

State of Alaska FY2005 Governor's Operating Budget

Department of Health and Social Services Probation Services Component Budget Summary

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Component: Probation Services

Contribution to Department's Mission

Probation Services exist to provide direct client services from the point of intake through ongoing supervision and re-entry support in addition to statewide agency management and oversight. Services are provided in a manner consistent with the mission of the Division of Juvenile Justice to hold juvenile offenders accountable for their behavior, promote the safety and restoration of victims and communities and assist offenders and their families in developing skills to prevent crime.

Core Services

Probation Services includes a wide range of front line probation services, administrative program support and state level management and oversight functions. These services are broken down by service category.

Direct Probation Services

Probation officers assume a number of functions and responsibilities. The first task is to make a detention determination to authorize or deny a police officer's request to detain a juvenile following an arrest. Once a police investigation is received, the probation officer determines if there is sufficient probable cause evidence against the youth to take further action. Once jurisdiction has been established, the probation officer meets with the youth, family and the victim(s) involved in the case to decide if the matter can be handled informally through a community diversion plan or if the matter requires formal court intervention. If the matter is processed through a community diversion plan, the probation officer provides informal supervision to the youth until the diversion activity has been completed and the harm to the victim and community has been satisfactorily repaired. If the matter requires formal court action, the probation officer (in most offices around the state) prepares and files a delinquency petition with the court. The probation officer meets with the victim and provides information to them about their rights and opportunities to participate in the juvenile justice process. The probation officer offers direct support services to victims as well as a variety of referrals to appropriate community-based victim services. If the juvenile is adjudicated delinquent, the probation officer conducts a predisposition investigation and provides recommendations to the court as to the appropriate disposition in the case. The probation officer assists the victim in providing information to the court during the disposition hearing. If the court places the juvenile on probation, the probation officer supervises the offender under the terms of an individual case plan and specific conditions of probation as ordered by the court. If the court orders the juvenile into a juvenile institution, the probation officer assists the youth facility staff in developing and implementing an institutional treatment plan. Once institutionalized youth complete treatment and return to the community, the probation officer assists the juvenile in making a successful reentry into the community. Probation officers work closely with individuals and community groups who develop and implement accountability support services in support of the Division's mission.

Administrative Program Support

Positions in this service unit provide a variety of clerical and information technology support services to professional staff. This service unit processes accounts payable, client service expenses, client and staff travel, and helps maintain the Juvenile Offender Management Information System (JOMIS), the Division's new juvenile offense history and service activity information database.

State Level Management

This service unit consists of state-level personnel responsible for administration of federal grant programs, statewide policy development and implementation, coordinated service delivery between field probation and the youth facilities and statewide staff training and development. This unit is responsible for the continued refinement and ongoing operation and quality assurance for the Division's automated offender database, the Juvenile Offender Management Information System (JOMIS). This unit also performs all state-level administrative functions including preparation and administration of the agency operating and capital budgets, coordination with the Commissioner, other Departments, other Divisions within the Department, the Governor, and Legislature in the review and development of public law and policy related to the administration of juvenile justice.

FY2005 Resources Allocated to Achieve Results

FY2005 Component Budget: \$8,578,700	Personnel:	
	Full time	114
	Part time	0
	Total	114

Key Component Challenges

Increase in overall delinquency referrals:

The Division received 7,468 delinquency referrals in FY03, which is an increase of 8% from the 6,932 delinquency referrals received in FY02. Referrals can be thought of as the submission of a police investigation report to the Division. Each referral consists of one or more charges against a juvenile offender. The change in number of referrals from FY01 to FY03 was from 7,056 to 7,468 for a 6% increase in delinquency referrals in this two-year period.

Increase in felony referrals

Of the 7,468 referrals received in FY03, 2,346 included at least one felony charge. The following table shows a summary of referrals for the past three years that contain at least one felony charge. Although there is essentially no change between FY01 and FY02, there is an increase of 8% in felony referrals since FY02.

