

State of Alaska FY2013 Governor's Operating Budget

Department of Health and Social Services Community Action Prevention & Intervention Grants Component Budget Summary

Component: Community Action Prevention & Intervention Grants

Contribution to Department's Mission

Ensure that effective community-based behavioral health prevention services are available statewide, incorporating community readiness, planning, partnerships and coalitions, and evidence-based strategies that demonstrate positive outcomes for individuals, families, and communities.

Core Services

- To provide the foundation funding for Alaska's effort to prevent substance abuse within the State, with a special focus on preventing youth from experimenting with and becoming addicted to alcohol and other drugs, and to decrease negative impacts of alcohol and substance abuse in Alaska.

Key Component Challenges

- The Division of Behavioral Health's prevention and early intervention services section (CAPI) is striving to develop a clear, comprehensive and integrated approach to prevention and early intervention of all behavioral health issues. For too long we have segregated social and health problems into manageable but isolated parts, missing the powerful effects of a broad combination of interventions that can impact related and intertwined problems. Our strategy of "blending, braiding and pooling" our Behavioral Health prevention funds has improved our response to suicide, promoted family wellness, reduced drinking and drug use among teens and adults, and increased healthy alcohol free and drug free pregnancies, thereby reducing the number of infants born with effects of prenatal alcohol exposure.
- During the past year, behavioral health began enhancing its partnership with interpersonal violence programming. As indicated above, many of our social issues are narrowly defined and segregated in terms of looking at the broad impact and relationship between conditions of health and wellness and the contributing factors. Domestic violence and sexual assault (DVSA) has traditionally been identified with victim services related to safety and legal assistance to provide appropriate conditions for safety to be maintained in or out of shelter services. We are now expanding our approach to DVSA by recognizing the impact of mental health issues and substance use/abuse issues that can be a contributing factor to intensity, timing and severity of interpersonal violence. We are working hard to guarantee all behavioral health providers better understand the dynamics of DVSA, as well as the impact of adverse childhood experience/ trauma on an individual's behavioral health outcomes. In turn, we are working with shelters and victim service agencies to better inform them about the services available through local behavioral health services and the strategies we can use to prevent DVSA and to increase earlier interventions to reduce the long-term damage.
- While our approach is to develop comprehensive, integrated behavioral health prevention and early intervention services related to substance use and abuse, suicide, fetal alcohol spectrum disorders, youth development, and resiliency and to promote community, family and individual wellness across Alaska, we continue to struggle with our ability to collect solid prevention outcome measures from our grantees. During the FY2012-2014 grant cycle we will be developing a new data collection process that will allow us to better document specific program outcomes.
- Related to outcome data is another specific prevention challenge related to the lack of an automated data collection tool (such as AKAIMS). In an effort to address this challenge, we have begun discussions with our AKAIMS contractor to explore a prevention module for AKAIMS.
- With support and technical assistance from the federal Substance Abuse and Mental Health Services Administration (SAMHSA), Alaska is utilizing the Strategic Prevention Framework (SPF) in all of our grant programs. The SPF is a five-step process that helps states and communities better identify the real issues they are struggling with and the most appropriate strategies for addressing these challenges. Utilizing the SPF process will allow both the state and communities to use data to drive decision-making about the issues that are of greatest importance and need, guaranteeing we select the right strategies to tackle our behavioral health

concerns and to see positive outcomes from our efforts.

- Developing a strong, integrated continuum of care within Behavioral Health continues to be our goal and our challenge. Looking broadly across the continuum from promotion to prevention to early intervention to treatment and to recovery requires a great deal of communication, partnering and coalition-building both at the state level and the community level. Working in partnership, while more beneficial in the long-run, is more difficult in the short-run. We continue to work with all of our community grantees to encourage a broader community or regional approach, not just an agency-by-agency service system.

Significant Changes in Results to be Delivered in FY2013

No significant changes in results to be delivered are anticipated in FY2013.

