

State of Alaska
FY2003 Governor's Operating Budget

Department of Health and Social Services
Mental Health/Developmental Disabilities Administration
Component Budget Summary

Component: Mental Health/Developmental Disabilities Administration

Contact: Janet Clarke, Director, Administrative Services

Tel: (907) 465-1630 **Fax:** (907) 465-2499 **E-mail:** Janet_Clarke@health.state.ak.us

Component Mission

The mission of the Division is to plan with and provide appropriate prevention, treatment and support for families impacted by mental disorders or developmental disabilities, while maximizing self-determination.

Component Services Provided

This component provides centralized support services for the Alaska Psychiatric Institute, and the Division's two additional BRUs - Community Mental Health Grants and Community Developmental Disabilities Grants. Services include general administration, budget development and fiscal management, contract administration, service system planning, development of service and training initiatives, maintenance and upgrade of the automated information systems, and oversight of the mental health and developmental disabilities \$54.3 million grantee programs. Direct services include quality assurance, licensing, investigation, technical assistance, case management, and consultation. The Division works closely with the Alaska Mental Health Board, the Governor's Council on Disabilities and Special Education, and the Alaska Mental Health Trust Authority to determine policy governing the planning and implementation of services and supports for people who experience mental illness or developmental disabilities.

Component Goals and Strategies

IMPLEMENT STATE LAWS PROTECTING AND ENHANCING THE MENTAL HEALTH OF ALASKANS.

- Effectively administer the state mental health and developmental disabilities programs, oversee nonprofit grantee agencies' provision of related service, and manage the Division's resources.
- Promote increased awareness and acceptance of people with special needs and work toward decreasing the incidence and impact of mental disorders and developmental disabilities.
- Consistent with requirements of the Mental Health Trust Settlement, administer services and supports to meet the needs of mental health and developmental disabilities consumers and their families.

Key Component Issues for FY2002 – 2003

Key issues for the Division as a whole include:

- Health and safety: Consumer health and safety issues continue to be at the forefront of the Division's concerns. This coming session we will continue to seek revision of the current statutes governing ALHs, which serve both mental health and developmental disabilities consumers. The Division strives to expand Assisted Living Homes (ALH) licensing requirements to include homes with 1-2 clients, and expects to implement new standards of care to increase the health and safety of ALH residents.
- Staff recruitment and retention: At both the state and provider levels, difficulty in recruiting and retaining quality staff is of critical concern. API has resorted to continuously running a recruitment for registered nurses since many tend to stay a year or less. Despite a recent two-range salary increase across the board for all State nursing positions, the State continues to be noncompetitive with the local private-sector wages. In addition, the working conditions at API are intense and stressful, frequently involving mandatory overtime as API stretches to meet required staff-to-patient ratios and maintain a safe and therapeutic environment. Because of staff burnout and safety concerns, API management feels strongly that it is necessary to develop some alternative and greatly reduce or eliminate the use of overtime for their nursing staff.

Other job classes across the Division have also presented a real recruitment and retention challenge. During FY00 the MHDD Admin component staff dealt with a 35% staff turnover rate and in FY01 it was still a troubling 29%, with a steady 20% vacancy factor across both years. In response to a Workplace Alaska recruitment, often advertised multiple times in the three major newspapers, the Division frequently receives four or fewer applications. Increasingly, those who apply may either not meet minimum qualifications for the job class or offer very little beyond those minimum qualifications. Some vacancies have required three full rounds of recruitment efforts, at the cost of considerable time and effort, in order

to locate one suitable candidate. These troubles span the Division's whole range of jobs, from entry to advanced level program staff and from entry to advanced level technical staff.

The DMHDD service providers are experiencing similar difficulties. Relative to Alaska's high cost of living, local wages are no longer keeping pace with those in much of the lower 48. Providers find it difficult to attract and keep qualified, experienced staff. This points to a need for service provider capacity building. Without additional funds to support an adequate infrastructure, agencies are unable to serve a continually larger consumer base.

- Data: The Division faces a continued need for management information system (MIS) development to meet the increasingly complex data reporting requirements from the legislature, the Alaska Mental Health Trust Authority, the Alaska Mental Health Board, the Governor's Council on Disabilities and Special Education, and the Commissioner's Office. During FY02, as our highest Information Systems priority, we are pursuing short-term means for obtaining and reporting on mental health data. API anticipates procuring an Imaging System which will bring their medical records management into the modern technical era. As a longer-term plan, the Division anticipates engaging in the planning, design and development of a collaborative, web-based system to serve both the Division of Alcohol & Drug Abuse and the Community Mental Health program.

- Staff funding: The Division again seeks base budget funding for two critical staff; the Statewide Children's Mental Health Services Coordinator and the Mental Health Consumer Affairs position. Both positions were created under Trust initiatives, continue to have Trust support, and have proven their value in the mental health system over the past several years.

Major Component Accomplishments in 2001

Administration component staff provided oversight for the Division's \$54.3 million dollar grant programs serving an estimated 2,460 developmentally disabled consumers and 20,000 consumers with mental health issues.

