

State of Alaska FY2014 Governor's Operating Budget

Department of Commerce, Community, and Economic Development Banking and Securities RDU/Component Budget Summary

RDU/Component: Banking and Securities

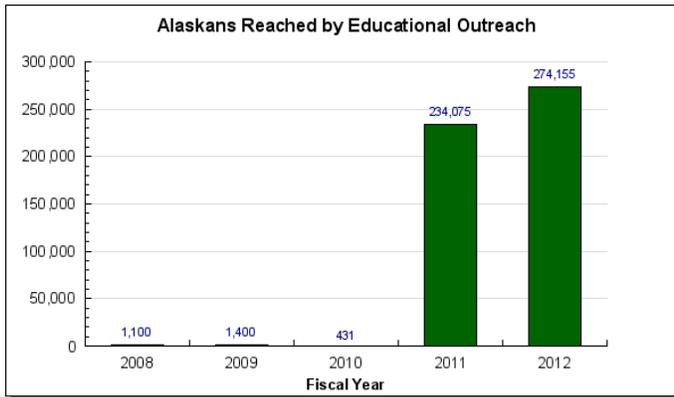
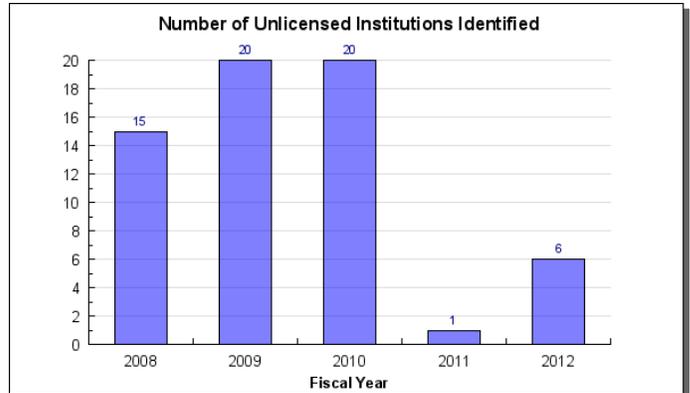
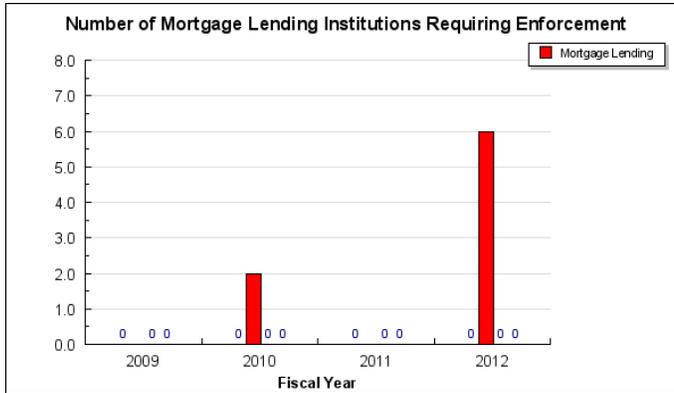
(There is only one component in this RDU. To reduce duplicate information, we did not print a separate RDU section.)

Contribution to Department's Mission

Protect consumers of financial services and promote safe and sound financial systems.

Results

(Additional performance information is available on the web at <http://omb.alaska.gov/results>.)



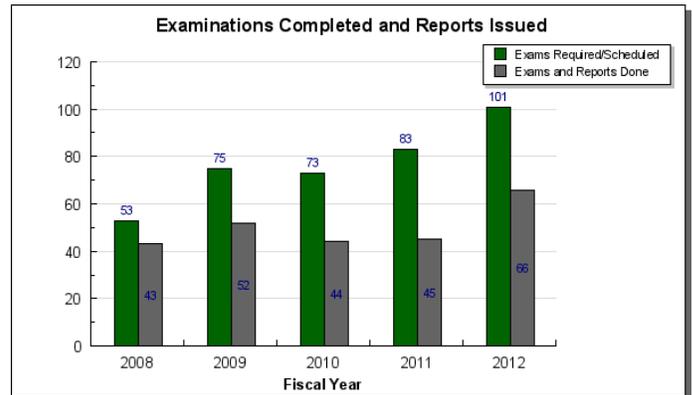
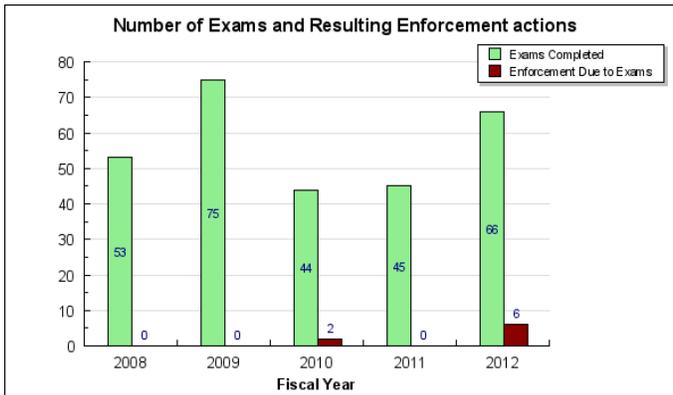
Core Services

