

State of Alaska FY2013 Governor's Operating Budget

Department of Health and Social Services Services to the Seriously Mentally Ill Component Budget Summary

Component: Services to the Seriously Mentally Ill**Contribution to Department's Mission**

Increase the number of at-risk adults with serious mental illness who are able to live safely in the least restrictive community based setting.

Core Services

- To provide psychiatric and rehabilitative services to adults with serious mental illness through grants to community mental health agencies. Core services are assessment, psychotherapy, case management, medication management and skill building services to support independent living and maximize quality of life. Specialized services include supported residential and employment programs.

Key Component Challenges

- Seriously mentally ill (SMI) adults continue to experience hospitalization and incarceration, especially in Anchorage and the South Central regions which are the most underserved according to prevalence data. Service gaps exist for individuals with SMI and complex cognitive and behavioral disorders resulting in hospitalization, incarceration and out-of-state placement.
- AKAIMS data for FY2011 indicates that approximately 39% of clients had Medicaid as their primary insurance with 17% of clients served having no insurance of any type. Clients in general do not have many personal resources on which to draw. Mental health services are financed primarily through grants and Medicaid. Non-resourced clients cause financial strain for providers.
- Individuals exiting correctional facilities, or involved with the Court System, lack supportive housing to prevent repeated episodes of homelessness and institutionalization. These clients are often denied housing due to the nature of their criminal offense (arson, sex offenses, and violent assault).
- There are not enough direct service mental health workers because wages are low and work is difficult.
- Supported Employment is an Evidence Based Practice and key element in recovery for many individuals and needs continued support in the state.
- Assisted Living Home providers serve more than three hundred individuals around the state with mental health issues and need better preparation to work with increasingly complex resident mental health problems and behaviors.
- There is a statewide shortage of residential supportive housing that can accommodate clients having behavioral health issues too severe to be managed in a standard assisted living home but who are not so ill that they require hospitalization.

Significant Changes in Results to be Delivered in FY2013

No significant changes in results to be delivered are anticipated in FY2013.

Major Component Accomplishments in 2011

The focus of care continued to be a reduction of use of the Alaska Psychiatric Institute (API) and Department of Corrections (DOC) facilities through a concurrent increase in community supports, appropriate interventions and housing stability. The Bridge-Home Pilot program and the DOC Discharge Incentives program continue to deliver results consistent with their missions. In FY2011, there was a shift in focus in that the DOC program is attempting to serve mentally and behaviorally complex adults who formerly were sent out of state for residential care, and the Bridge Home program is working with clients having more severe issues than previously. The reporting requirements for the programs have been revamped to be more outcome and results-oriented rather than purely quantitative. The Adult Individualized Services (ISA) program was established and began funding services for the hard to serve population of seriously mentally ill adults with no benefits or other resources.

Specific program accomplishments in FY2011:

- 50 Bridge Home clients with histories of high utilization of the Alaska Psychiatric Institute (API) and Department of Corrections (DOC) resources and history of housing instability were served by the program. Those admitted to the Bridge Home since July 1, 2010 spent 766 days in jail and 78 days in API in the year preceding their involvement. Through the end of the fourth quarter of FY2011, these same had spent no time in API and 35 days in jail.
- 74 clients released from DOC were housed through the Discharge Incentive grants, most of whom would have been otherwise homeless.
- During the fourth quarter of FY2011, 823 unduplicated persons were served by the Mental Health Web, a peer operated program serving a population with high representation of Alaska Natives. A client satisfaction survey done during the fourth quarter of FY2011 shows that 91% of clients reported an improvement in their functioning or quality of life.
- A peer support specialist from a community based peer provider agency meets with hard-to-engage API patients prior to discharge in an effort to engage them in support services as they return to the community.
- 12 agencies utilized Adult Individualized Services Agreements with 12 agencies to provide services to unresourced consumers.
- Provided services to 10,752 seriously mentally ill adults statewide.

