

State of Alaska
FY2008 Governor's Operating Budget

Department of Health and Social Services
Alcohol Safety Action Program (ASAP)
Component Budget Summary

Component: Alcohol Safety Action Program (ASAP)

Contribution to Department's Mission

To reduce alcoholism and substance abuse.

The mission of the Alcohol Safety Action Program (ASAP) is to screen, refer and monitor both adult and juvenile offenders to ensure that they complete the substance abuse education or treatment program that is prescribed by the courts, Division of Motor Vehicles, and/or Division of Juvenile Justice.

Core Services

The ASAP is both a direct service provider in the Anchorage area and the oversight office for the Division's statewide ASAP grant programs. The program facilitates entry of all misdemeanor defendants ordered by the court into substance abuse education and/or treatment, monitors court requirements, and provides data regarding those defendants. In its grants management role, the ASAP provides training to qualify administrators for ASAP grant programs throughout the state, and provides quality control and monitoring functions on all state-approved ASAP programs.

FY2008 Resources Allocated to Achieve Results

FY2008 Component Budget: \$2,818,200	Personnel:	
	Full time	16
	Part time	0
	Total	16

Key Component Challenges

- Alaska Substance Abuse (ASA) - Web Case Management System

The testing and implementation of the new ASA web-based system is crucial to the ongoing success of the Alaska Alcohol Safety Action Program (ASAP) system. When completed the ASA Web will consolidate both criminal justice and behavioral health data throughout the state in a single system. The data will provide offender and treatment information on day-to-day program delivery, as well as provide periodic program review and evaluation of services. The system will track all substance abuse related cases from the initial referral from the Court, Division of Motor Vehicles and/or the Division of Juvenile Justice to final disposition of the case. It will allow: 1) consistent data entry availability at all ASAP sites statewide; 2) seamless data access for the purposes of increasing efficiency of client services both at the ASAP program level as well as case management and statistical analyses; 3) identification and provision of intensive services to high-risk clients; and 4) increased efficiency in the overall processing of these cases. The completion of the web-based technology will provide ready access to the data for the purpose of tracking, profiling and analysis. In addition it will improve the timeliness, accuracy, completeness, uniformity and accessibility to the data.

- Alaska ASAP High Risk Project

ASAP has received a grant from the Alaska Highway Safety Office that will establish a statewide high-risk offender identification and tracking system for the state. From previous studies on the ASAP program, it has been recommended that ASAP address the costs associated with the high-risk offender. The recommendations made were 1) establish a "high-risk" ASAP client profile and redesign the identification, adjudication, intake and treatment processes to target and evaluate this population; and 2) develop and redefine predictive models that can be used by ASAP staff in the field that will facilitate the identification of high-risk clients as early as possible in the arrest, conviction, assignment and treatment process. At present, all ASAP clients are handled using the same protocol regardless of their risk status. Because

readiness to address substance abuse issues is known to begin to start diminishing immediately following a crisis such as a DUI conviction or other related arrest, intensifying and, when possible, accelerating processing for those offenders most at risk of re-offending is critical. It is expected that with improved client tracking and the implementation of an intensive monitoring services for high risk offenders, the overall number of clients who receive services and do not re-offend will increase.

- Therapeutic Court Diversion Case Management

The Alaska Mental Health Trust Authority (AMHTA) has provided funding to establish a therapeutic court probation officer position within ASAP to provide intensive case management, community supervision and probation monitoring to offenders for a targeted population of Trust beneficiaries who are not involved in a court-ordered treatment program. In collaboration with the Alaska Court System, ASAP has identified Barrow as the pilot site for this therapeutic court diversion alternative model. The goals of the project are: 1) increase the ability of the legal system to accommodate, support, protect, and provide just treatment for offenders who are Trust beneficiaries; 2) provide an effective therapeutic alternative for processing criminal cases involving Trust beneficiaries; and 3) prevent the inappropriate or avoidable arrest, prosecution, incarceration and recidivism of Trust beneficiaries.