- Charter, license and examine financial institutions
- Register securities and those who sell securities and conduct examinations for compliance with the law
- Require accurate disclosure of election materials from Alaska Native Claims Settlement Act (ANCSA) corporations and shareholders and respond timely to inquiries and requests for investigation

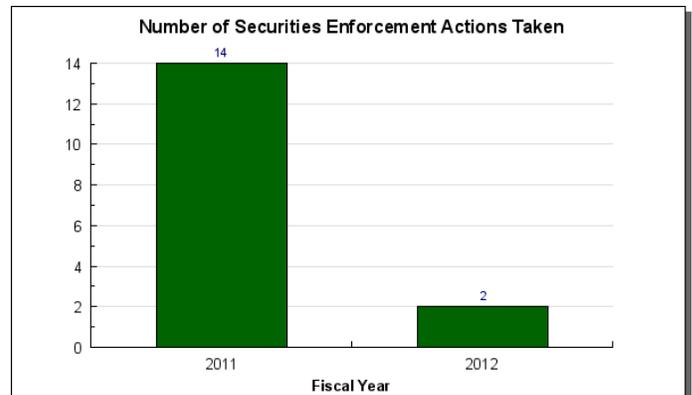
Measures by Core Service

(Additional performance information is available on the web at <http://omb.alaska.gov/results.>)

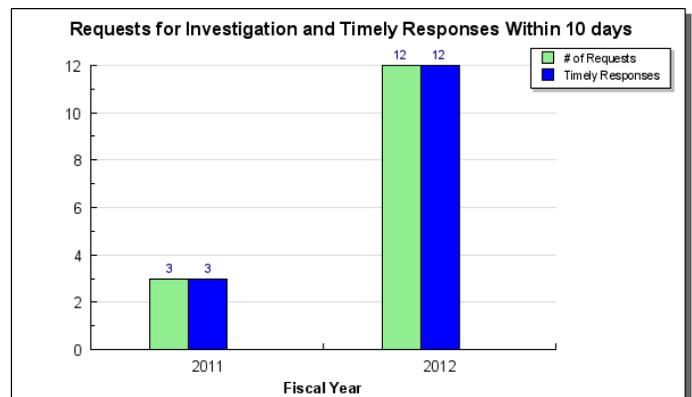
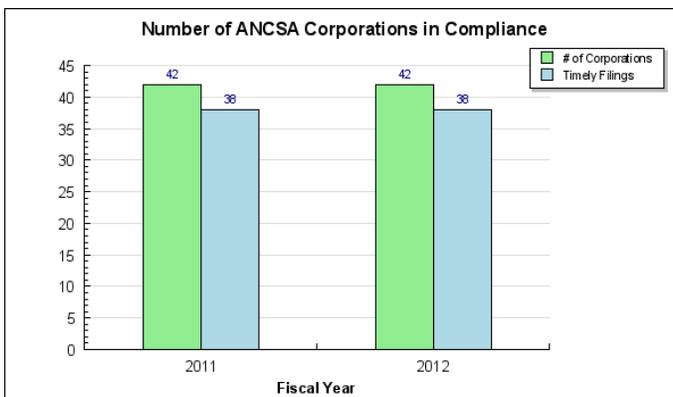
1. Charter, license and examine financial institutions



2. Register securities and those who sell securities and conduct examinations for compliance with the law



3. Require accurate disclosure of election materials from Alaska Native Claims Settlement Act (ANCSA) corporations and shareholders and respond timely to inquiries and requests for investigation