Major Component Accomplishments in 2011

- FY2011 was the final year of a three-year funding cycle for our Comprehensive Behavioral Health Prevention grant programs. We are beginning to collect the outcome data for the last three years to develop a prevention program outcomes report utilizing the outcomes and results from strategies and interventions conducted across the state during FY2009-FY2011.
- In January of FY2011 DBH received approval of our federal SPF SIG Strategic Plan, in which we identified youth alcohol use and adult heavy and binge drinking as Alaska's top priority consumption pattern based on a review and analysis of our state's substance use data. In February we released a Request for Proposals based on the approved strategic plan. Ten proposals were received and reviewed through a Proposal Evaluation Committee in June. Six communities were selected and awarded grant funds for start-up in July 2011. Grant awards were made to RurAL CAP (serving the Bering Straits region); Fairbanks Native Association; Cook Inlet Council on Alcohol & Drug Abuse (south Kenai Peninsula); Alaska Island Community Services (Wrangell and Petersburg); Yakutat Tlingit Tribe; and SEARHC.
- Domestic Violence and Sexual Assault (DVSA) Rural Pilot Grants were awarded in February 2011. Four communities received these grants, as part of the Governor's DVSA Initiative. Communities receiving grants are Dillingham, Bethel, Kodiak and Sitka. One implementation grant was awarded at \$600,000 (Dillingham) and three building capacity grants were awarded at \$200,000 (Bethel, Kodiak and Sitka). Key accomplishments in FY2011 include the completion of the Dillingham service area Alaska Victimization Survey, the introduction of the Green Dot By-Stander participation program in three of the four communities; the completion of Undoing Racism training in Bethel; the completion of a community Choose Respect mural in Sitka; and a number of youth leadership programs in Bethel and Sitka.

Statutory and Regulatory Authority

AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism and Intoxication Treatment Act
7 AAC 78	Grant Programs

Contact Information
<p>Contact: Nancy Rolfzen, Asst. Commissioner Phone: (907) 465-1630 Fax: (907) 465-2499 E-mail: nancy.rolfzen@alaska.gov</p>

**Community Action Prevention & Intervention Grants
Component Financial Summary**

All dollars shown in thousands

	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	11.0	0.0	0.0
73000 Services	490.6	469.0	469.0
74000 Commodities	1.6	30.0	30.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	4,699.8	6,218.0	6,418.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	5,203.0	6,717.0	6,917.0
Funding Sources:			
1002 Federal Receipts	1,088.0	3,247.9	3,247.9
1004 General Fund Receipts	947.0	530.9	530.9
1007 Inter-Agency Receipts	1,660.8	1,400.0	1,600.0
1037 General Fund / Mental Health	1,507.2	1,538.2	1,538.2
Funding Totals	5,203.0	6,717.0	6,917.0

Estimated Revenue Collections

Description	Master Revenue Account	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	1,088.0	3,247.9	3,247.9
Interagency Receipts	51015	1,660.8	1,400.0	1,600.0
Restricted Total		2,748.8	4,647.9	4,847.9
Total Estimated Revenues		2,748.8	4,647.9	4,847.9

**Summary of Component Budget Changes
From FY2012 Management Plan to FY2013 Governor**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2012 Management Plan	2,069.1	0.0	1,400.0	3,247.9	6,717.0
Adjustments which will continue current level of service:					
-Reverse Multidisciplinary Rural Community Pilot Project - Year Two - Reimbursable Services Agreement from Gov's Office	0.0	0.0	-1,400.0	0.0	-1,400.0
Proposed budget increases:					
-Multidisciplinary Rural Community Pilot Project - Year Three - Reimbursable Services Agreement from Governor's Office	0.0	0.0	1,400.0	0.0	1,400.0
-Domestic Violence and Sexual Assault: Family Wellness Warriors Initiative - Year Three - RSA from Governor's Office	0.0	0.0	200.0	0.0	200.0
FY2013 Governor	2,069.1	0.0	1,600.0	3,247.9	6,917.0

