

# **State of Alaska FY2010 Governor's Operating Budget**

## **University of Alaska Statewide Services Component Budget Summary**

## Component: Statewide Services

### Contribution to Department's Mission

The University of Alaska inspires learning, and advances and disseminates knowledge through teaching, research, and public service, emphasizing the North and its diverse peoples.

### Core Services

- Statewide Services consist of functional areas that provide support to the University of Alaska at the direction of the president and Board of Regents:
- Finance & Administration:
  - -provides administrative services to the university in an orderly, efficient and prudent manner in accordance with federal and state statutes, regents policy and appropriate regulations, procedures and responsible business practices;
  - -manages, controls and reports the status and use of the financial resources of the University of Alaska;
  - -calculates the facilities and administrative rate (F&A) and negotiates with Office of Naval Research;
  - -provides and maintains a reliable and accurate financial accounting and reporting system;
  - -develops and administers the statewide RDU budget;
  - -provides financial consulting services to executive management and to fiscal officers throughout the UA system;
  - -promotes data driven planning and accountability throughout the UA System;
  - -provides comprehensive planning and management information for the University of Alaska Board of Regents, President, executive staff and the public;
  - -analyzes, submits and presents the University of Alaska operating and capital budgets utilizing the states' Automated Budget System and maintains the university budget information system as well as assures compliance with the states' fiscal statutes.
- Human Resources:
  - -ensures that Board of Regents' policy is carried out in the recruitment and retention of faculty and staff, health and retirement, benefits administration, employee and labor relations, compensation, training and development, workforce planning, equal employment opportunity and affirmative action.
- Academic Affairs:
  - -oversees the development of academic initiatives, including state and federal agendas;
  - -facilitates the evaluation of course credits for transferring students;
  - -links the university's training programs with large employers;
  - -ensures focused academic missions by major campus;
  - -encourages collaborative research and instructional programs;
  - -supports student services and enrollment management systems;
  - -provides leadership with program issues in Allied Health and Behavioral Health.
- University Relations:
  - -pursues state and federal funding and support for the entire University;
  - -is the primary contact between the University, the legislature and the governor and is responsible for action on legislation that impacts the University;
  - -facilitates and coordinates external and internal relations and communications for the entire University.

### FY2010 Resources Allocated to Achieve Results

**FY2010 Component Budget: \$37,322,000**

**Personnel:**

Full time 171

Part time 1

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**Total** 172

### Key Component Challenges

Statewide Services provides strategic leadership and support to the campuses as they deliver their mission of research, instruction, and service. In addition, the Statewide office provides direct services to the public, regents, and faculty. With responsibilities for staff functions as well as for direct operation, the Statewide office places a high priority on the efficient delivery of its programs and operational priorities.

- The Statewide office seeks to advance-both directly and in conjunction with the campuses-the major goals increasingly identified as primary for the university:
  - Investment in high demand programs to prepare Alaskans for jobs,
  - Investment in university research,
  - Investment in student success strategies, and
  - Investment in outreach programs supporting the Alaskan communities.
- Statewide must continue to promote solutions for major state issues that significantly impact the ability of UA campuses to provide services. Pressing issues include rising cost of insurance and the ability to maintain existing facilities.
- Statewide coordination and leadership in moving competitive university research as an industry for Alaska is important. The Vice President for Academic Affairs is leading the University's efforts for science and technology and economic development. Coordination among state leaders and agencies is key to success.
- Statewide Services coordinates the UA federal agenda and requests. With tightening federal funding, some UA programs can be adversely impacted. Statewide is actively planning alternative strategies for programs and ways to mitigate impacts.
- Statewide Services will continue providing leadership for developing a focused and limited number of new academic programs, research directions, distance education program options, student service enhancements and information technology enhancements. FY10 Board of Regent's priority program enhancements and growth include:
  - K-12 Outreach
  - Energy, Engineering and Climate
  - Health Programs
  - Workforce and Campus Programs

