

**State of Alaska
FY2006 Governor's Operating Budget**

**Department of Environmental Conservation
Facility Construction
Component Budget Summary**

Component: Facility Construction

Contribution to Department's Mission

Assist communities in improving sanitation conditions.

Core Services

- Provide grants, loans and engineering assistance for water, sewerage, and solid waste facilities.
- Develop training programs for, and certify water and sewerage system operators.
- Provide over-the-shoulder and emergency assistance to system operators in remote communities.

End Results	Strategies to Achieve Results
<p>A: Citizens are protected from unsafe sanitation facilities.</p> <p><u>Target #1:</u> 100% of communities develop and maintain safe sanitary facilities.</p> <p><u>Measure #1:</u> % of communities that develop and maintain safe sanitary facilities.</p>	<p>A1: Establish protective standards for facility construction.</p> <p><u>Target #1:</u> Protective standards are established by the end of FY2007.</p> <p><u>Measure #1:</u> % complete by end of FY2007.</p>

Major Activities to Advance Strategies	
<ul style="list-style-type: none"> • Develop operator certification standards in regulations. • Enact Village Safe Water program revisions. • Execute grant agreements. • Track grant payments. 	<ul style="list-style-type: none"> • Execute loan agreements. • Administer grants and loans. • Track loan payments. • Train water and wastewater facility operators and respond to emergencies.

FY2006 Resources Allocated to Achieve Results							
<p>FY2006 Component Budget: \$5,908,500</p>	<p>Personnel:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding-left: 20px;">Full time</td> <td style="text-align: right;">34</td> </tr> <tr> <td style="padding-left: 20px;">Part time</td> <td style="text-align: right;">0</td> </tr> <tr> <td style="padding-left: 20px;">Total</td> <td style="text-align: right; border-top: 1px solid black;">34</td> </tr> </table>	Full time	34	Part time	0	Total	34
Full time	34						
Part time	0						
Total	34						

Performance Measure Detail

A: Result - Citizens are protected from unsafe sanitation facilities.

Target #1: 100% of communities develop and maintain safe sanitary facilities.
Measure #1: % of communities that develop and maintain safe sanitary facilities.

Percent of Communities that Develop and Maintain Safe Sanitation Facilities

Year	Quarter 1	Quarter 2	Quarter 3	Quarter 4	YTD
------	-----------	-----------	-----------	-----------	-----

Analysis of results and challenges: The Facilities Component of the Division of Water (including the VSW Program, the RMW Program, and the Operations Assistance Programs) is working collaboratively with the State Rural Utility Business Advisor (RUBU) Program and the Alaska Native Tribal Health Consortium (ANTHC) to develop a common set of definitions for this measure. ANTHC is a partner agency in the administration of sanitation facility development and maintenance for rural Alaskan communities.

The following terms need to be consistently defined by both organizations in order for this measure to be applied throughout Alaska:

- "Communities" – The list of communities in Alaska to be included in this analysis.
- "Safe Sanitation Facilities" – This definition will include criteria relating to facility-related regulatory compliance, operability, and levels of service within different communities.
- "Maintained" – This definition will include criteria such as operations-related regulatory compliance, operator certification, and essential capacity indicators.