Statutory and Regulatory Authority

AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.655 - 915	State Mental Health Policy
AS 47.30.011 - 061	Mental Health Trust Authority
7 AAC 78	Grant Programs
7 AAC 72	Civil Commitment
7 AAC 71	Community Mental Health Services

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Services to the Seriously Mentally III Component Financial Summary			
		<i>All dollars shown in thousands</i>	
	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	80.5	520.9	45.9
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	16,539.5	16,620.9	16,920.9
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	16,620.0	17,141.8	16,966.8
Funding Sources:			
1002 Federal Receipts	890.1	972.0	972.0
1004 General Fund Receipts	1,238.5	1,194.5	1,194.5
1037 General Fund / Mental Health	13,391.4	13,825.3	13,850.3
1092 Mental Health Trust Authority Authorized Receipts	1,100.0	1,150.0	950.0
Funding Totals	16,620.0	17,141.8	16,966.8

Estimated Revenue Collections				
Description	Master Revenue Account	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	890.1	972.0	972.0
Restricted Total		890.1	972.0	972.0
Total Estimated Revenues		890.1	972.0	972.0

**Summary of Component Budget Changes
From FY2012 Management Plan to FY2013 Governor**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2012 Management Plan	15,019.8	0.0	1,150.0	972.0	17,141.8
Adjustments which will continue current level of service:					
-Reverse Alaska Complex Behavior Collaborative Half-Year Funding	-325.0	0.0	0.0	0.0	-325.0
-Reverse FY2012 Mental Health Trust Recommendation	0.0	0.0	-1,150.0	0.0	-1,150.0
Proposed budget increases:					
-MH Trust: Housing - Grant 604.07 Department of Corrections Discharge Incentive Grants	50.0	0.0	200.0	0.0	250.0
-MH Trust: Housing - Grant 575.07 Bridge Home Program & Expansion	300.0	0.0	750.0	0.0	1,050.0
FY2013 Governor	15,044.8	0.0	950.0	972.0	16,966.8

Component Detail All Funds
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

	FY2011 Actuals	FY2012 Conference Committee	FY2012 Authorized	FY2012 Management Plan	FY2013 Governor	FY2012 Management Plan vs FY2013 Governor	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	80.5	195.9	520.9	520.9	45.9	-475.0	-91.2%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	16,539.5	16,638.4	16,638.4	16,620.9	16,920.9	300.0	1.8%
78000 Miscellaneous	0.0	325.0	0.0	0.0	0.0	0.0	0.0%
Totals	16,620.0	17,159.3	17,159.3	17,141.8	16,966.8	-175.0	-1.0%
Fund Sources:							
1002 Fed Rcpts (Other)	890.1	989.5	989.5	972.0	972.0	0.0	0.0%
1004 Gen Fund (UGF)	1,238.5	1,194.5	1,194.5	1,194.5	1,194.5	0.0	0.0%
1037 GF/MH (UGF)	13,391.4	13,825.3	13,825.3	13,825.3	13,850.3	25.0	0.2%
1092 MHTAAR (Other)	1,100.0	1,150.0	1,150.0	1,150.0	950.0	-200.0	-17.4%
Unrestricted General (UGF)	14,629.9	15,019.8	15,019.8	15,019.8	15,044.8	25.0	0.2%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	1,100.0	1,150.0	1,150.0	1,150.0	950.0	-200.0	-17.4%
Federal Funds	890.1	989.5	989.5	972.0	972.0	0.0	0.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally III (800)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2012 Conference Committee To FY2012 Authorized *****												
FY2012 Conference Committee												
	ConfCom	17,159.3	0.0	0.0	195.9	0.0	0.0	16,638.4	325.0	0	0	0
1002 Fed Rcpts		989.5										
1004 Gen Fund		1,194.5										
1037 GF/MH		13,825.3										
1092 MHTAAR		1,150.0										
ADN 06-2-0022 Budget implementation revision												
	LIT	0.0	0.0	0.0	325.0	0.0	0.0	0.0	-325.0	0	0	0
Transfer authority from the miscellaneous line to the services line within the component.												
The Hub is conceptualized as a point of entry into the Alaska Complex Behavior Collaborative (Collaborative). Individuals may be brought to the attention of the Hub when their behaviors are complex, presenting a high risk of danger to self or others; the interventions required to ensure the safety of those involved are outside the skill-set of the current program staff. This increment funds operations for half a year.												
Subtotal		17,159.3	0.0	0.0	520.9	0.0	0.0	16,638.4	0.0	0	0	0
***** Changes From FY2012 Authorized To FY2012 Management Plan *****												
ADN 06-2-0231 Transfer federal authority to BH Administration to allow for Medicaid claiming												
	Trout	-17.5	0.0	0.0	0.0	0.0	0.0	-17.5	0.0	0	0	0
1002 Fed Rcpts		-17.5										
Transfer unrealizable federal authority from the Seriously Mentally III (SMI) Component to Behavioral Health Administration Component to allow for the collection of Medicaid receipts. Excess federal receipt authorization had been held in the SMI component to allow for a potential increase to the formula allocation of the federal Block grant funds to the State of Alaska. That increase has not materialized.												
Subtotal		17,141.8	0.0	0.0	520.9	0.0	0.0	16,620.9	0.0	0	0	0
***** Changes From FY2012 Management Plan To FY2013 Governor *****												
Reverse Alaska Complex Behavior Collaborative Half-Year Funding												
	OTI	-325.0	0.0	0.0	-325.0	0.0	0.0	0.0	0.0	0	0	0
1037 GF/MH		-325.0										
This transaction reverses one-time funding for the Alaska Complex Behavior Collaborative project.												
Reverse FY2012 Mental Health Trust Recommendation												
	OTI	-1,150.0	0.0	0.0	-150.0	0.0	0.0	-1,000.0	0.0	0	0	0
1092 MHTAAR		-1,150.0										