A more comprehensive listing of challenges facing Statewide Services include:

- Meet the Board of Regent's Strategic Plan 2009 Goals and Objectives
- Continue Performance Based Budgeting:
  - Increasing student enrollment
  - Retaining students
  - Expanding university generated revenue
  - Expanding research grant funding in priority areas
  - Increasing the number of graduates in programs directed at high demand jobs
  - Strategic enrollment management planning
  - Academic program outcomes assessment
- Advance academic program planning process

- Facilitate new academic programs
- Expand delivery of Behavioral Health programs consistent with the UA and the Mental Health Trust plan
- Expand and improve access to UA's distance delivered programs
- Manage retirement and health care benefit programs
- Negotiate with all unions affiliated with the university
- Improve financial aid process and financial aid availability
- Address intellectual property and technology transfer issues to enhance revenue capacity
- Lead administrative efficiency project implementations to meet accountability and sustainability goals
  - Program Management
  - HR Automation
  - Records Management/Document Imaging
  - Student Process Assessment
  - Management Reporting
  - Travel Management System
  - Enterprise Architecture
  - Electronic Research Administration
- Enhance staff and faculty professional development programs
- Encourage strong and lasting program collaborations between campuses
- Strengthen multi-year capital budget planning process
- Increase private giving and endowment funding
- Support continued business continuity planning and redundancy strategies
- Keep up with rising insurance costs
- Improved systemwide disaster preparedness

### **Significant Changes in Results to be Delivered in FY2010**

- Increase college and workforce readiness through early assessment and focused intervention.
- Implement the document imaging project to improve efficiency through timely and consistent access to documents across the system.
- Integrate academic planning across high demand areas to maximize available resources.
- Expand partnerships for health programs with the private sector, foundations, and other external sources.
- Facilitate budget and program enhancements in health and distance education.
- Complete highest priority process automation projects.
- Enhance research capacity.
- Increase course content via distance education through coordinating offerings systemwide.
- Improve risk management operations and be better prepared to lead a systemwide approach to the challenge of potential disasters.
- Increase levels of private giving through increased Foundation support.
- Increase Statewide 'presence' in Anchorage-recommendation of the McTaggart/Rogers Report.
- Continue the systemwide marketing and outreach campaigns, which benefit every UA campus.
- Expand the Career Pathway program beyond UAA to include all certificates and degrees offered by all 16 UA campuses.
- Increase capacity in financial accounting for compliance with increased auditing and accounting standards.

### **Major Component Accomplishments in 2008**

- Continued coordination of the ACT/College Savings Plan.
- Continued coordination of the UA Scholars.
- Award winning marketing campaign for the entire university system.
- Led Performance Based Budget processes systemwide.
- Increased systemwide training to include on-line training modules as well as workshops and seminars.
- Improved automated processing for On-Line Hiring Proposals, improving accuracy and reducing time and paper.
- Provided leadership for combined MAU HR work team to automate job change approvals and Banner application processes through Paperless Job Processing.
- Successfully implemented systemwide Employee Termination Workflow through Banner.
- Completed an academic plan for the health programs at the University of Alaska.

- Hosted Allied Health forum for employers and developed Allied Health enhancements in dental, radiology, laboratory and direct services.
- Continued to partner with Denali Commission and employers on Allied Health priorities.
- Directed the formulation of work plans and improvements to distance education.
- Engaged in implementation of the document imaging system.
- Continued Accountability and Sustainability Efforts resulting in increased administrative efficiencies through process automation.
- Revenue bond refunding/refinancing will result in approximately \$800,000 in savings over 10 years.
- Achieved strong bond ratings from Moody's and Standard and Poor's A1 and AA- respectively.

### Statutory and Regulatory Authority

No statutes and regulations.