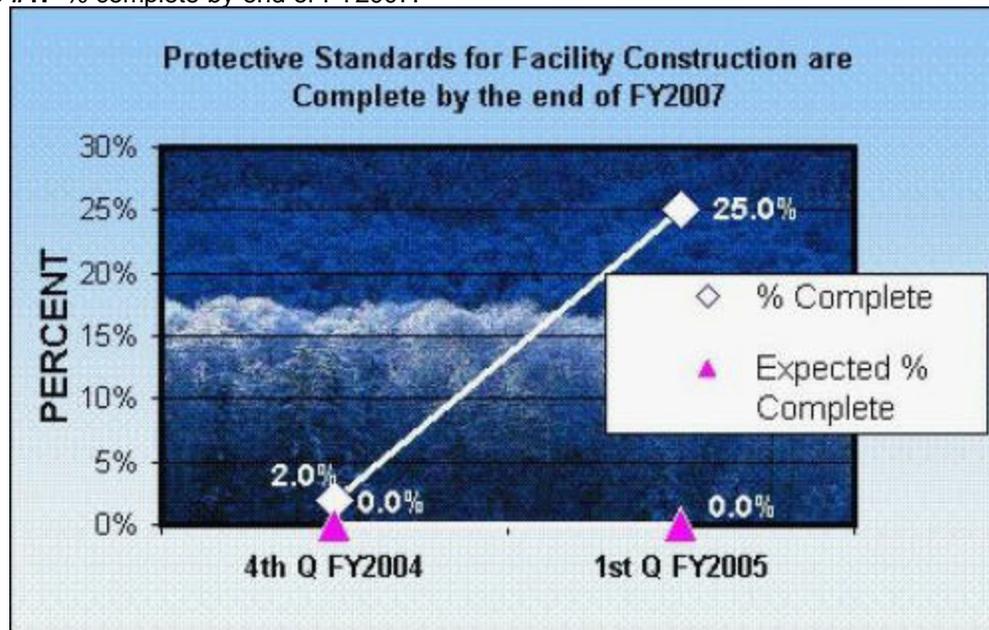
ANTHC has also adopted this measure as one of its primary performance indicators for the Division of Environmental Health and Engineering.

This is a new measure. Common, consistent definitions are to be developed during the final quarter of FY2004. Preliminary results should be available for analysis by the end of the second quarter of FY2005.

A1: Strategy - Establish protective standards for facility construction.

Target #1: Protective standards are established by the end of FY2007.

Measure #1: % complete by end of FY2007.



Percent of Protective Standards Complete by the End of FY2007

Year	Quarter 1	Quarter 2	Quarter 3	Quarter 4	YTD
2004	0	0	0	2%	
2005	25%	0	0	0	

Analysis of results and challenges: The Facilities Component of the Division of Water has begun work on new standards, re-defining the Village Safe Water Act (Title 46, Chapter 7). In addition, progress milestones are being established in order to facilitate measurement for future reporting.

This is a new measure. The project was planned to start during the first quarter of FY2006 and is currently ahead of schedule.

Key Component Challenges

RURAL SANITATION. Revision of the Village Safe Water program to reflect the administration's rural policies will be a priority.

Significant Changes in Results to be Delivered in FY2006

None.

Major Component Accomplishments in 2004

MMUNICIPAL WATER, SEWERAGE AND SOLID WASTE MATCHING GRANTS PROGRAM

- Awarded a total of 10.5 million in state and federally-funded matching grants to 12 communities for 31 water, wastewater and solid waste projects. The total local contribution was 6.2 million.

MUNICIPAL LOANS PROGRAM

- Awarded 21.0 million in new, low-interest loans to 5 communities for 10 water, wastewater and solid waste projects.
- Secured 16.0 million in federal funding to add to loan account capital.
- Collected 11.0 million in loan principal and interest for deposit into the loan funds.

VILLAGE SAFE WATER PROGRAM

- Secured 60.7 million in federal Environmental Protection Agency and US Department of Agriculture-Rural Development grant funding for the program.
- Awarded 87.0 million in grants for 72 water, wastewater and solid waste projects.

REMOTE MAINTENANCE WORKER PROGRAM

- Due, in part, to remote maintenance worker assistance, there have been no large scale failures of rural sanitation systems since 1989.
- Provided regular over-the-shoulder operator assistance to 183 communities.

OPERATOR CERTIFICATION PROGRAM

- Administered two statewide operator certification examinations to over 305 applicants where approximately 252 examinees attained certification or upgraded their existing certifications.
- Administered 618 exams to village operators in conjunction with 34 special entry-level training courses, of which 441 achieved entry-level certifications.