TABLE I: Number of Delinquency Referrals containing at least one felony charge:

FY2001	FY2002	FY2003
2179	2169	2346

Inability to Provide Sufficient Response

The Division's lack of sufficient juvenile probation resources throughout the state continues to result in an inability to provide essential and timely responses to juvenile crime. Intervention and responses are often insufficient for minor offenses due to competing priorities of more serious charges. Research indicates that prompt and appropriate intervention is critical to improving reoffense rates for juvenile offenders.

Inability to Meet Required Juvenile Case Contact Standards

Many juvenile probation offices across the state are unable to meet required minimum case contact requirements for youth involved with DJJ due to their criminal activity. These standards are in place to ensure that the public is protected and there is a minimum amount of appropriate contact and supervision for juvenile offenders. Inability to meet required standards is due to increased workload, complexity of cases being referred, increased requirements for work with victims and communities, and in rural offices, high levels of staff turnover and position vacancy.

Significant Changes in Results to be Delivered in FY2005

Federal funds used for implementation of the statewide juvenile offender management information system (JOMIS) and the funding of seven Juvenile Probation Officers are no longer available, posing a significant challenge for the Division. Without adequate information technology staffing and support the Division and its juvenile justice partner agencies will be unable to access, in a timely and efficient manner, juvenile criminal history records, case files and program delivery and service information on juveniles involved in the juvenile justice system. Sufficient numbers of juvenile probation officers throughout the state are critical to holding juvenile offenders accountable, protecting communities and developing competencies to reduce reoffense rates.

As the Division moves forward with the implementation of a detention assessment instrument (DAI) which uses objective criteria in determining the use of secure detention resources, more youths will be diverted into the community. This will require the Division to develop a comprehensive array of community-based resources to ensure that youths continue to be held accountable for their behavior and communities are protected. A few examples of alternatives are home and

school-based “community detention” that provides monitoring and support to juveniles and non-secure shelter care which ensures that youths are diverted to responsible adults while parents or legal guardians are located.

Major Component Accomplishments in 2003

The mental health specialization by JPO's in both Anchorage and Fairbanks continues to provide intensive services to youth entering the juvenile justice system with serious mental health needs. This has resulted in a reduction in the time frame that mental health juveniles are involved in the DJJ system and prevention of these youth from advancing deeper into the DJJ system solely due to their mental health needs.

During 2003, the Juvenile Offender Management Information System (JOMIS) was fully implemented. Significantly more information on juvenile offenses, facility placements, probation, custody, and services were integrated in a single real-time, statewide accessible database. This resulted in improved access to needed information by line staff when making public safety decisions, and in developing recommendations for the court. It also resulted in marked improvement in the division's ability to generate statewide statistics and its ability to identify and research needed system reforms.

The Division's juvenile offenders provided a significant amount of community work service as a way to repair the harm caused to victims and communities in both urban and rural Alaska. In FY03, 27,551 hours of community work service were completed, or 97% of what was ordered by the court.

The Division continues to collect a high percentage of restitution on behalf of victims of juvenile crime. In FY03, the Division collected 88% of the amount of restitution ordered by the courts or probation officers from around the state.

Statutory and Regulatory Authority

AS 09.35 Execution
 AS 11.81 General Provisions
 AS 12.25 Arrests and Citations
 AS 12.35 Search and Seizures
 AS 25.27 Child Support Enforcement Agency
 AS 47.05 Administration of Welfare, Social Services and Institutions
 AS 47.10 Children in Need in Aid
 AS 47.12 Delinquent Minors
 AS 47.14 Juvenile Institutions
 AS 47.15 Uniform Interstate Compact on Juveniles
 AS 47.17 Child Protection
 AS 47.18 Programs and Services Related to Adolescents
 AS 47.21 Adventure Based Education
 AS 47.37 Uniform Alcoholism and Intoxication Treatment Act
 7 AAC 52 Juvenile Correctional Facilities & Juvenile Detention Facilities
 7 AAC 53 Social Services
 7 AAC 54 Administration
 Alaska Delinquency Rules
 Alaska Rules of Civil Procedure
 Alaska Rules of Criminal Procedure

Contact Information

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**Probation Services
Component Financial Summary**