Component Detail All Funds
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

	FY2011 Actuals	FY2012 Conference Committee	FY2012 Authorized	FY2012 Management Plan	FY2013 Governor	FY2012 Management Plan vs FY2013 Governor	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	11.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	490.6	478.0	478.0	469.0	469.0	0.0	0.0%
74000 Commodities	1.6	30.0	30.0	30.0	30.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	4,699.8	6,227.9	6,227.9	6,218.0	6,418.0	200.0	3.2%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	5,203.0	6,735.9	6,735.9	6,717.0	6,917.0	200.0	3.0%
Fund Sources:							
1002 Fed Rcpts (Other)	1,088.0	2,925.0	2,925.0	3,247.9	3,247.9	0.0	0.0%
1004 Gen Fund (UGF)	947.0	863.7	863.7	530.9	530.9	0.0	0.0%
1007 I/A Rcpts (Other)	1,660.8	1,400.0	1,400.0	1,400.0	1,600.0	200.0	14.3%
1037 GF/MH (UGF)	1,507.2	1,547.2	1,547.2	1,538.2	1,538.2	0.0	0.0%
Unrestricted General (UGF)	2,454.2	2,410.9	2,410.9	2,069.1	2,069.1	0.0	0.0%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	1,660.8	1,400.0	1,400.0	1,400.0	1,600.0	200.0	14.3%
Federal Funds	1,088.0	2,925.0	2,925.0	3,247.9	3,247.9	0.0	0.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2012 Conference Committee To FY2012 Authorized *****												
FY2012 Conference Committee												
ConfCom		6,735.9	0.0	0.0	478.0	30.0	0.0	6,227.9	0.0	0	0	0
1002 Fed Rcpts		2,925.0										
1004 Gen Fund		863.7										
1007 I/A Rcpts		1,400.0										
1037 GF/MH		1,547.2										
Subtotal		6,735.9	0.0	0.0	478.0	30.0	0.0	6,227.9	0.0	0	0	0
***** Changes From FY2012 Authorized To FY2012 Management Plan *****												
ADN 06-2-0231 Transfer general funds to Behavioral Administration to consolidate membership payments												
Trout		-9.0	0.0	0.0	-9.0	0.0	0.0	0.0	0.0	0	0	0
1037 GF/MH		-9.0										
This transfer will consolidate membership fees for National Association of State Mental Health Program Directors (NASMHPD) under the Behavioral Health Administration component.												
ADN 06-2-0041 Transfer general funds to Rural Human Services and Suicide Prevention, approved 7/22/11												
Trout		-332.8	0.0	0.0	0.0	0.0	0.0	-332.8	0.0	0	0	0
1004 Gen Fund		-332.8										
The division is transferring grant line authority from Community Action Prevention & Early Intervention component (CAPI – AR 23130) to the Rural Human Services & Suicide Prevention (RSSP – AR 23040) component. FY2012 is the first year of a new, three-year competitive Comprehensive Prevention & Early Intervention Request for Proposals. Through its proposal evaluation and award process, the division has selected projects that are more heavily weighted to Rural Human Services (counselor in every village) and Suicide Prevention and less to the Community Action Prevention & Intervention (community readiness and generalized substance abuse prevention) projects.												
ADN 06-2-0041 Transfer federal authority from BH Admin and Seriously Emotionally Disturbed Youth, approved 7/22/11												
Trin		322.9	0.0	0.0	0.0	0.0	0.0	322.9	0.0	0	0	0
1002 Fed Rcpts		322.9										
Affecting the CAPI component is a transfer in of excess federal authority from Behavioral Health Administration (AR 23036) and Seriously Emotionally Disturbed Youth (AR 23059). This will allow for additional federal receipts and grant line authority for the federal Strategic Prevention Framework State Incentive Grants.												
Subtotal		6,717.0	0.0	0.0	469.0	30.0	0.0	6,218.0	0.0	0	0	0
***** Changes From FY2012 Management Plan To FY2013 Governor *****												
Reverse Multidisciplinary Rural Community Pilot Project - Year Two - Reimbursable Services Agreement from Gov's Office												
OTI		-1,400.0	0.0	0.0	0.0	0.0	0.0	-1,400.0	0.0	0	0	0