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
This zero-based adjustment record includes all MHTAAR and/or MHT Admin funding for FY2012 for this component.												
MH Trust: AK Alc Bd-Alaska Complex Behavior Collaborative \$150.0												
MH Trust: Housing-Grant 575.06 Bridge Home Program & Expansion \$750.0												
MH Trust: Housing-Grant 604.06 department of Corrections discharge incentive grants \$250.0												
MH Trust: Housing - Grant 604.07 Department of Corrections Discharge Incentive Grants												
	IncM	250.0	0.0	0.0	0.0	0.0	0.0	250.0	0.0	0	0	0
1037 GF/MH		50.0										
1092 MHTAAR		200.0										
This project is a joint strategy in the Trust's Affordable Housing Initiative and the Disability Justice workgroups. It is consistent with the Housing focus on 'community re-entry' by targeting beneficiaries exiting Department of Corrections settings who are challenging to serve and who require extended supervision and support services to prevent repeat incarceration and becoming a public safety concern. These funds will be administered by the Division of Behavioral Health as Assisted Living Home vouchers or support service resources. Resources will also be targeted to increase the skill level and capacity for assisted living providers to successfully house this population.												
MH Trust: Housing - Grant 575.07 Bridge Home Program & Expansion												
	IncM	1,050.0	0.0	0.0	0.0	0.0	0.0	1,050.0	0.0	0	0	0
1037 GF/MH		300.0										
1092 MHTAAR		750.0										
This project replicates successful transition programs in other states for individuals 'cycling' through emergency and institutional settings. The focus locations for the project will ultimately expand to include Anchorage, Juneau and possibly other locations where Alaska Housing Finance Corporation administers rental subsidies. Institutions targeted for re-entry include: Alaska Psychiatric Institution, Department of Corrections' facilities, hospital emergency services and other high-cost social service and health programs. The project allows for up to 100 individuals to receive less expensive, continuous services, including a rental subsidy (estimate based on charging the tenant 30% of income) in order to 'bridge' from institutional discharge onto the U.S. Department of Housing and Urban Development Housing Choice voucher program (formerly known as the Section 8 housing voucher program) paired with intensive in-home support services. This pairing of resources for beneficiaries has proven successful in other states in reducing recidivism and impacts on service systems. Alaska's success rates have been demonstrated in reduction of return to Corrections and in use of emergency level services in the initial years of the project. This request reflects a \$300.0 increment for the project, which allows for expansion of the program in other critical parts of the state outside of Anchorage and assists in increasing the intensity of services for people with more complex service delivery needs.												
Totals		16,966.8	0.0	0.0	45.9	0.0	0.0	16,920.9	0.0	0	0	0

