

State of Alaska FY2015 Governor's Operating Budget

Department of Health and Social Services Services to the Seriously Mentally Ill Component Budget Summary

Component: Services to the Seriously Mentally III

Contribution to Department's Mission

Increase the number of at-risk adults with serious mental illness who are able to live safely in the least restrictive community based setting.

Core Services

- To provide psychiatric and rehabilitative services to adults with serious mental illness through grants to community mental health agencies. Core services are assessment, psychotherapy, case management, medication management, peer support services, and skill building services to support independent living and maximize quality of life. Specialized services include supported residential and employment programs.

Major Component Accomplishments in 2013

- **Alaska Complex Behavior Collaborative**

During FY2013, 42 participants were served (17 youth, 25 adults). Of those,

- Thirty-two (76 percent) avoided institutional care,
- Thirty-nine (93 percent) have been able to stay in the community, and
- Ten (24 percent) participants required a higher level of care; seven of those have since returned to the community setting.

Current data indicates there is a **reduction** in Medicaid costs following the phasing out of Complex Behavior Collaborative services.

- **Bridge Home Program**

The Bridge-Home program provided an outstanding example of a well-managed housing-first model of supportive housing (a model that does not require sobriety but does enforce rules regarding personal behavior and responsibility). In 2013 the program completed its seventh year of operation and served 60 individuals. While staying true to its basic tenants of "housing first," it has changed in two areas over the years. The first was an increase in emphasis placed on clients developing the greatest level of self-sufficiency possible. This incorporated a focus on employment. The next change came when the program started gradually moving toward serving a population having relatively high needs compared to other clients in the agency that houses the program.

Due to a continuing deficit in affordable independent housing, clients tended to stay longer in the program than in previous years. A positive effect is that when housing does become available in the community, the clients are more ready to move into an independent setting and more likely to succeed in remaining housed. During active enrollment in the program clients generally reduced their time spent in the Alaska Psychiatric Institute and jail.

FY2013 key staff from the Trust, the Division of Behavioral Health and Anchorage providers visited successful Assertive Community Treatment programs in Vermont and Washington State to gather information and become better informed about the functioning and management of an Assertive Community Treatment program.

- **Department of Corrections Discharge Incentive Grants**

- During 2013, 68 individuals were served in this program. The service population has been essentially stable for three years.
- The use of inpatient psychiatric care at the Alaska Psychiatric Institute decreased. The number of individuals admitted and the number of days used were both reduced.
- Clients served also showed a decrease in their use of Department of Corrections' facilities. The number of individuals incarcerated, number of arrests, and jail bed days were all reduced.
- Beneficiaries, community providers, the Court, and State professionals appreciate and agree that Trust housing funds helped to improve the quality of life and health for each of these individuals, reduce recidivism, and improve personal and public safety.

Key Component Challenges

- Seriously mentally ill adults continue to experience hospitalization and incarceration, especially in Anchorage and the South Central regions, which are the most underserved, according to prevalence data. Service gaps exist for individuals with seriously mental illness and complex cognitive and behavioral disorders, resulting in hospitalization, incarceration, and out-of-state placement.
- People with serious mental illness lack personal resources on which to draw. Mental health services are financed primarily through Medicaid and grants. Non-resourced clients cause financial strain for providers.
- Individuals exiting correctional facilities or involved with the Court System lack supportive housing to prevent repeated episodes of homelessness and institutionalization. These clients are often denied housing due to the nature of their criminal offense (arson, sex offenses, and violent assault).
- There are not enough direct service mental health workers because wages are low and work is difficult.
- Supported employment is an evidence-based practice and a key element in recovery for many individuals and needs continued support in the state.
- Assisted Living Home providers serve more than three hundred individuals around the state with mental health issues and need continuing skill development to work with increasingly complex resident mental health problems and behaviors.
- There is a statewide shortage of residential supportive housing that can accommodate people having behavioral health issues too severe to be managed in a standard assisted living home but who are not so ill that they require hospitalization.

Significant Changes in Results to be Delivered in FY2015

- **Assertive Community Treatment Team**

The Division of Behavioral Health, with the support of the Trust Authority, has been actively exploring the creation of an Assertive Community Treatment program for the Anchorage bowl / Southcentral area. In cooperation with clinical staff and leadership from the Anchorage Community Mental Health Services agency, the University of Alaska Anchorage, CHOICES, and the MatSu Valley Health Foundation, the Division and the Trust staff have visited with and studied the Assertive Community Treatment programs of two states – Vermont and Washington – with the specific intent of undertaking the introduction of an Assertive Community Treatment Team in Alaska by the end of FY2014, to be fully operational during mid-FY2015.