All dollars shown in thousands

	FY2003 Actuals	FY2004 Authorized	FY2005 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	7,763.3	7,915.8	7,795.3
72000 Travel	125.3	140.7	118.9
73000 Contractual	678.5	566.0	343.5
74000 Supplies	55.5	65.8	74.2
75000 Equipment	6.9	41.3	17.9
76000 Land/Buildings	0.0	0.0	0.0
77000 Grants, Claims	127.8	140.1	228.9
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	8,757.3	8,869.7	8,578.7
Funding Sources:			
1002 Federal Receipts	617.1	894.5	631.6
1003 General Fund Match	0.0	0.0	23.9
1004 General Fund Receipts	7,930.9	7,474.9	7,688.0
1007 Inter-Agency Receipts	0.0	82.5	10.2
1108 Statutory Designated Program Receipts	209.3	417.8	225.0
Funding Totals	8,757.3	8,869.7	8,578.7

Estimated Revenue Collections

Description	Master Revenue Account	FY2003 Actuals	FY2004 Authorized	FY2005 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	617.1	894.5	631.6
Interagency Receipts	51015	0.0	82.5	10.2
Statutory Designated Program Receipts	51063	209.3	417.8	225.0
Restricted Total		826.4	1,394.8	866.8
Total Estimated Revenues		826.4	1,394.8	866.8

**Summary of Component Budget Changes
From FY2004 Authorized to FY2005 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2004 Authorized	7,474.9	894.5	500.3	8,869.7
Adjustments which will continue current level of service:				
-Transfer out IT Consolidation from Probation Svc	-122.1	-168.4	-75.0	-365.5
-Transfer for grants and contracts consolidation to Adm Svcs Support	-55.1	0.0	0.0	-55.1
-Transfer out GF to Fairbanks Youth Facility	-33.0	0.0	0.0	-33.0
-Probation Services-Transfer Out Funding for Micro Tech Position to DAS	-59.1	0.0	0.0	-59.1
-Probation Services-Transfer in from various Youth Facilities for System Improvement Plan	271.7	0.0	0.0	271.7
-DJJ Transfer for HR Integration	145.0	20.7	0.0	165.7
-Transfer in GF Authority for the Youth Courts from Delinquency Prevention	88.9	0.0	0.0	88.9
-Juneau and Ketchikan Youth Court Grants, Sec 52, Ch 82, SLA 2003	-0.1	0.0	0.0	-0.1
-Changes to Retirement and Other Personal Services Rates	283.5	25.3	2.7	311.5
Proposed budget decreases:				
-Department-wide travel reduction	-23.1	-1.9	-0.6	-25.6
-Reduce SDPR and Federal Authority for Probation Services Component	0.0	-138.6	-192.2	-330.8
-Position deletions	-259.6	0.0	0.0	-259.6
FY2005 Governor	7,711.9	631.6	235.2	8,578.7

**Probation Services
Personal Services Information**

Authorized Positions		Personal Services Costs		
	<u>FY2004</u> <u>Authorized</u>	<u>FY2005</u> <u>Governor</u>		
Full-time	125	114	Annual Salaries	5,684,423
Part-time	0	0	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	2,525,633
			<i>Less 7.00% Vacancy Factor</i>	<i>(574,704)</i>
			Lump Sum Premium Pay	159,909
Totals	125	114	Total Personal Services	7,795,261

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Accounting Clerk II	1	0	1	0	2
Administrative Assistant	0	0	1	0	1
Administrative Clerk II	1	1	1	0	3
Administrative Clerk III	1	1	1	0	3
Administrative Manager IV	0	0	1	0	1
Division Director	0	0	1	0	1
Juvenile Prob Officer I	1	0	0	4	5
Juvenile Prob Officer II	21	10	4	19	54
Juvenile Prob Officer III	4	2	4	9	19
Juvenile Prob Officer IV	2	1	3	0	6
Mntl Hlth Clinician III	0	1	0	0	1
Research Analyst III	0	0	1	0	1
Social Services Associate I	1	0	0	2	3
Social Services Associate II	3	1	0	6	10
Social Services Prog. Admin.	1	0	1	0	2
Social Svcs Prog Coord	1	0	0	0	1
Social Svcs Prog Officer	1	0	0	0	1
Totals	38	17	19	40	114