Statutory and Regulatory Authority

AS 46.03.030, AS 46.03.032, AS 46.03.036, AS 46.07, AS 46.30, 18 AAC 73, 18 AAC 74, 18 AAC 76, 18 AAC 77

Contact Information

Contact: Lynn Kent, Water Quality Programs Manager
Phone: (907) 465-5312
Fax: (907) 465-5274
E-mail: Lynn_Kent@dec.state.ak.us

Facility Construction Component Financial Summary

All dollars shown in thousands

	FY2004 Actuals	FY2005 Management Plan	FY2006 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	2,663.0	2,776.1	2,809.4
72000 Travel	219.8	307.7	307.7
73000 Services	702.0	1,141.7	1,141.7
74000 Commodities	36.6	69.6	69.6
75000 Capital Outlay	36.7	49.5	49.5
77000 Grants, Benefits	1,494.1	1,530.6	1,530.6
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	5,152.2	5,875.2	5,908.5
Funding Sources:			
1002 Federal Receipts	1,383.6	1,635.8	1,638.2
1003 General Fund Match	609.5	605.4	605.8
1004 General Fund Receipts	266.7	264.9	271.8
1005 General Fund/Program Receipts	52.1	57.1	57.1
1061 Capital Improvement Project Receipts	1,958.5	2,264.5	2,629.0
1075 Alaska Clean Water Loan Fund	403.4	489.7	318.6
1100 Alaska Drinking Water Fund	478.4	557.8	388.0
Funding Totals	5,152.2	5,875.2	5,908.5

Estimated Revenue Collections

Description	Master Revenue Account	FY2004 Actuals	FY2005 Management Plan	FY2006 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	1,383.6	1,635.8	1,640.2
General Fund Program Receipts	51060	52.1	57.1	57.1
Capital Improvement Project Receipts	51200	1,958.5	2,264.5	2,694.9
Restricted Total		3,394.2	3,957.4	4,392.2
Total Estimated Revenues		3,394.2	3,957.4	4,392.2

**Summary of Component Budget Changes
From FY2005 Management Plan to FY2006 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2005 Management Plan	927.4	1,635.8	3,312.0	5,875.2
Adjustments which will continue current level of service:				
-FY 05 Bargaining Unit Contract Terms: GGU	0.5	0.0	14.1	14.6
-Alignment of a position with supervision and management in Information and Administrative Services	0.0	0.0	-63.5	-63.5
-FY06 Cost Increases for Bargaining Units and Non-Covered Employees	6.8	1.5	65.9	74.2
-Adjustments for Personal Services Working Reserve Rates and SBS	0.0	0.9	7.1	8.0
FY2006 Governor	934.7	1,638.2	3,335.6	5,908.5

**Facility Construction
Personal Services Information**

Authorized Positions		Personal Services Costs		
	<u>FY2005</u>	<u>FY2006</u>		
	<u>Management</u>	<u>Governor</u>		
	<u>Plan</u>			
Full-time	35	34	Annual Salaries	2,126,387
Part-time	0	0	COLA	27,553
Nonpermanent	4	4	Premium Pay	0
			Annual Benefits	959,837
			<i>Less 6.47% Vacancy Factor</i>	<i>(201,477)</i>
			Lump Sum Premium Pay	0
Totals	39	38	Total Personal Services	2,912,300

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Administrative Clerk I	1	0	0	0	1
Administrative Clerk II	1	0	1	0	2
Analyst/Programmer II	0	0	1	0	1
College Intern I	0	0	1	0	1
Division Director	0	0	1	0	1
Environ Conserv Mgr I	0	0	1	0	1
Environ Conserv Mgr III	1	0	0	0	1
Environ Engineer I	2	0	0	0	2
Environ Engineer II	1	0	1	0	2
Environmental Spec II	0	0	1	0	1
Environmental Spec IV	0	0	1	0	1
Graduate Intern I	3	0	0	0	3
Grants Administrator I	1	0	0	0	1
Grants Administrator II	0	0	1	0	1
Maint Spec Bfc Foreman	1	0	0	0	1
Maint Spec Bfc Jrny II/Lead	2	0	1	0	3
Planner III	0	0	1	0	1
Prog Coordinator	0	0	1	0	1
Project Asst	0	0	1	0	1
VSW Engineer I	4	0	0	0	4
VSW Engineer II	3	0	0	0	3
VSW Engineer III	1	0	0	0	1
VSW Engineering Assoc	4	0	0	0	4
Totals	25	0	13	0	38