Major Component Accomplishments in 2012

Banking

- Conducted 52 examinations of depository and non-depository institutions utilizing approximately 2,800 examiner hours and one visitation with about 56 examiner hours.
- Prepared the 2011 Overview of Payday Lending in Alaska based on annual report filings of 34 licensed payday loan offices.
- Prepared the 2011 Overview of Premium Finance Lending in Alaska based on annual report filings from 24 licensed premium finance offices.
- Prepared and distributed the 2011 Business Industrial Development Corporation Report on Economic Development to the Legislature.
- Prepared the quarterly Statement of Financial Condition for the four state banks and two national banks headquartered in the state.
- Issued five new premium finance company licenses and three new payday lender licenses, and issued license renewals to 12 payday lenders, 25 premium finance companies, one small loan company, and one business industrial development corporation (BIDCO).
- Re-certified one private trust company to conduct limited trust activities.
- Registered 12 exemptions from licensing under the Revised Alaska Trust Company Act. An exemption permitting individuals, such as attorneys and certified public accountants, to conduct trust activities without being licensed as a trust company.
- Registered two banks under the Model Foreign Bank Loan Act.
- Contracted for bank loan review services for an 18-month examination cycle.
- Issued six parity orders to ensure equal competition for state chartered depository institutions.
- Collected approximately \$411,000 in revenue through Banking Section activities, comprised mainly of semi-annual assessments collected from depository institutions.
- Hosted 2012 Alaska Bankers Roundtable and Community Credit Workshop.

Consumer Finance

- Presented 18 junior achievement sessions to three middle school classes.
- Conducted investigations of consumer complaints and commenced enforcement actions against unlicensed entities.
- Issued 203 mortgage broker/lender licenses and 56 money service business licenses.
- Examined three mortgage lender/brokers and six money service business licensees, resulting in three investigations and referrals for enforcement and \$12,500 in fines.

Securities

- Generally, in order to offer or sell securities in Alaska, the firms, individuals and the securities, must be registered in Alaska. At the close of FY2012, there were 1,243 broker/dealers (50 with branches in Alaska) and 726 investment advisers employing over 81,000 salespersons that can work with investors in Alaska. Nearly 1,800 of these salespersons are located in Alaska. Of the Alaska state registered investment advisers, 25 have their primary locations in Alaska,
- Requested that 15 applicants for salesperson registration vacate or withdraw their applications because of their disciplinary backgrounds, in lieu of denial.
- Received notice filings for 5,949 mutual fund and unit investment trusts (including renewals).
- Reviewed and approved 411 securities registrations; processed 169 exemption from securities registration filings; and processed ten no action or interpretive letters.
- Conducted six investment adviser examinations and one broker-dealer examination.
- Received 12 ANCSA requests for investigation and six investigations were opened. Additionally, the staff fielded over 230 substantive inquiries regarding ANCSA corporations, statutes, and regulations.
- Collected approximately \$12,426,953 in fees through Securities Section activities.
- Collaborated with AARP to produce two more televised programs aired on *Alaska 360* relating to investor education, as well as assisting with one on-site investor education class in Ketchikan. The division also provided four articles for publication in the *Senior Voice* focusing on protecting assets and understanding investment risk.

Key Component Challenges

The division is exploring ways to maximize trained staff to conduct timely investigations, lead outreach efforts, and provide licensing and examination of the dozen programs this agency administers.

Outreach and education efforts to small businesses, investors, and consumers of financial products to ensure consumer protection is limited by available resources. Consumers and investors need to know where to go for information regarding persons and entities selling financial products and services. They also need to recognize red flags before they part with their money, where to call if they need help, or suspect a scam. The division is working towards increasing educational resources for consumers via the division website.

Significant Changes in Results to be Delivered in FY2014

No significant changes are expected.

