fleet fuel charges.	Inter-dept Trans	1.9	0.0	0.0
			73421 Sef Fuel A87 Allowed subtotal:	1.9	0.0	0.0
73805	IT-Non-Telecommunication	Computer charges.	Inter-dept Admin	2.7	3.5	3.5
			73805 IT-Non-Telecommunication subtotal:	2.7	3.5	3.5
73806	IT-Telecommunication	Telephone charges.	Inter-dept Admin	6.4	7.0	7.0
			73806 IT-Telecommunication subtotal:	6.4	7.0	7.0
73809	Mail	Central mailroom charges.	Inter-dept Admin	0.0	0.1	0.1
			73809 Mail subtotal:	0.0	0.1	0.1
73810	Human Resources	HR integration charges.	Inter-dept Admin	2.6	4.0	4.0
			73810 Human Resources subtotal:	2.6	4.0	4.0
73811	Building Leases	Office lease space charges.	Inter-dept Admin	1.0	13.0	13.0
			73811 Building Leases subtotal:	1.0	13.0	13.0
73814	Insurance	Risk Management charges.	Inter-dept Admin	0.2	0.3	0.3
			73814 Insurance subtotal:	0.2	0.3	0.3
73815	Financial	DOA chargeback items (AKPAY, AKSAS, EEO, etc.).	Inter-dept Admin	0.1	0.1	0.1
			73815 Financial subtotal:	0.1	0.1	0.1
73816	ADA Compliance	ADA charges.	Inter-dept Labor	0.0	0.1	0.1
			73816 ADA Compliance subtotal:	0.0	0.1	0.1
73848	State Equip Fleet	State Equipment Fleet charges.	Inter-dept Trans	10.3	2.5	2.5
			73848 State Equip Fleet subtotal:	10.3	2.5	2.5
			Facility-Capital Improvement Unit total:	25.2	30.6	30.6
			Grand Total:	25.2	30.6	30.6