Statutory and Regulatory Authority

AS 47.30.520 - 620	Community Mental Health Services Act
7 AAC 78.010 - 320	Grant Programs
7 AAC 71.010 - 300	Community Mental Health Services
PL 102-321	Community Mental Health Services
AS 47.30.665 - 915	State Mental Health Policy
AS 47.80.010 - 900	Persons with Disabilities
7 AAC 72.010 - 900	Civil Commitment
AS 44.29.020	Department of Health and Social Services (State Management of Programs)

Mental Health/Developmental Disabilities Administration

Component Financial Summary

All dollars in thousands

	FY2001 Actuals	FY2002 Authorized	FY2003 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	3,475.8	3,852.4	4,408.1
72000 Travel	408.3	373.6	418.6
73000 Contractual	1,568.2	2,198.2	2,895.3
74000 Supplies	99.7	76.0	79.5
75000 Equipment	151.3	89.1	110.1
76000 Land/Buildings	0.0	0.0	0.0
77000 Grants, Claims	413.3	76.2	76.2
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	6,116.6	6,665.5	7,987.8
Funding Sources:			
1002 Federal Receipts	1,046.8	1,612.4	2,224.5
1004 General Fund Receipts	0.0	0.0	0.2
1005 General Fund/Program Receipts	10.1	10.1	10.1
1007 Inter-Agency Receipts	2,041.1	1,949.6	2,240.1
1037 General Fund / Mental Health	2,701.8	2,700.3	3,056.7
1092 Mental Health Trust Authority Authorized Receipts	316.8	393.1	456.2
Funding Totals	6,116.6	6,665.5	7,987.8

Estimated Revenue Collections

Description	Master Revenue Account	FY2001 Actuals	FY2002 Authorized	FY2002 Cash Estimate	FY2003 Governor	FY2004 Forecast
Unrestricted Revenues						
None.		0.0	0.0	0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0	0.0	0.0
Restricted Revenues						
Federal Receipts	51010	1,046.8	1,612.4	1,325.5	2,224.5	180.9
Interagency Receipts	51015	2,041.1	1,949.6	2,411.5	2,240.1	2,394.2
General Fund Program Receipts	51060	10.1	10.1	10.1	10.1	10.1
Mental Health Trust Authority Auth.Rec.	51410	316.8	393.1	393.1	456.2	456.2
Restricted Total		3,414.8	3,965.2	4,140.2	4,930.9	3,041.4
Total Estimated Revenues		3,414.8	3,965.2	4,140.2	4,930.9	3,041.4

Mental Health/Developmental Disabilities Administration

Proposed Changes in Levels of Service for FY2003

- The Division will fully implement its new combined Safety & Quality Assurance unit, strengthening both the Assisted Living Homes licensing program and the quality assurance program.
- Through several available streams of federal revenue, the Division anticipates pursuing a collaborative Mental Health/Alcohol & Drug Abuse web-based information system, thereby increasing the amount of service data available and reducing reporting requirements for the numerous grantees who serve dually-diagnosed consumers.
- The Developmental Disabilities program will engage in an intensive, long-term training effort for DD staff, provider agencies, families and advocates related to the significant DD system changes.
- To adequately serve the growing program staff, clerical and computer user support services are being increased.

Summary of Component Budget Changes

From FY2002 Authorized to FY2003 Governor

All dollars in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2002 Authorized	2,710.4	1,612.4	2,342.7	6,665.5
Adjustments which will continue current level of service:				
-Year 3 Labor Costs - Net Change from FY2002	65.3	12.1	45.5	122.9
-Transfer In funds for Children's MH Coordinator from AMHB	0.0	0.0	58.1	58.1
-Transfer out salary for Analyst Programmer III to Admin Svcs Support	-69.2	0.0	0.0	-69.2
-Develop Web-Based ARORA Front End	0.0	500.0	0.0	500.0
Proposed budget increases:				
-Safety & Quality Assurance	360.5	0.0	0.0	360.5
-Data Infrastructure federal grant	0.0	100.0	0.0	100.0
-Regional Community DD Grantee Support/Training	0.0	0.0	250.0	250.0
FY2003 Governor	3,067.0	2,224.5	2,696.3	7,987.8

Mental Health/Developmental Disabilities Administration

Personal Services Information

	Authorized Positions		Personal Services Costs	
	<u>FY2002</u> <u>Authorized</u>	<u>FY2003</u> <u>Governor</u>		
Full-time	58	66	Annual Salaries	3,450,665
Part-time	4	4	COLA	82,780
Nonpermanent	1	1	Premium Pay	0
			Annual Benefits	1,206,436
			<i>Less 7.00% Vacancy Factor</i>	<i>(331,792)</i>
			Lump Sum Premium Pay	0
Totals	63	71	Total Personal Services	4,408,089

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Accountant III	0	0	1	0	1
Accounting Clerk II	0	0	1	0	1
Accounting Tech I	0	0	1	0	1
Accounting Tech II	0	0	1	0	1
Administrative Assistant	1	0	0	0	1
Administrative Clerk II	2	0	2	0	4
Administrative Clerk III	2	1	2	1	6
Administrative Manager I	0	0	1	0	1
Administrative Manager IV	0	0	1	0	1
Analyst/Programmer IV	0	0	1	0	1
Analyst/Programmer V	0	0	1	0	1
Community Care Lic Spec I	2	0	0	0	2
Community Care Lic Spec II	1	0	0	0	1
Community Mh Svc Prog Adm	1	0	1	0	2
Coordinator	0	1	0	0	1
Dev Dis Program Admin	0	0	1	0	1
Dev Dis Program Spec I	2	1	2	1	6
Dev Dis Program Spec III	3	1	3	1	8
Division Director	0	0	1	0	1
Grants Administrator II	0	0	1	0	1
Micro/Network Tech II	0	0	1	0	1
Mntl Hlth Clinician II	4	1	1	1	7
Mntl Hlth Clinician III	6	1	2	1	10
Personnel Asst II	0	0	1	0	1
Prog Coordinator	1	0	0	0	1
Project Asst	0	0	2	0	2
Project Coord	1	0	1	0	2
Project Director	1	0	0	0	1
Research Analyst III	0	0	1	0	1
Secretary	0	0	1	0	1
Social Svcs Prog Coord	0	0	1	0	1
Vocational Rehab Counselor III	1	0	0	0	1
Totals	28	6	32	5	71