Significant Changes in Results to be Delivered in FY2008

No significant changes for FY08

Major Component Accomplishments in 2006

- Therapeutic Court Case Management

With the passage of HB 441, ASAP was given the authority to provide intensive case management, community supervision and probation monitoring to offenders involved in a court-ordered treatment program. The therapeutic model is an alternative justice model in which a collaborative court team made up of a supervising judge, district attorney, defense counsel, probation officer and/or substance abuse or mental health treatment provider, oversees and closely monitors offenders who choose the court-ordered treatment program in lieu of incarceration. ASAP has partnered with local and state agencies to establish probation officer positions, and provide training in community supervision to courts throughout the state - Anchorage Felony Drug Court, Anchorage Mental Health Court, Anchorage Wellness Court, Bethel Therapeutic Court, Ketchikan Therapeutic Court, and Fairbanks Therapeutic Court. The primary goals of the therapeutic court probation officers are to ensure public safety, encourage program compliance to decrease criminal justice and treat recidivism for offenders who experience substance abuse, mental health and/or co-occurring disorders.

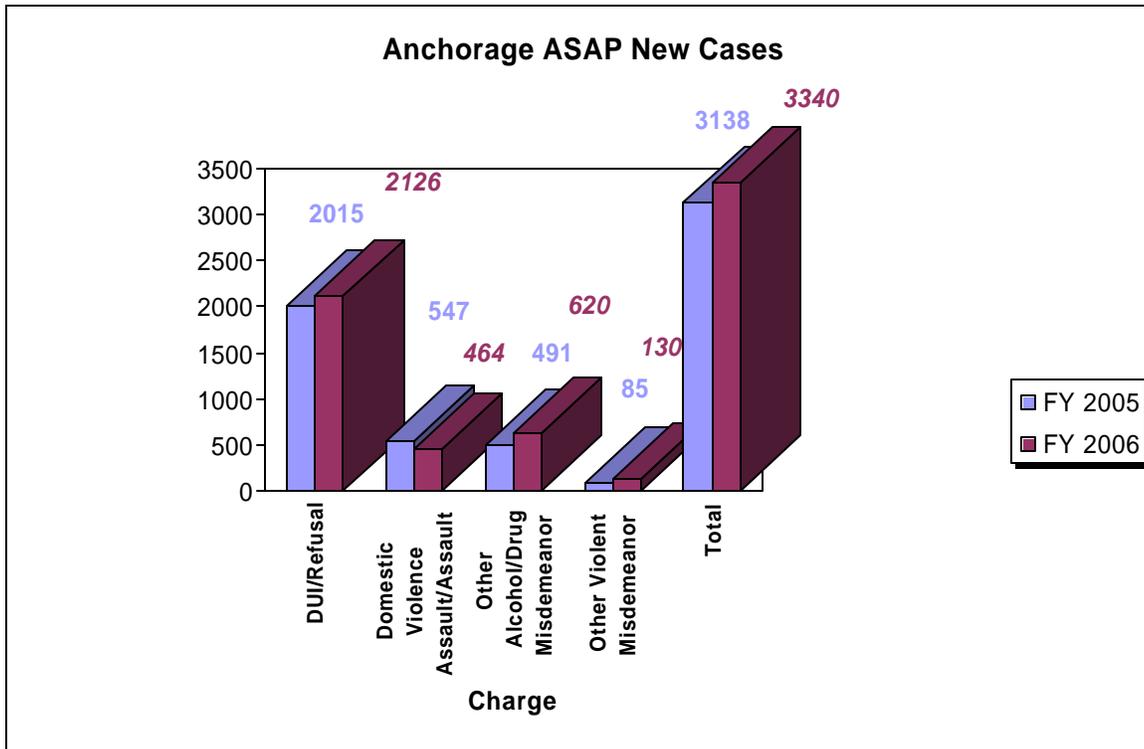
- SYNAR/Tobacco Enforcement

The Tobacco Enforcement section was successfully integrated with the court monitoring functions of ASAP to provide greater flexibility and overall program support. Through continued improvements in enforcement and merchant education, the division has been successful in exceeding the goal of consistently reducing illegal tobacco sales to youth. Since 1999, states receiving federal block grant funds have been required to demonstrate an 80-percent or better compliance rate with tobacco youth access laws, or be penalized. Until recently, Alaska had never achieved the federally required 20-percent rate of illegal tobacco sales to youth, resulting in significant penalties in block grant funding to the division.