- **Alaska Complex Behavior Collaborative**

The Complex Behavior Collaborative is not slated for funding in FY2015 so the services and supports provided through this program will no longer be available.

Statutory and Regulatory Authority

AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.655 - 915	State Mental Health Policy
AS 47.30.011 - 061	Mental Health Trust Authority
7 AAC 78	Grant Programs
7 AAC 72	Civil Commitment
7 AAC 71	Community Mental Health Services

Contact Information

Contact: Sarah Woods, Deputy Director

Phone: (907) 465-1631

Fax: (907) 465-2499

E-mail: sarah.woods2@alaska.gov

Services to the Seriously Mentally III Component Financial Summary			
		<i>All dollars shown in thousands</i>	
	FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	45.9	45.9	45.9
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	17,431.5	19,014.2	18,564.2
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	17,477.4	19,060.1	18,610.1
Funding Sources:			
1002 Federal Receipts	898.4	972.0	972.0
1004 General Fund Receipts	1,178.2	1,194.5	1,194.5
1037 General Fund / Mental Health	14,140.3	16,043.6	15,593.6
1092 Mental Health Trust Authority Authorized Receipts	1,260.5	850.0	850.0
Funding Totals	17,477.4	19,060.1	18,610.1

Estimated Revenue Collections				
Description	Master Revenue Account	FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	898.4	972.0	972.0
Restricted Total		898.4	972.0	972.0
Total Estimated Revenues		898.4	972.0	972.0

**Summary of Component Budget Changes
From FY2014 Management Plan to FY2015 Governor**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2014 Management Plan	17,238.1	0.0	850.0	972.0	19,060.1
Adjustments which will continue current level of service:					
-Reverse Alaska Complex Behavior Collaborative Hub	-450.0	0.0	0.0	0.0	-450.0
-Reverse MH Trust: Housing - Grant 575.08 Bridge Home Program & Expansion (FY14-FY16)	0.0	0.0	-750.0	0.0	-750.0
-Reverse FY2014 MH Trust Recommendation	0.0	0.0	-100.0	0.0	-100.0
Proposed budget increases:					
-MH Trust: Housing - Grant 575.09 Bridge Home Program & Intensive Services for Community Integration (FY14-FY16)	0.0	0.0	750.0	0.0	750.0
-MH Trust: Housing - Grant 604.09 Department of Corrections Discharge Incentive Grants	0.0	0.0	100.0	0.0	100.0
FY2015 Governor	16,788.1	0.0	850.0	972.0	18,610.1

Component Detail All Funds
Department of Health and Social Services

Component: Services to the Seriously Mentally III (AR23145) (800)
RDU: Behavioral Health (483)