Statutory and Regulatory Authority

AS 06	Financial Institutions
3 AAC 01, 02, 03, 06, 07, 12, 13 & 14	Financial Institutions
AS 10.13	Business and Industrial Development Corporation Act
AS 34.55	Land Sales Act
AS 45.55 and AS 45.57	Securities
3 AAC 08	Securities

Contact Information
<p>Contact: Lorie L. Hovanec, Division Director Phone: (907) 465-2521 Fax: (907) 465-2549 E-mail: lorie.hovanec@alaska.gov</p>

Banking and Securities Component Financial Summary			
		<i>All dollars shown in thousands</i>	
	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	2,113.2	2,425.7	2,447.9
72000 Travel	159.9	263.6	242.1
73000 Services	915.2	817.7	817.7
74000 Commodities	243.5	46.4	46.4
75000 Capital Outlay	0.0	28.0	28.0
77000 Grants, Benefits	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	3,431.8	3,581.4	3,582.1
Funding Sources:			
1005 General Fund/Program Receipts	3,431.8	3,581.4	3,582.1
Funding Totals	3,431.8	3,581.4	3,582.1

Estimated Revenue Collections				
Description	Master Revenue Account	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
Unrestricted Revenues				
General Fund Program Receipts	51060	8,995.2	0.0	0.0
Unrestricted Total		8,995.2	0.0	0.0
Restricted Revenues				
General Fund Program Receipts	51060	3,431.8	3,581.4	3,582.1
Restricted Total		3,431.8	3,581.4	3,582.1
Total Estimated Revenues		12,427.0	3,581.4	3,582.1

**Summary of Component Budget Changes
From FY2013 Management Plan to FY2014 Governor**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2013 Management Plan	0.0	3,581.4	0.0	0.0	3,581.4
Adjustments which will continue current level of service:					
-FY2014 Salary and Health Insurance Increases	0.0	0.7	0.0	0.0	0.7
FY2014 Governor	0.0	3,582.1	0.0	0.0	3,582.1

**Banking and Securities
Personal Services Information**

Authorized Positions			Personal Services Costs	
	FY2013 Management Plan	FY2014 Governor		
Full-time	24	24	Annual Salaries	1,623,516
Part-time	0	0	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	952,448
			<i>Less 4.97% Vacancy Factor</i>	<i>(128,064)</i>
			Lump Sum Premium Pay	0
Totals	24	24	Total Personal Services	2,447,900

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Administrative Assistant I	0	0	1	0	1
Business Reg Examiner	1	0	2	0	3
Division Director	1	0	0	0	1
Division Operations Manager	1	0	0	0	1
Financial Instit Exam I	0	0	1	0	1
Financial Instit Exam II	1	0	1	0	2
Financial Instit Exam III	3	0	0	0	3
Financial Instit Exam IV	0	0	1	0	1
Investigator II	0	0	1	0	1
Investigator III	1	0	0	0	1
Occup Licensing Examiner	2	0	0	0	2
Office Assistant II	0	0	1	0	1
Secretary	1	0	0	0	1
Securities Examiner I	4	0	0	0	4
Securities Examiner II	1	0	0	0	1
Totals	16	0	8	0	24

Component Detail All Funds
Department of Commerce, Community, and Economic Development

Component: Banking and Securities (AR29594) (2808)

RDU: Banking and Securities (536)

	FY2012 Actuals	FY2013 Conference Committee	FY2013 Authorized	FY2013 Management Plan	FY2014 Governor	FY2013 Management Plan vs FY2014 Governor	
71000 Personal Services	2,113.2	2,425.7	2,425.7	2,425.7	2,447.9	22.2	0.9%
72000 Travel	159.9	313.6	313.6	263.6	242.1	-21.5	-8.2%
73000 Services	915.2	767.7	767.7	817.7	817.7	0.0	0.0%
74000 Commodities	243.5	46.4	46.4	46.4	46.4	0.0	0.0%
75000 Capital Outlay	0.0	28.0	28.0	28.0	28.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	3,431.8	3,581.4	3,581.4	3,581.4	3,582.1	0.7	0.0%
Fund Sources:							
1005 GF/Prgm (DGF)	3,431.8	3,581.4	3,581.4	3,581.4	3,582.1	0.7	0.0%
Unrestricted General (UGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Designated General (DGF)	3,431.8	3,581.4	3,581.4	3,581.4	3,582.1	0.7	0.0%
Other Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Federal Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Positions:							
Permanent Full Time	25	23	23	24	24	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios With Descriptions
Department of Commerce, Community, and Economic Development

Component: Banking and Securities (2808)