However, in calendar year 2005, Alaska achieved a 9 percent rate, representing a significant improvement in compliance. This success was accomplished over a three-year period, beginning in calendar year 2003 (10 percent), 2004 (12 percent) and 2005 (9.4 percent). The drop in illegal tobacco sales to youth can be attributed to strong enforcement efforts, a statewide vendor education campaign, swift enactment of penalties in which illegal tobacco sales to youth occurred, cooperation of tobacco vendors to abide by youth tobacco laws, plus local and statewide efforts that address the issue of youth access to tobacco.

- Program Certification

For FY06, DBH transferred the program approval process for grantees and privately owned agencies wishing to provide substance abuse treatment services to the criminal justice population to ASAP. At least 70% of the clients entering substance abuse services are directly referred from the criminal justice system through the Alaska ASAP program. ASAP has worked to simplify the approval process and encourage new providers to expand the existing capacity for substance abuse treatment.



Statutory and Regulatory Authority

AS 28.35.030	Miscellaneous Provisions
AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism & Intoxication Treatment Act
7 AAC 29	Uniform Alcoholism & Intoxication Treatment
7 AAC 78	Grant Programs

Contact Information

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**Alcohol Safety Action Program (ASAP)
Component Financial Summary**

All dollars shown in thousands

	FY2006 Actuals	FY2007 Management Plan	FY2008 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	982.2	1,339.7
72000 Travel	3.0	3.0	43.0
73000 Services	50.0	509.8	302.5
74000 Commodities	13.6	10.0	73.0
75000 Capital Outlay	0.0	2.0	2.0
77000 Grants, Benefits	684.5	1,161.0	1,058.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	751.1	2,668.0	2,818.2
Funding Sources:			
1002 Federal Receipts	145.6	330.1	330.1
1004 General Fund Receipts	0.0	290.8	390.4
1007 Inter-Agency Receipts	100.0	1,423.7	1,423.7
1037 General Fund / Mental Health	198.6	0.0	0.0
1092 Mental Health Trust Authority Authorized Receipts	0.0	105.0	120.0
1156 Receipt Supported Services	306.9	333.0	368.6
1180 Alcohol & Other Drug Abuse Treatment & Prevention Fund	0.0	185.4	185.4
Funding Totals	751.1	2,668.0	2,818.2

Estimated Revenue Collections

Description	Master Revenue Account	FY2006 Actuals	FY2007 Management Plan	FY2008 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	145.6	330.1	330.1
Interagency Receipts	51015	100.0	1,423.7	1,423.7
Receipt Supported Services	51073	306.9	333.0	368.6
Restricted Total		552.5	2,086.8	2,122.4
Total Estimated Revenues		552.5	2,086.8	2,122.4

**Summary of Component Budget Changes
From FY2007 Management Plan to FY2008 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2007 Management Plan	290.8	330.1	2,047.1	2,668.0
Adjustments which will continue current level of service:				
-Fund Source Adjustment for Retirement Systems Increases	99.6	0.0	-99.6	0.0
Proposed budget increases:				
-Additional MHTAAR for ASAP Therapeutic Case Management	0.0	0.0	15.0	15.0
-FY 08 Retirement Systems Rate Increases	0.0	0.0	135.2	135.2
FY2008 Governor	390.4	330.1	2,097.7	2,818.2

**Alcohol Safety Action Program (ASAP)
Personal Services Information**

Authorized Positions		Personal Services Costs		
	<u>FY2007</u>	<u>FY2008</u>		
	<u>Management</u>	<u>Governor</u>		
	<u>Plan</u>			
Full-time	18	16	Annual Salaries	764,109
Part-time	0	0	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	621,251
			<i>Less 3.30% Vacancy Factor</i>	(45,660)
			Lump Sum Premium Pay	0
Totals	18	16	Total Personal Services	1,339,700

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
A.P.O. I, ASAP	0	0	0	1	1
A.P.O. II, ASAP	7	1	0	2	10
Administrative Assistant	1	0	0	0	1
Administrative Clerk II	1	0	0	0	1
Administrative Clerk III	2	0	0	0	2
Social Svcs Prog Coord	1	0	0	0	1
Totals	12	1	0	3	16