Contact Information
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**Statewide Services  
Component Financial Summary**

*All dollars shown in thousands*

	<b>FY2008 Actuals</b>	<b>FY2009 Management Plan</b>	<b>FY2010 Governor</b>
<b>Non-Formula Program:</b>			
<b>Component Expenditures:</b>			
71000 Personal Services	18,951.1	19,268.3	19,904.1
72000 Travel	1,964.6	1,107.0	1,107.0
73000 Services	18,073.1	15,822.5	13,230.1
74000 Commodities	947.1	1,189.8	1,264.8
75000 Capital Outlay	0.0	1,336.0	1,336.0
77000 Grants, Benefits	31.7	106.0	106.0
78000 Miscellaneous	0.0	0.0	374.0
<b>Expenditure Totals</b>	<b>39,967.6</b>	<b>38,829.6</b>	<b>37,322.0</b>
<b>Funding Sources:</b>			
1002 Federal Receipts	675.9	2,330.8	2,184.7
1004 General Fund Receipts	13,860.4	14,075.0	14,614.1
1007 Inter-Agency Receipts	1,775.5	343.4	343.4
1037 General Fund / Mental Health	0.0	0.0	100.0
1048 University Restricted Receipts	15,923.0	15,696.6	14,028.0
1092 Mental Health Trust Authority Authorized Receipts	0.0	390.0	374.0
1151 Technical Vocational Education Program Account	34.6	0.0	0.0
1174 UA Intra-Agency Transfers	7,698.2	5,993.8	5,677.8
<b>Funding Totals</b>	<b>39,967.6</b>	<b>38,829.6</b>	<b>37,322.0</b>

**Summary of Component Budget Changes  
From FY2009 Management Plan to FY2010 Governor**

*All dollars shown in thousands*

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
<b>FY2009 Management Plan</b>	<b>14,075.0</b>	<b>2,330.8</b>	<b>22,423.8</b>	<b>38,829.6</b>
<b>Adjustments which will continue current level of service:</b>				
-Reverse FY2009 Mental Health Trust Recommendations	0.0	0.0	-390.0	-390.0
-Delete One-time FY2009 Fuel/Utility Cost Increase Funding Distribution from the Office of the Governor	-115.1	0.0	0.0	-115.1
-U of A Adjusted Base Salary Increase - UA Staff and Adjuncts	455.7	0.0	245.3	701.0
-U of A Adjusted Base Salary Decrement due to ORP Savings - Non Bargaining	-51.5	0.0	-27.7	-79.2
<b>Proposed budget decreases:</b>				
-Remove Unrealizable Non General Fund Budget Authority	0.0	-146.1	-2,592.2	-2,738.3
<b>Proposed budget increases:</b>				
-U of A Adjusted Base New Facility Operating and Maintenance Costs	350.0	0.0	0.0	350.0
-U of A Adjusted Base Non Personal Services Fixed Cost Increases	0.0	0.0	376.0	376.0
-U of A Adjusted Base Compliance Mandates	0.0	0.0	14.0	14.0
-MH Trust: Workforce Dev - PhD Clinical Internship Accreditation	0.0	0.0	74.0	74.0
-MH Trust: Workforce Dev - Behavioral Health Initiative Partnership	0.0	0.0	300.0	300.0
<b>FY2010 Governor</b>	<b>14,714.1</b>	<b>2,184.7</b>	<b>20,423.2</b>	<b>37,322.0</b>

**Statewide Services  
Personal Services Information**

Authorized Positions			Personal Services Costs	
	FY2009 Management Plan	FY2010 Governor		
Full-time	174	171	Annual Salaries	12,269,295
Part-time	1	1	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	5,717,832
			Labor Pool(s)	2,748,670
			<i>Less 4.01% Vacancy Factor</i>	<i>(831,697)</i>
<b>Totals</b>	<b>175</b>	<b>172</b>	<b>Total Personal Services</b>	<b>19,904,100</b>