	FY2013 Actuals	FY2014 Conference Committee	FY2014 Authorized	FY2014 Management Plan	FY2015 Governor	FY2014 Management Plan vs FY2015 Governor	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	45.9	45.9	45.9	45.9	45.9	0.0	0.0%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	17,431.5	17,798.9	17,798.9	19,014.2	18,564.2	-450.0	-2.4%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	17,477.4	17,844.8	17,844.8	19,060.1	18,610.1	-450.0	-2.4%
Fund Sources:							
1002Fed Rcpts (Fed)	898.4	972.0	972.0	972.0	972.0	0.0	0.0%
1004Gen Fund (UGF)	1,178.2	1,194.5	1,194.5	1,194.5	1,194.5	0.0	0.0%
1037GF/MH (UGF)	14,140.3	14,828.3	14,828.3	16,043.6	15,593.6	-450.0	-2.8%
1092MHTAAR (Other)	1,260.5	850.0	850.0	850.0	850.0	0.0	0.0%
Unrestricted General (UGF)	15,318.5	16,022.8	16,022.8	17,238.1	16,788.1	-450.0	-2.6%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	1,260.5	850.0	850.0	850.0	850.0	0.0	0.0%
Federal Funds	898.4	972.0	972.0	972.0	972.0	0.0	0.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2014 Conference Committee To FY2014 Authorized *****												
FY2014 Conference Committee												
ConfCom		17,844.8	0.0	0.0	45.9	0.0	0.0	17,798.9	0.0	0	0	0
1002 Fed Rcpts		972.0										
1004 Gen Fund		1,194.5										
1037 GF/MH		14,828.3										
1092 MHTAAR		850.0										
Subtotal		17,844.8	0.0	0.0	45.9	0.0	0.0	17,798.9	0.0	0	0	0
***** Changes From FY2014 Authorized To FY2014 Management Plan *****												
Transfer the Comprehensive Behavioral Health Treatment and Recovery Grants from Various Components												
Trin		1,215.3	0.0	0.0	0.0	0.0	0.0	1,215.3	0.0	0	0	0
1037 GF/MH		1,215.3										
Transfer \$1,215.3 of general funds mental health from Psychiatric Emergency Services (\$842.2) and Behavioral Health Grants (\$373.1). FY2014 is the first year of a new, three year grant cycle for the Comprehensive Behavioral Health Treatment and Recovery Grants. Funding recommendations resulted in a shift to more grant funding for services to seriously mentally ill adults and less grant funding for substance abuse treatment services and psychiatric emergency services.												
Subtotal		19,060.1	0.0	0.0	45.9	0.0	0.0	19,014.2	0.0	0	0	0
***** Changes From FY2014 Management Plan To FY2015 Governor *****												
Reverse Alaska Complex Behavior Collaborative Hub												
OTI		-450.0	0.0	0.0	0.0	0.0	0.0	-450.0	0.0	0	0	0
1037 GF/MH		-450.0										
The Alaska Complex Behavior Collaborative Hub is a joint request from the Governor's Council on Disabilities & Special Education, Alaska Commission on Aging, Advisory Board on Alcoholism & Drug Abuse, Alaska Mental Health Board, and the Alaska Brain Injury Network. The funding will annualize diagnostic and testing capacity, individualized triage services, technical assistance to providers and families throughout Alaska, case-specific consultation and training services to meet the needs of individuals with cognitive disabilities, including but not limited to individuals with developmental disabilities, Alzheimer's Disease or related dementia, traumatic brain injury or dual diagnoses and challenging behaviors who often present safety risks for themselves and/or others and therefore are at risk for more costly institutional or out-of-state placements. Risk for institutional or out-of-state placement typically occur when the individual exhibits behaviors that are so complex they are outside the range of expertise of families, caregivers and/or providers, or the available treatment options in state have been exhausted without success.												
Reverse MH Trust: Housing - Grant 575.08 Bridge Home Program & Expansion (FY14-FY16)												
OTI		-750.0	0.0	0.0	0.0	0.0	0.0	-750.0	0.0	0	0	0
1092 MHTAAR		-750.0										

This project replicates successful transition programs in other states for individuals 'cycling' through emergency and institutional settings. The focus locations for

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	

the project will ultimately expand to include Anchorage, Juneau and possibly other locations where Alaska Housing Finance Corporation administers rental subsidies. Institutions targeted for re-entry include: Alaska Psychiatric Institution, Department of Corrections' facilities, hospital emergency services and other high-cost social service and health programs. The project allows for up to 100 individuals to receive less expensive, continuous services, including a rental subsidy (estimate based on charging the tenant 30% of income) in order to 'bridge' from institutional discharge onto the U.S. Department of Housing and Urban Development Housing Choice voucher program (formerly known as the Section 8 housing voucher program) paired with intensive in-home support services. This pairing of resources for beneficiaries has proven successful in other states in reducing recidivism and impacts on service systems. Alaska's success rates have been demonstrated in reduction of return to Corrections and in use of emergency level services in the initial years of the project. This project allows for expansion of the program in other critical parts of the state outside of Anchorage and assists in increasing the intensity of services for people with more complex service delivery needs.

Reverse FY2014 MH Trust Recommendation

1092 MHTAAR	OTI	-100.0	0.0	0.0	0.0	0.0	0.0	-100.0	0.0	0	0	0
		-100.0										

This zero-based adjustment record includes all MHTAAR and/or MHT Admin funding for FY2014 for this component.

MH Trust: Housing - Grant 575.09 Bridge Home Program & Intensive Services for Community Integration (FY14-FY16)

1092 MHTAAR	IncT	750.0	0.0	0.0	0.0	0.0	0.0	750.0	0.0	0	0	0
		750.0										

This project replicates successful transition programs in other states for individuals 'cycling' through emergency and institutional settings who experience a serious mental illness and other conditions. Emphasis is focused on intensive, responsive, community based mental health services to ensure successful community housing for persons identified as currently or previously cycling through emergency services. The focus locations for the project will include Anchorage and other locations where needed to assist individuals in remaining safely housed in the community. This program also relies on implementation in communities where Alaska Housing Finance Corporation administers rental subsidies or other rental subsidies can be identified. Institutions targeted for re-entry include: Alaska Psychiatric Institution, Department of Corrections' facilities, hospital emergency services and other high-cost social service and health programs. The project allows for up to 100 individuals to receive less expensive, continuous services, including a rental subsidy (estimate based on charging the tenant 30% of income) in order to 'bridge' from institutional discharge onto the U.S. Department of Housing and Urban Development Housing Choice voucher program (formerly known as the Section 8 housing voucher program) paired with intensive in-home support services. This pairing of resources for beneficiaries has proven successful in other states in reducing recidivism and impacts on service systems. Alaska's success rates have been demonstrated in reduction of return to Corrections and in use of emergency level services in the initial years of the project. This request allows for expansion of the program in other critical parts of the state outside of Anchorage and assists in increasing the intensity of services for people with more complex service delivery needs.