RDU: Banking and Securities (536)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2013 Conference Committee To FY2013 Authorized *****												
FY2013 Conference Committee												
ConfCom		3,581.4	2,425.7	313.6	767.7	46.4	28.0	0.0	0.0	23	0	0
1005 GF/Prgm		3,581.4										
Subtotal		3,581.4	2,425.7	313.6	767.7	46.4	28.0	0.0	0.0	23	0	0
***** Changes From FY2013 Authorized To FY2013 Management Plan *****												
Add Financial Institution Examiner III (08-#043) for Mortgage Lending and Money Service Programs												
PosAdj		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1	0	0
A Financial Institution Examiner III, 08-#043, range 21, Anchorage, to perform statutorily required exams on mortgage lending and money service programs established in SLA 2007 HB 162. Statute mandates at least one examination every 18 months. With current resources of two examiners, it would take over 12 years to complete one round of exams on these growing programs. Adding one new examiner will improve the estimated time between exams from 12 to nine years.												
Align Authority with Budgeted Expenditures												
LIT		0.0	0.0	-50.0	50.0	0.0	0.0	0.0	0.0	0	0	0
Transfer to align FY2013 authorization with the anticipated budget needs.												
Subtotal		3,581.4	2,425.7	263.6	817.7	46.4	28.0	0.0	0.0	24	0	0
***** Changes From FY2013 Management Plan To FY2014 Governor *****												
Align Authority to Comply with Vacancy Factor Guidelines												
LIT		0.0	21.5	-21.5	0.0	0.0	0.0	0.0	0.0	0	0	0
This aligns authority to comply with vacancy factor guidelines.												
FY2014 Salary and Health Insurance Increases												
SalAdj		0.7	0.7	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1005 GF/Prgm		0.7										
FY2014 Salary and Health Insurance increase : \$0.7												
FY2014 Health Insurance increase of \$59.00 per month per employee - from \$1,330 to \$1,389 per month Non-covered: \$0.7												
Totals		3,582.1	2,447.9	242.1	817.7	46.4	28.0	0.0	0.0	24	0	0

Personal Services Expenditure Detail
Department of Commerce, Community, and Economic Development

Scenario: FY2014 Governor (10289)
Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	GF Amount
08-1280	Investigator II	FT	A	GP	Juneau	205	16D / E	12.0		55,949	0	0	35,773	91,722	91,722
08-2041	Investigator III	FT	A	GP	Anchorage	200	18M / N	12.0		80,988	0	0	44,349	125,337	125,337
08-2110	Occup Licensing Examiner	FT	A	GP	Anchorage	200	13F / G	12.0		46,569	0	0	32,560	79,129	79,129
08-2112	Occup Licensing Examiner	FT	A	GP	Anchorage	200	13C / D	12.0		42,026	0	0	31,004	73,030	73,030
08-2210	Financial Instit Exam III	FT	A	GP	Anchorage	200	21E / F	12.0		77,724	0	0	43,231	120,955	120,955
08-3001	Division Director	FT	A	XE	Anchorage	NAA	27J / K	12.0		126,612	0	0	59,241	185,853	185,853
08-3002	Administrative Assistant I	FT	A	GP	Juneau	205	12A	12.0		38,292	0	0	29,725	68,017	68,017
08-3003	Financial Instit Exam IV	FT	A	SS	Juneau	205	22L / M	12.0		107,190	0	0	52,817	160,007	160,007
08-3004	Securities Examiner I	FT	A	GP	Anchorage	200	21A / B	12.0		69,486	0	0	40,409	109,895	109,895
08-3008	Division Operations Manager	FT	A	SS	Anchorage	200	24E / F	12.0		98,556	0	0	49,859	148,415	148,415
08-3010	Securities Examiner I	FT	A	GP	Anchorage	200	21K	12.0		88,740	0	0	47,004	135,744	135,744
08-3014	Securities Examiner I	FT	A	GP	Anchorage	200	21F / G	12.0		80,234	0	0	44,090	124,324	124,324
08-3021	Securities Examiner II	FT	A	SS	Anchorage	200	22E / F	12.0		88,500	0	0	46,415	134,915	134,915
08-3024	Business Reg Examiner	FT	A	GP	Juneau	205	13F / G	12.0		49,473	0	0	33,555	83,028	83,028
08-3027	Securities Examiner I	FT	A	GP	Anchorage	200	21A / B	12.0		69,384	0	0	40,374	109,758	109,758
08-3028	Business Reg Examiner	FT	A	GP	Juneau	205	13J / K	12.0		53,283	0	0	34,860	88,143	88,143
08-3030	Financial Instit Exam II	FT	A	GP	Juneau	205	19C / D	12.0		66,210	0	0	39,287	105,497	105,497
08-3088	Financial Instit Exam I	FT	A	GP	Juneau	205	17C / D	12.0		58,166	0	0	36,532	94,698	94,698
08-3089	Secretary	FT	A	GP	Anchorage	200	11D / E	12.0		37,887	0	0	29,587	67,474	67,474
08-3095	Business Reg Examiner	FT	A	GP	Anchorage	200	13F / G	12.0		47,604	0	0	32,915	80,519	80,519
08-3098	Office Assistant II	FT	A	GP	Juneau	205	10G / J	12.0		40,847	0	0	30,600	71,447	71,447
08-3099	Financial Instit Exam II	FT	A	GP	Anchorage	200	19B / C	12.0		60,996	0	0	37,501	98,497	98,497
08-3100	Financial Instit Exam III	FT	A	GP	Anchorage	200	21B / C	12.0		71,012	0	0	40,932	111,944	111,944
08-3101	Financial Instit Exam III	FT	A	GP	Anchorage	100	21A	12.0		67,788	0	0	39,828	107,616	107,616

