

Change Record Detail With Description
Department of Corrections

Scenario: FY2011 Supplementals (8842)
Component: Out-of-State Contractual (704)
RDU: Population Management (550)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
FY2011 Supplementals												
Out-of-State Contract Increase, from 900 to 1,000 Beds												
	Suppl	332.5	0.0	0.0	332.5	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		332.5										
<p>The FY2011 budget anticipated an out-of-state population of 900. Due to the increase in the inmate population and in-state institutions at or above 100% of maximum general capacity, the department is requesting additional funding to increase the number of Colorado contracted out-of-state beds from 900 to 1,000 to assist management in dealing with the ever-growing prisoner population.</p> <p>The FY2011 bed rate of \$59.26 per prisoner per day does not change with this increase in the prisoner population.</p> <p>At the end of December, the out-of-state prisoner population was in excess of 970 and in-state population continues to average 100% of the institutional maximum capacity. The department has no expectation of any decline in the offender population and anticipates continuing to place additional prisoners in the Colorado contract facility, pending the opening of the Goose Creek Correctional Center.</p> <p>The impact of this request will be considered in the FY2012 amended budget.</p>												
Component Totals		332.5	0.0	0.0	332.5	0.0	0.0	0.0	0.0	0	0	0

Change Record Detail With Description
Department of Corrections

Scenario: FY2011 Supplementals (8842)
Component: Institution Director's Office (1381)
RDU: Population Management (550)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Unanticipated 24-Hour Institution Costs												
	Suppl	3,504.4	3,274.0	0.0	0.0	230.4	0.0	0.0	0.0	0	0	0
1004 Gen Fund		3,504.4										

The Department of Corrections is responsible for public protection by providing safe and secure confinement of offenders. This includes operating 12 in-state institutional facilities statewide which house male and female, sentenced and un-sentenced adult felons and misdemeanants. The FY2011 budget was based on the maximum daily in-state capacity of 3,778. However, the department is experiencing average daily populations exceeding this amount. The average daily population through December 31, 2010 has been 3,826 with the projected offender population anticipated to continue to increase through the remainder of the fiscal year. This has generated a shortfall within the 24-hour institutions, creating deficits within the personal services and commodity lines of the in-state institutions.

24-Hour Institutions' Personal Services Shortfall \$3,274.0:

The department is requesting a supplemental to fully support the 24-hour institutional personal services in FY2011.

The department is required to maintain specified inmate/staffing ratios, so as the inmate population swells beyond budgeted estimates or correctional officer position vacancies or leave occurs, the department must rely on correctional officers working overtime to maintain security. In FY2010, an average of 22 vacant correctional officer positions and an average daily inmate population of 3,753 resulted in a need for a man-hour equivalent of 33 PFTs to provide this overtime coverage. Year-to-date for FY2011, with an average of 28 vacant correctional officer positions and an average daily inmate population of 3,826 so far, the projected man-hour equivalent FY2011 need for overtime is 53 PFTs, translating into a projected shortfall of \$3,274.0 in overtime funding. The department is continuing its efforts to maintain fully staffed facilities, with intent to reduce the FY2012 PFT equivalent to the FY2010 numbers.

24-Hour Institutions' Commodities Shortfall \$230.4:

The department is requesting a supplemental for FY2011 to meet higher operating costs in correctional centers directly resulting from the in-state offender population, the elevated cost of goods and services as well as increased fuel surcharges on commodities purchased. Commodities include such items as inmate food, clothing, bedding, institutional cleaning supplies, etc.

The impact of this request is being considered for a FY2012 budget amendment.

ACOA Arbitration Agreement Costs

	Suppl	439.4	439.4	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		439.4										

Ch. 13, SLA 2010, Sec. 5 appropriated \$10,462.6 to the Department of Corrections for implementation of the interest arbitration decision dated March 19, 2009, establishing the monetary terms of the collective bargaining agreement with the Alaska Correctional Officers Association (ACOA). This multi-year appropriation was intended to meet the increased contract costs for both FY2010 and FY2011.