**Position Classification Summary**

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Admin Generalist 2	0	7	0	0	7
Admin Generalist 3	1	5	0	0	6
Admin Generalist 4	0	5	0	0	5
Admin Mgmt 3	0	1	0	0	1
Admin Specialist 1	0	2	0	0	2
Admin Specialist 2	0	4	0	0	4
Admin Specialist 2 (Exempt)	0	1	0	0	1
Admin Specialist 3	0	7	0	0	7
Admin Specialist 3 (NonExempt)	0	2	0	0	2
Admin Specialist 4	0	2	0	0	2
Administrative Management 2	0	1	0	0	1
Administrative Management 4	0	4	0	0	4
Administrative Management 5	0	4	0	0	4
Analyst	0	1	0	0	1
Assistant To	0	1	0	0	1
Assistant Vice President	0	1	0	0	1
Associate General Counsel	0	4	0	0	4
Associate Vice President	0	7	0	0	7
Communications Manager 2	0	1	0	0	1
Communications Specialist 2	0	1	0	0	1
Communications Specialist 3	0	1	0	0	1
Communications Specialist 4	0	1	0	0	1
Compliance Mandates-GASB	0	1	0	0	1
Consultant (Exempt)	0	1	0	0	1
Coordinator (Nonexempt)	0	2	0	0	2
Director (Academic)	0	1	0	0	1
Director (Admin)	1	2	0	0	3
Environmental Health/Safety 2	0	1	0	0	1
Environmental Health/Safety 4	0	1	0	0	1
Executive Director	0	3	0	0	3
Executive Officer	0	3	0	0	3
Fiscal Manager 2	0	1	0	0	1
Fiscal Manager 3	0	3	0	0	3
Fiscal Professional 1	0	4	0	0	4
Fiscal Professional 2	0	8	0	0	8
Fiscal Professional 3	1	4	0	0	5
Fiscal Technician 2	0	2	0	0	2

<b>Position Classification Summary</b>						
<b>Job Class Title</b>	<b>Anchorage</b>	<b>Fairbanks</b>	<b>Juneau</b>	<b>Others</b>	<b>Total</b>	
Fiscal Technician 3	0	7	0	0	7	7
Fiscal Technician 4	0	2	0	0	2	2
General Counsel	0	1	0	0	1	1
HR Professional 3 (NonExempt)	0	1	0	0	1	1
HR Professional 4	0	1	0	0	1	1
Human Resources Manager 3	0	3	0	0	3	3
Human Resources Professional 2	0	1	0	0	1	1
Human Resources Professional 3	0	3	0	0	3	3
Human Resources Professional 4	0	2	0	0	2	2
Human Resources Professional 5	1	2	0	0	3	3
Internal Auditor 1	0	2	0	0	2	2
Internal Auditor 2	0	1	0	0	1	1
IS Professional 2	0	8	0	0	8	8
IS Professional 3	0	2	0	0	2	2
IS Professional 4	0	1	0	0	1	1
Manager	0	1	0	0	1	1
President	0	1	0	0	1	1
Real Property Specialist 1	1	0	0	0	1	1
Real Property Specialist 2	0	1	0	0	1	1
Real Property Specialist 3	1	2	0	0	3	3
Real Property Specialist 4	1	1	0	0	2	2
Research Professional 1	0	1	0	0	1	1
Research Professional 2	0	1	0	0	1	1
Research Professional 3	0	1	0	0	1	1
Research Professional 4	0	3	0	0	3	3
Risk Management 2	0	2	0	0	2	2
Risk Management 4	0	3	0	0	3	3
Risk Management 5	1	1	0	0	2	2
Special Assistant	0	1	0	0	1	1
Student Svcs Manager 2	1	0	0	0	1	1
Student Svcs Manager 3	0	1	0	0	1	1
Vice President	0	5	0	0	5	5
Vice President Administration	0	1	0	0	1	1
<b>Totals</b>	<b>9</b>	<b>163</b>	<b>0</b>	<b>0</b>	<b>172</b>	<b>172</b>