Total Positions	24	0	0	Total Salary Costs:	1,623,516
Full Time Positions:	24	0	0	Total COLA:	0
Part Time Positions:	0	0	0	Total Premium Pay::	0
Non Permanent Positions:	0	0	0	Total Benefits:	952,448
Positions in Component:	24	0	0	Total Pre-Vacancy:	2,575,964
Total Component Months:	288.0			Minus Vacancy Adjustment of 4.97%:	(128,064)
				Total Post-Vacancy:	2,447,900
				Plus Lump Sum Premium Pay:	0
				Personal Services Line 100:	2,447,900

Note: If a position is split, an asterisk (*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (**) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

Personal Services Expenditure Detail
Department of Commerce, Community, and Economic Development

Scenario: FY2014 Governor (10289)
Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

PCN Funding Sources:	Pre-Vacancy	Post-Vacancy	Percent
1005 General Fund/Program Receipts	2,575,964	2,447,900	100.00%
Total PCN Funding:	2,575,964	2,447,900	100.00%

Note: If a position is split, an asterisk (*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (**) will appear in this column.
 [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

Line Item Detail
Department of Commerce, Community, and Economic Development
Travel

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Line Number	Line Name		FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
72000	Travel		159.9	263.6	242.1
Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
72000 Travel Detail Totals			159.9	263.6	242.1
72100	Instate Travel	InstateTransportation - Transportation costs for travel relating to field work, administration, examinations, conventions and meetings.	77.0	171.1	159.2
72400	Out Of State Travel	Out of StateTransportation - Transportation costs for travel relating to field work, administration, examinations, conventions and meetings.	82.4	90.0	82.4
72700	Moving Costs	Moving and relocation costs.	0.0	2.2	0.0
72900	Other Travel Costs	Miscellaneous travel-related expenses.	0.5	0.3	0.5

Line Item Detail
Department of Commerce, Community, and Economic Development
Services

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Line Number	Line Name			FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
73000	Services			915.2	817.7	817.7
Expenditure Account	Servicing Agency	Explanation		FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
73000 Services Detail Totals				915.2	817.7	817.7
73025	Education Services	Training, educational conferences, agency memberships, tuition, books and fees for work-related courses. CSBS and Supervisor Dues.		30.2	12.0	12.0
73050	Financial Services	Accounting, auditing, management consulting, grantee monitoring, loan servicing and insurance related services.		21.9	0.5	0.5
73075	Legal & Judicial Svc			0.0	0.0	0.0
73150	Information Technlgy	Software Licensing		4.8	4.6	4.6
73156	Telecommunication	Local, long-distance, and cellular telephone charges, television, data/network, telecommunication equipment, and other wireless charges from outside vendors.		4.0	4.5	3.7
73225	Delivery Services	Promotional materials distribution, courier services, and product shipping.		5.2	2.0	2.0
73450	Advertising & Promos	Professional Services - Promotional programs in the domestic and export markets.		1.9	0.6	0.6
73650	Struc/Infstruct/Land	Structure, infrastructure and land repairs, maintenance, rentals and leases.		14.9	5.7	5.7
73675	Equipment/Machinery	Machinery, furniture and equipment purchase, repair, maintenance, rental, and leases.		1.9	1.0	1.0
73750	Other Services (Non IA Svcs)	Program management/consulting, economic/development, safety-related services, printing, copying, and other services.		2.3	0.2	0.2
73805	IT-Non-Telecommunication	Admin	Enterprise Technology Services - Multiple computer services - including mainframe applications, Alaska Budget System (ABS), antivirus and MICS.	13.2	13.2	13.2
73805	IT-Non-Telecommunication	E&ED	Division of Libraries, Archives and Museums - micrographic services for microfiche/microfilm	0.0	2.0	0.0