Original calculations of the increased personal service costs associated with the new ACOA contract terms identified \$3,335.0 as needed for FY2010 and \$7,127.6 needed for FY2011. This multi-year authorization was thus divided between the two years, and recorded and utilized. However, in both of the two

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										PFT	PPT	NP
<p>years, the originally calculated amounts were not sufficient to meet the actual costs of the implemented contract.</p> <p>The final FY2010 actual costs associated with the ACOA contract implementation were \$4,632.3, exceeding the applicable authorization by \$1,297.3. This shortfall in personal services resulted from unanticipated cost changes such as education incentives and technical corrections to merit anniversary dates. The department was able to cover FY2010 costs by utilizing existing lapse authorization within the Population Management RDU, thus leaving the full FY2011 identified amount of \$7,127.6 available to be carried forward to meet the second year ACOA contract costs.</p> <p>After reconciliation of the FY2011 personal services and adjustments for merit increases, transfers, separations, new hires, etc., actual costs associated with the ACOA positions continue to exceed the available authorization. The current identified shortfall is \$439.4 or the approximate equivalent of five Correctional Officer I/II positions (or one post) within the Anchorage area.</p> <p>The projected costs associated with the ACOA arbitration agreement will be considered in the FY2012 amended budget.</p>												
Component Totals		3,943.8	3,713.4	0.0	0.0	230.4	0.0	0.0	0.0	0	0	0

Change Record Detail With Description
Department of Corrections

Scenario: FY2011 Supplementals (8842)
Component: Wildwood Correctional Center (720)
RDU: Population Management (550)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Delayed 64-Bed Expansion												
	Suppl	-506.0	0.0	0.0	-139.7	-366.3	0.0	0.0	0.0	0	0	0
1004 Gen Fund		-498.0										
1005 GF/Prgm		-8.0										
<p>The FY2011 budget includes funding associated with operating costs of the Wildwood Correctional Center's (WWCC) 64-bed housing unit. There has been a delay with the conversion of Building 15 and these beds will not be available for housing offenders until the first quarter of FY2012, resulting in a one-time decrement of <\$506.0>. These funds are included in the FY2012 budget for full operation of the 64-bed expansion of the Wildwood Correctional Center to assist with in-state offender population management.</p>												
Component Totals		-506.0	0.0	0.0	-139.7	-366.3	0.0	0.0	0.0	0	0	0

Change Record Detail With Description
Department of Corrections

Scenario: FY2011 Supplementals (8842)
Component: Community Residential Centers (2244)
RDU: Population Management (550)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Community Residential Center Contract Increase												
	Suppl	751.3	0.0	0.0	751.3	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		751.3										
<p>Funding is requested to fully pay contractors for housing offenders at Community Residential Centers (CRCs) for the remainder of FY 2011. The Department of Corrections (DOC) has professional services contracts with CRC providers to help meet the security and residential needs of adult offenders in the State of Alaska.</p> <p>Increased contract amounts are in part attributable to an added percentage based on the Consumer Price Index (CPI), a measure of the average change in the prices DOC is charged for these services. The department has re-negotiated the Northstar Center contract as of February 1, 2011. This increased the regular bed rate for the Northstar Center CRC from \$73.31 to \$78.44 per day and the per diem rate from \$12.15 to \$12.76. In addition, an increase to the number of contracted regular beds was negotiated, resulting in an increase from 112 regular beds to 127 regular beds. These beds will assist in meeting the needs of the offender population within the Fairbanks area.</p> <p>The costs of this contract were unknown when the FY2011 budget was prepared. The impact of this request will be considered for a FY2012 budget amendment.</p>												
Component Totals		751.3	0.0	0.0	751.3	0.0	0.0	0.0	0.0	0	0	0

Change Record Detail With Description
Department of Corrections

Scenario: FY2011 Supplementals (8842)
Component: Physical Health Care (2952)
RDU: Inmate Health Care (520)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Physical Health Care Costs												
	Suppl	4,011.5	1,291.8	0.0	2,719.7	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		4,011.5										

The Department of Corrections (DOC) is obligated to deliver essential medical care to incarcerated offenders within the 12 in-state institutions. The department is requesting a supplemental of \$4,011.5 to assist in meeting the increased cost of providing required health care services.