Line Item Detail
Department of Health and Social Services
Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000	Services		80.5	520.9	45.9
Expenditure Account			FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000 Services Detail Totals			80.5	520.9	45.9
73025	Education Services		41.9	0.0	0.0
73175	Health Services		0.0	475.0	0.0
		Alaska Complex Behavioral Collaborative Hub (\$150 Trust and \$325 GF)			
73750	Other Services (Non IA Svcs)		38.6	0.0	0.0
73979	Mgmt/Consulting (IA Svcs)	AK MH/Alc & Drug Abuse Brds	0.0	45.9	45.9
		RSA with AMHB for planning requirements of the CMHS Block Grant			
		RSA with AMHB for planning requirements of the CMHS Block Grant			

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
77000	Grants, Benefits		16,539.5	16,620.9	16,920.9
Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
77000 Grants, Benefits Detail Totals			16,539.5	16,620.9	16,920.9
77110	Grants		16,530.9	0.0	0.0
77110	Grants	Individual Case Management grants	0.0	0.3	0.3
77110	Grants	Individual Case Management grants			
77110	Grants	Bridge Home Program & Expansion - Trust funded	0.0	750.0	1,050.0
		Bridge Home Program & Expansion - (\$750.0 Trust and \$300.0 GF)			
77110	Grants	Services for crital adults (\$140.8 GF and \$159.2 CMHS Block Grt)	0.0	300.0	300.0
		Services for critical adults (\$140.8 GF and \$159.2 CMHS Block Grant)			
77110	Grants	DOC Incentive Discharge (\$250.0 Trust and 150.0 GF base)	0.0	400.0	400.0
		DOC Incentive Discharge (\$200.0 Trust and \$200.0 GF base)			
77110	Grants	Supported Employment grants funded from the federal CMHS Block Grant	0.0	414.4	414.4
		Supported Employment grants funded from the federal CMHS Block Grant			
77110	Grants	Peer/consumer Evidence Based Practice Grants - GF	0.0	335.0	335.0
		Peer/consumer Evidence Based Practice Grants - GF			
77110	Grants	PATH homelessness grant	0.0	300.0	300.0
		PATH homelessness grant			
77110	Grants	Behavioral Health Provider Association - GF	0.0	50.9	50.9
		Behavioral Health Provider Association - GF			
77110	Grants	SMI Individual Service Agreements	0.0	1,000.0	1,000.0
		SMI Individual Service Agreements			
77110	Grants	Assisted Living provider agreements	0.0	2,719.5	2,719.5
		Assisted Living provider agreements			

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Services to the Seriously Mentally Ill (800)

RDU: Behavioral Health (483)

Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
77000 Grants, Benefits Detail Totals			16,539.5	16,620.9	16,920.9
77110	Grants	Services for Seriously Mentally Ill awarded under the Comprehensive Treatment & Recovery Grant Program	0.0	10,350.8	10,350.8
		Services for Seriously Mentally Ill awarded under the Comprehensive Treatment & Recovery Grant Program			
77110	Grants	Alaska Complex Behavioral Collaborative Hub provider agreements	0.0	0.0	0.0
77670	Benefits		8.6	0.0	0.0

Restricted Revenue Detail
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
51010	Federal Receipts				890.1	972.0	972.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
51010	Federal Receipts		06338607	11100	294.0	300.0	300.0
	SAMHSA Projects for Assistance in Transition from Homelessness (PATH) Federal CFDA 93.150						
51010	Federal Receipts		06338704, 05, 06, 07	11100	596.1	672.0	672.0
	SAMHSA Community Mental Health Service Block Grant Federal CFDA 93.958						

Inter-Agency Services
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2011 Actuals	FY2012	
					Management Plan	FY2013 Governor
73979	Mgmt/Consulting (IA Svcs) RSA with AMHB for planning requirements of the CMHS Block Grant RSA with AMHB for planning requirements of the CMHS Block Grant	Intra-dept	AK MH/Alc & Drug Abuse Brds	0.0	45.9	45.9
73979 Mgmt/Consulting (IA Svcs) subtotal:				0.0	45.9	45.9
Services to the Seriously Mentally Ill total:				0.0	45.9	45.9
Grand Total:				0.0	45.9	45.9