Line Item Detail
Department of Commerce, Community, and Economic Development
Services

Component: Banking and Securities (2808)

RDU: Banking and Securities (536)

Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
73000 Services Detail Totals			915.2	817.7	817.7
73806	IT-Telecommunication	Admin Enterprise Technology Services - Non-telephone telecommunication services, including dedicated circuits, space and power, radio circuits, videoconferencing, etc. (EPR).	26.6	23.7	23.7
73809	Mail	Admin Division of General Services - Pro-rated share of expenses relating to central mailroom support and services.	4.7	5.2	4.7
73810	Human Resources	Admin Division of Personnel - Human resource and personnel services	17.8	10.0	17.8
73811	Building Leases	Admin Rental of State-owned buildings - Atwood Building.	76.3	76.6	76.3
73812	Legal	Law Dept Law services	276.0	240.3	240.3
73814	Insurance	Admin Division of Risk Management - Risk management insurance charges for leased buildings, repossessed properties, aviation, public official bonds, and overhead.	0.3	0.3	0.3
73815	Financial	Admin Div. of Finance – Computer services for state accounting (AKSAS) and payroll (AKPAY) systems	1.3	1.1	1.3
73816	ADA Compliance	Labor Division of Vocational Rehabilitation - Pro-rated share of expenses relating to Americans with Disabilities Act (ADA) enforcement.	0.3	0.3	0.3
73819	Commission Sales (IA Svcs)	State Travel Office US Travel LLC, STO Advance Only, for all US Travel payments.	2.3	2.0	2.3
73821	Hearing/Mediation (IA Svcs)	Admin Hearing Officer services	0.0	5.0	5.0
73827	Safety (IA Svcs)	PubSaf Mortgage Lending Fingerprinting.	8.4	8.0	8.4
73848	State Equip Fleet	Trans State Equipment Fleet Services.	0.2	0.2	0.2
73979	Mgmt/Consulting (IA Svcs)	Administrative Services Division of Administrative Services - Management support services.	341.7	347.5	342.4
73979	Mgmt/Consulting (IA Svcs)	Commissioner's Office Commissioner's office - Management support services.	59.0	51.2	51.2
73979	Mgmt/Consulting (IA Svcs)	Corp, Bus & Prof Licensing	0.0	0.0	0.0

Line Item Detail
Department of Commerce, Community, and Economic Development
Commodities

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Line Number	Line Name		FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
74000	Commodities		243.5	46.4	46.4
Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
74000 Commodities Detail Totals			243.5	46.4	46.4
74200	Business	Standard office supplies and replacement furniture.	243.5	46.4	46.4

Line Item Detail
Department of Commerce, Community, and Economic Development
Capital Outlay

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Line Number	Line Name		FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
75000	Capital Outlay		0.0	28.0	28.0
Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
75000 Capital Outlay Detail Totals			0.0	28.0	28.0
75700	Equipment	Equipment replacement and filing system	0.0	28.0	28.0

Unrestricted Revenue Detail
Department of Commerce, Community, and Economic Development

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Master Account	Revenue Description				FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
51060	General Fund Program Receipts				8,995.2	0.0	0.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
51060	GF Program Receipts				8,995.2	0.0	0.0

Restricted Revenue Detail
Department of Commerce, Community, and Economic Development

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Master Account	Revenue Description	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
51060	General Fund Program Receipts	3,431.8	3,581.4	3,582.1

Detail Information					FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund			
51060	GF Program Receipts				3,431.8	3,581.4	3,582.1
Banking revenue is generated from assessing semiannually each state-chartered financial institution a fee for a regular examination based on total assets; special examinations of financial institutions; fees collected for the licensing of small loan and premium finance companies; and applications for new organizations and facilities.							