Personal Services: \$1,291.8

The department must maintain medical staffing coverage for 12 in-state correctional facilities, most of which require 24/7 coverage. Because of numerous position vacancies in addition to absences of regular full-time staff stemming from worker's compensation, FMLA, military deployment, and staff vacation absences, it is necessary to pay overtime (premium pay) and the costs associated with unbudgeted on-call positions.

In addition to the challenges of maintaining adequate medical coverage, the Medical Segregation Unit at Anchorage Correctional Complex (ACC) has maintained a high census with high acuity patients, including a mental health patient who required one-on-one care. When relief services are necessary, the order of coverage first comes from our full-time Anchorage Central Office (ACO) relief nurse positions, secondly, from our non-perm substitute nursing positions, thirdly from our contracted nursing relief vendor (Maxim HealthCare Services), and fourthly from authorized overtime of our regular full-time staff. These multiple factors have generated costs in the personal services line beyond what was anticipated in the FY2011 budget.

Contractual Services: \$2,719.7

A shortfall is projected in the in-state and out-of-state (inmates in Colorado) medical fees for service as well as hospital and nursing contracted services. The department is required to provide and pay for necessary health care services for all offenders. The department is seeing an increase in chronic disease associated with diabetes, cancer, heart disease, health-related issues due to obesity, and health issues associated with care for geriatrics. Fees-for-service are generated when it is necessary to seek non-institutional medical treatment for an inmate. The types of non-institutional medical treatment may include dialysis treatment for renal failure and/or acute renal failure, chemotherapy and radiation, surgery, chronic/long term care diseases, etc. Positive relations are promoted with non-institutional health care providers by maintaining timely payments, abiding by contractual agreements, and avoiding incurring interest charges. Nonpayment could result in a lapse of medical services for inmates and ultimately increase the severity of health related issues. The department receives a discounted fee-for-service rate for contracted medical services, due to the high volume of need. Costs associated with catastrophic medical cases unfortunately cannot either be accurately predicted or controlled. Known billings for the 69 catastrophic, fee-for-service cases received as of December 23, 2010 totaled \$4,048.0, or an average FY2011 monthly cost of \$736.0, but the actual catastrophic cases' cost to the department is unpredictable and varies greatly.

DOC is actively pursuing cost containment measures regarding the provision of inmate health care. The following measures have been recently implemented or are pending implementation: increase medical 'co-pay' from \$4/per initial doctor visit to \$5; entered contract with Providence Hospital Systems, St. Elias Long-Term Health Care Unit allowing DOC to move inmates with long-term health issues from a regular hospital bed that can cost over \$10.0 per day to a long-term health care unit that lowers the cost to approximately \$4.0 per day; reduce physical therapy services by 25%; continue to explore revisions to Medical Parole, allowing DOC to parole seriously ill inmates that pose no danger to the public; continue to explore possibilities of moving chronically ill inmates from remote institutions to the Anchorage bowl area where medical costs are lower; and, staffing schedules are under review in an effort to reduce personal services expenditures. Additionally, careful review of standards of care for inmates, negotiation of lower rates for health care services, and external review of the agency's health care delivery system model are underway.

The impact of this supplemental request will be considered for a FY2012 budget amendment.

Change Record Detail With Description
Department of Corrections

Scenario: FY2011 Supplementals (8842)
Component: Physical Health Care (2952)
RDU: Inmate Health Care (520)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Component Totals		4,011.5	1,291.8	0.0	2,719.7	0.0	0.0	0.0	0.0	0	0	0