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
1007 I/A Rcpts		-1,400.0										
This transaction reverses one-time funding associated with the Governor's Domestic Violence and Sexual Assault Prevention Initiative RSAs.												
Multidisciplinary Rural Community Pilot Project - Year Three - Reimbursable Services Agreement from Governor's Office												
	IncM	1,400.0	0.0	0.0	0.0	0.0	0.0	1,400.0	0.0	0	0	0
1007 I/A Rcpts		1,400.0										
In an effort to reduce domestic violence, sexual assault, and substance abuse in rural Alaska, these funds will be used to establish one or more rural community pilot projects to develop innovative, multi-disciplinary, collaborative approaches to domestic violence and sexual assault early intervention and prevention. Funded by Reimbursable Service Agreement with the Office of the Governor, under the Governor's Domestic Violence and Sexual Assault Prevention Initiative.												
Domestic Violence and Sexual Assault: Family Wellness Warriors Initiative - Year Three - RSA from Governor's Office												
	IncM	200.0	0.0	0.0	0.0	0.0	0.0	200.0	0.0	0	0	0
1007 I/A Rcpts		200.0										
Family Wellness Warriors Initiative seeks to address the devastating problems of domestic violence, abuse, and neglect in the Alaska Native community, statewide. The purpose of the project is to empower organizations and individuals to effectively address the spiritual, emotional, mental and physical effects of domestic violence, abuse, and neglect. Funded by Reimbursable Service Agreement with the Office of the Governor, under the Governor's Domestic Violence and Sexual Assault Prevention Initiative.												
Totals		6,917.0	0.0	0.0	469.0	30.0	0.0	6,418.0	0.0	0	0	0

Line Item Detail
Department of Health and Social Services
Travel

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
72000	Travel		11.0	0.0	0.0
Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
72000 Travel Detail Totals			11.0	0.0	0.0
72110		Employee Travel (Instate)	5.3	0.0	0.0
72120		Nonemployee Travel (Instate Travel)	3.1	0.0	0.0
72410		Employee Travel (Out of state)	0.1	0.0	0.0
72420		Nonemployee Travel (Out of state Emp)	2.5	0.0	0.0

Line Item Detail
Department of Health and Social Services
Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000	Services		490.6	469.0	469.0
Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000 Services Detail Totals			490.6	469.0	469.0
73025	Education Services	CADCA - Community Anti-Drug Coalitions of American annual membership CADCA - Community Anti-Drug Coalitions of American annual membership	27.1	3.0	3.0
73026	Training/Conferences		65.3	0.0	0.0
73225	Delivery Services	Courier services Courier services	1.4	1.5	1.5
73450	Advertising & Promos	Sponsorships of conferences and learning events by partnership agencies Sponsorships of conferences and learning events by partnership agencies	23.0	25.0	25.0
73452	Promotions		15.0	0.0	0.0
73650	Struc/Infstruct/Land	Storage facility Storage facility	3.1	4.0	4.0
73750	Other Services (Non IA Svcs)	Spending authority for potential contracts Spending authority for contracts not specifically assigned.	26.6	35.5	35.5
73750	Other Services (Non IA Svcs)	SPF SIG Annual Meeting coordination - federal SPF SIG Annual Meeting coordination - federal	0.0	60.0	60.0
73750	Other Services (Non IA Svcs)	Annual Grantee Meeting coordination - GF Annual Grantee Meeting coordination - GF	0.0	30.0	30.0
73818	Training (Services-IA Svcs)		10.0	0.0	0.0
73819	Commission Sales (IA Svcs)		0.1	0.0	0.0
73979	Mgmt/Consulting (IA Svcs)	Univ RSA with the University for the evaluation of the Strategic Prevention Framework State Incentive Grant RSA with the University for the evaluation of the Strategic Prevention Framework State Incentive Grant	239.0	230.0	230.0