Inter-Agency Services
Department of Commerce, Community, and Economic Development

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2012 Actuals	FY2013		
					Management Plan	FY2014 Governor	
73805	IT-Non-Telecommunication	Enterprise Technology Services - Multiple computer services - including mainframe applications, Alaska Budget System (ABS), antivirus and MICS.	Inter-dept	Admin	13.2	13.2	13.2
73805	IT-Non-Telecommunication	Division of Libraries, Archives and Museums - micrographic services for microfiche/microfilm	Inter-dept	E&ED	0.0	2.0	0.0
73805 IT-Non-Telecommunication subtotal:					13.2	15.2	13.2
73806	IT-Telecommunication	Enterprise Technology Services - Non-telephone telecommunication services, including dedicated circuits, space and power, radio circuits, videoconferencing, etc. (EPR).	Inter-dept	Admin	26.6	23.7	23.7
73806 IT-Telecommunication subtotal:					26.6	23.7	23.7
73809	Mail	Division of General Services - Pro-rated share of expenses relating to central mailroom support and services.	Inter-dept	Admin	4.7	5.2	4.7
73809 Mail subtotal:					4.7	5.2	4.7
73810	Human Resources	Division of Personnel - Human resource and personnel services	Inter-dept	Admin	17.8	10.0	17.8
73810 Human Resources subtotal:					17.8	10.0	17.8
73811	Building Leases	Rental of State-owned buildings - Atwood Building.	Inter-dept	Admin	76.3	76.6	76.3
73811 Building Leases subtotal:					76.3	76.6	76.3
73812	Legal	Dept Law services	Inter-dept	Law	276.0	240.3	240.3
73812 Legal subtotal:					276.0	240.3	240.3
73814	Insurance	Division of Risk Management - Risk management insurance charges for leased buildings, repossessed properties, aviation, public official bonds, and overhead.	Inter-dept	Admin	0.3	0.3	0.3
73814 Insurance subtotal:					0.3	0.3	0.3
73815	Financial	Div. of Finance – Computer services for state accounting (AKSAS) and payroll (AKPAY) systems	Inter-dept	Admin	1.3	1.1	1.3
73815 Financial subtotal:					1.3	1.1	1.3
73816	ADA Compliance	Division of Vocational Rehabilitation - Pro-rated share of expenses relating to Americans with Disabilities Act (ADA) enforcement.	Inter-dept	Labor	0.3	0.3	0.3
73816 ADA Compliance subtotal:					0.3	0.3	0.3
73819	Commission Sales (IA Svcs)	US Travel LLC, STO Advance Only, for all US Travel payments.	Inter-dept	State Travel Office	2.3	2.0	2.3
73819 Commission Sales (IA Svcs) subtotal:					2.3	2.0	2.3
73821	Hearing/Mediation (IA Svcs)	Hearing Officer services	Inter-dept	Admin	0.0	5.0	5.0
73821 Hearing/Mediation (IA Svcs) subtotal:					0.0	5.0	5.0
73827	Safety (IA Svcs)	Mortgage Lending Fingerprinting.	Inter-dept	PubSaf	8.4	8.0	8.4
73827 Safety (IA Svcs) subtotal:					8.4	8.0	8.4

Inter-Agency Services
Department of Commerce, Community, and Economic Development

Component: Banking and Securities (2808)
RDU: Banking and Securities (536)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2012 Actuals	FY2013	
					Management Plan	FY2014 Governor
73848	State Equip Fleet	State Equipment Fleet Services.	Inter-dept Trans	0.2	0.2	0.2
73848 State Equip Fleet subtotal:				0.2	0.2	0.2
73979	Mgmt/Consulting (IA Svcs)	Division of Administrative Services - Management support services.	Intra-dept Administrative Services	341.7	347.5	342.4
73979	Mgmt/Consulting (IA Svcs)	Commissioner's office - Management support services.	Inter-dept Commissioner's Office	59.0	51.2	51.2
73979	Mgmt/Consulting (IA Svcs)		Inter-dept Corp, Bus & Prof Licensing	0.0	0.0	0.0
73979 Mgmt/Consulting (IA Svcs) subtotal:				400.7	398.7	393.6
Banking and Securities total:				828.1	786.6	787.4
Grand Total:				828.1	786.6	787.4