MH Trust: Housing - Grant 604.09 Department of Corrections Discharge Incentive Grants

1092 MHTAAR	IncM	100.0	0.0	0.0	0.0	0.0	0.0	100.0	0.0	0	0	0
		100.0										

This project is a strategy in the Trust's Affordable Housing initiative and the Disability Justice workgroup. It is consistent with the Housing workgroup's focus on 'community re-entry' by targeting beneficiaries exiting Department of Corrections settings who are challenging to serve due to issues related to mental illness and other conditions and will require extended supervision and support services to avoid repeat incarceration and becoming public safety concerns. The purpose of the program is to provide the immediate needed housing and support services necessary to facilitate the successful transition of clients from incarceration to community care. These funds will be administered by the Division of Behavioral Health as Assisted Living Home vouchers and, in collaboration with the Department of Corrections, will provide for alternative housing placements and the immediate service needs of the clients. This project will be referenced in the

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
Supported Housing Office Annual Work Plan as a priority for coordination efforts of Trust funding.												
	Totals	18,610.1	0.0	0.0	45.9	0.0	0.0	18,564.2	0.0	0	0	0

Line Item Detail
Department of Health and Social Services
Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
73000	Services		45.9	45.9	45.9
Expenditure Account	Servicing Agency	Explanation	FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
73000 Services Detail Totals			45.9	45.9	45.9
73025	Education Services		45.9	0.0	0.0
73750	Other Services (Non IA Svcs)	Spending authorization for uncollectible federal receipts	0.0	2.0	2.0
73979	Mgmt/Consulting (IA Svcs)	AK MH/Alc & Drug Abuse Brds RSA with AMHB for planning requirements of the CMHS Block Grant	0.0	43.9	43.9

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
77000	Grants, Benefits		17,431.5	19,014.2	18,564.2
Expenditure Account	Servicing Agency	Explanation	FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
77000 Grants, Benefits Detail Totals			17,431.5	19,014.2	18,564.2
77110	Grants		17,409.7	0.0	0.0
77110	Grants	Individual Case Management grants (GF)	0.0	0.3	0.3
77110	Grants	Level II Referrals (GF)	0.0	2.5	2.5
77110	Grants	Bridge Expansion (GF)	0.0	270.0	270.0
77110	Grants	DOC Incentive Discharge Provider Agreements (GF)	0.0	320.0	320.0
77110	Grants	Supported Employment grants (CMHS Block Grant)	0.0	414.4	414.4
77110	Grants	Behavioral Health Provider Association (GF)	0.0	55.9	55.9
77110	Grants	SMI Individual Service Agreements (GF, incl Nat'l Deaf Academy Tuition \$60.3)	0.0	1,363.0	1,363.0
77110	Grants	Assisted Living provider agreements (GF)	0.0	2,719.5	2,719.5
77110	Grants	Services for Seriously Mentally Ill awarded under the Comprehensive Treatment & Recovery Grant Program	0.0	13,418.6	13,418.6
77110	Grants		0.0	450.0	0.0
77670	Benefits		21.8	0.0	0.0

Restricted Revenue Detail
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
51010	Federal Receipts				898.4	972.0	972.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
51010	Federal Receipts Uncollectible federal authorization			11100	0.0	2.0	2.0
51010	Federal Receipts SAMHSA Projects for Assistance in Transition from Homelessness (PATH) Federal CFDA 93.150		06338607	11100	268.0	300.0	300.0
51010	Federal Receipts SAMHSA Community Mental Health Service Block Grant Federal CFDA 93.958		06338704, 05, 06, 07	11100	630.4	670.0	670.0

Inter-Agency Services
Department of Health and Social Services

Component: Services to the Seriously Mentally Ill (800)
RDU: Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2013 Actuals	FY2014	
					Management Plan	FY2015 Governor
73979	Mgmt/Consulting (IA Svcs) RSA with AMHB for planning requirements of the CMHS Block Grant	Intra-dept	AK MH/Alc & Drug Abuse Brds	0.0	43.9	43.9
73979 Mgmt/Consulting (IA Svcs) subtotal:				0.0	43.9	43.9
Services to the Seriously Mentally Ill total:				0.0	43.9	43.9
Grand Total:				0.0	43.9	43.9