Line Item Detail
Department of Health and Social Services
Services

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000 Services Detail Totals			490.6	469.0	469.0
73979	Mgmt/Consulting (IA Svcs)	E&ED RSA with EED for Youth Risk Behavioral Survey RSA with EED for Youth Risk Behavioral Survey	20.0	20.0	20.0
73979	Mgmt/Consulting (IA Svcs)	Public Health Admin Svcs RSA with Public Health for EPI Workgroup Epidemiologist RSA with Public Health for EPI Workgroup Epidemiologist	60.0	60.0	60.0

Line Item Detail
Department of Health and Social Services
Commodities

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
74000	Commodities		1.6	30.0	30.0
Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
74000 Commodities Detail Totals			1.6	30.0	30.0
74200	Business	Supplies for prevention activities	1.6	30.0	30.0
		Supplies for prevention activities			

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
77000	Grants, Benefits		4,699.8	6,218.0	6,418.0
Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
77000 Grants, Benefits Detail Totals			4,699.8	6,218.0	6,418.0
77110	Grants	Comprehensive Prevention & Early Intervention grant awards funded from Community Action Prevention & Intervention GF	3,119.3	1,860.1	1,860.1
77110	Grants	Comprehensive Prevention & Early Intervention grant awards funded from the federal Substance Abuse, Prevention, and Treatment Block Grant (SAPT)	0.0	926.4	926.4
77110	Grants	Federal Strategic Prevention Framework Regional & Community Grants	0.0	2,031.5	2,031.5
77110	Grants	DVSA Multidisciplinary Rural Community Pilot Project - Year Two RSA from GOV	0.0	1,400.0	1,400.0
77110	Grants	DVSA Family Wellness Warriors Initiative - Yr Three	0.0	0.0	200.0
77111	Prevention		1,464.9	0.0	0.0
77118	Nonres Treatment		115.6	0.0	0.0

Restricted Revenue Detail
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
51010	Federal Receipts				1,088.0	3,247.9	3,247.9
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
51010	Federal Receipts SAMHSA Strategic Prevention Framework State Incentive Grant (SPF SIG) CFDA 93.243		06337330	11100	211.4	2,321.5	2,321.5
51010	Federal Receipts SAMHSA Substance Abuse, Prevention and Treatment Block Grant (SAPT), CFDA 93.959		06337621	11100	876.6	926.4	926.4

Restricted Revenue Detail
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
51015	Interagency Receipts				1,660.8	1,400.0	1,600.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
59015	Office Of The Governr RSA: Yr 3 DVSA Family Wellness Warriors	Executive Office	6337292	11100	0.0	0.0	200.0
59015	Office Of The Governr RSA with Governor's Office for Year Two DVSA Rural Pilot Project RSA: Yr 3 DVSA Rural Pilot Project	Executive Office	6337328		1,660.8	1,400.0	1,400.0

Inter-Agency Services
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2011 Actuals	FY2012	
					Management Plan	FY2013 Governor
73979	Mgmt/Consulting (IA Svcs) RSA with the University for the evaluation of the Strategic Prevention Framework State Incentive Grant	Inter-dept	Univ	239.0	230.0	230.0
73979	Mgmt/Consulting (IA Svcs) RSA with EED for Youth Risk Behavioral Survey	Inter-dept	E&ED	20.0	20.0	20.0
73979	Mgmt/Consulting (IA Svcs) RSA with Public Health for EPI Workgroup Epidemiologist RSA with Public Health for EPI Workgroup Epidemiologist	Intra-dept	Public Health Admin Svcs	60.0	60.0	60.0
73979 Mgmt/Consulting (IA Svcs) subtotal:				319.0	310.0	310.0
Community Action Prevention & Intervention Grants total:				319.0	310.0	310.0
Grand Total:				319.0	310.0	310.0