

State of Alaska FY2015 Governor's Operating Budget

Department of Health and Social Services Designated Evaluation and Treatment Component Budget Summary

Component: Designated Evaluation and Treatment**Contribution to Department's Mission**

Hospital-based designated evaluation and treatment services are provided to Alaskans experiencing mental health crises when the needs of those individuals in crisis exceed the treatment capacities of their local communities, including the service options of their grant-funded comprehensive behavioral health center staff, and when, as a result, these individuals are court-ordered to a designated evaluation and treatment facility for involuntary evaluation and/or treatment. Designated evaluation and treatment services are generally provided as close to each individual's home as possible, as promptly as possible, in a manner that informs the individual of his/her rights, and allows him/her to participate, to the extent possible, in their own treatment.

Core Services

- The Designated Evaluation and Treatment component provides fee-for-service funding on a payer-of-last resort basis to designated local community hospitals. These designated hospitals provide involuntary evaluation and treatment services to people court-ordered under Alaska Statute 47.30.655 – Alaska Statute 47.30.915, and to people who meet commitment criteria but have agreed to voluntary services in lieu of commitment under Alaska Statute 47.31.010(b)(1)(B).
- A Designated Evaluation and Treatment facility may provide up to 72-hours of inpatient psychiatric evaluation; seven to ten days of crisis stabilization and treatment services; and 30 – 40 days of inpatient psychiatric hospital services as close to the consumer's home, family, and support systems as possible. Component funding also supports consumer and escort travel to the designated hospitals and back to their discharge placement.
- A Designated Evaluation and Stabilization facility may provide up to 72-hours of inpatient psychiatric evaluation services and up to seven to ten days of crisis stabilization and treatment services.
- Designated Evaluation and Stabilization / Designated Evaluation and Treatment psychiatric emergency services are a significant component within the division's continuum of behavioral health services and are essential to controlling admissions to Alaska Psychiatric Institute, Alaska's only public psychiatric hospital.
- There are Designated Evaluation and Stabilization hospitals located in Bethel and Ketchikan (Yukon Kuskokwim Delta Regional Hospital and PeaceHealth Ketchikan Medical Center) and Designated Evaluation and Treatment hospitals located in the Northern Interior and Southeast Alaska regions (Fairbanks Memorial Hospital and Bartlett Regional Hospital). DET hospitals feature self-contained behavioral health units within each of their hospitals including full psychiatric care.

Major Component Accomplishments in 2013

- Preliminary data from FY2013 suggest that
 - Patient encounters at the two Designated Evaluation and Treatment hospitals increased from 1,363 in FY2012 to 1,892 in FY2013 (a 38.8 percent increase),
 - Overall Medicaid daily rates for the two Designated Evaluation and Treatment hospitals increased by 14.3 percent from FY2012 to FY2013,
 - The number of Title 47 transports increased from 1,115 in FY2012 to 1,512 in FY2013 (a 35.6 percent increase), and
 - Overall transport costs increased from \$849.2 in FY2012 to \$1,326.8 in FY2013 (a 56 percent increase).
- The processes now in place, resulting from close cooperation and coordination between the Attorney General's Office and the Division of Behavioral Health, ensure that within the first few hours of an issued Title 47 court order, the state is aware of any person sitting in jail awaiting transfer on that 72-hour hold for a mental health evaluation. Significant, immediate efforts are made to arrange transport of that person in jail on the earliest possible flight to the nearest appropriate evaluation hospital.

Key Component Challenges

Designated Evaluation and Stabilization / Designated Evaluation and Treatment psychiatric emergency services are a significant component within the division's continuum of behavioral health services and are essential to controlling admissions to Alaska Psychiatric Institute, Alaska's only public psychiatric hospital. However, Designated Evaluation and Treatment services are subject to significant and enduring challenges:

- **Funding:** Once the Affordable Care Act takes full effect in 2014, the current and primary funding source for Alaska's Designated Evaluation and Treatment (*not* stabilization) services – Medicaid Disproportionate Share Hospital funds – will be reduced, as the Disproportionate Share Hospital funds are scheduled to decline under the Affordable Care Act between 2014 and 2020.
- **Facilities:** Communities often lack adequate facilities or the professional staff necessary to safely stabilize persons experiencing local behavioral health emergencies. Local responders have sometimes detained people in local jails pending transport.
- **Workforce:** Designated Evaluation and Stabilization and Designated Evaluation and Treatment facilities and local community behavioral health centers will continue to struggle with workforce issues including shortages and turnover. There is a need for ongoing training in the management of psychiatric emergencies and the short-term stabilization and treatment process.
- **Functioning Partnerships:** Maintaining functioning partnerships between local hospitals and community behavioral health providers and other key social service agencies, in order to facilitate efficient and effective shared responses to local behavioral health emergencies, is a significant, continuing challenge.
- **Response Time:** With the adoption of revised Alaska Court System forms relative to the emergency detention and involuntary commitment of persons experiencing a behavioral health crisis, the Division of Behavioral Health now faces significant pressure (both monetary and legal) to arrange transport of those persons subject to court-ordered involuntary 72-hour evaluation holds *within 24 hours* of the time and date of the court order.

Expansion of Designated Hospitals: Over 80% of the Alaska Psychiatric Institute's annual admissions come from the Mat-Su Valley and Anchorage Bowl. The inability to successfully attract and fund new hospitals to provide Designated Evaluation and Stabilization and Designated Evaluation and Treatment services in these areas creates a backlog of court-ordered patients awaiting transfer to the Alaska Psychiatric Institute from hospital emergency rooms statewide.

Significant Changes in Results to be Delivered in FY2015

It is anticipated that the reduction to the Medicaid Disproportionate Share Hospital (DSH) funds will start having an impact on services in FY2015. An inability to fully support the Designated Evaluation and Treatment program will have immediate and serious impacts on the Alaska Psychiatric Institute's admissions capacity, overwhelming its ability to serve Alaskans court-ordered to a psychiatric hospital for evaluation and / or treatment.

Statutory and Regulatory Authority

AS 47.07.030	Medical Services to be Provided
AS 47.07.040	State Plan for Provision of Medical Assistance
AS 47.07.073	Uniform Accounting, Budgeting, and Reporting
AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.655 - 915	State Mental Health Policy
AS 47.30.011 - 061	Mental Health Trust Authority
7 AAC 43.687	Disproportionate Share Hospital
7 AAC 150.170(8)	Allowable Reasonable Operating Costs
7 AAC 150.180	Methodology and Criteria for Additional Payments as a Disproportionate Share Hospital
7 AAC 78	Grant Programs
7 AAC 71	Community Mental Health Services
7 AAC 72	Civil Commitment
13 AAC 60.010 – 900	Licensing of Security Guards and Security Guard Agencies
AS 18.65.400 – 490	Police Protection

Contact Information

Contact: Sarah Woods, Deputy Director

Phone: (907) 465-1631

Fax: (907) 465-2499

E-mail: sarah.woods2@alaska.gov

**Designated Evaluation and Treatment
Component Financial Summary**

All dollars shown in thousands

	FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	0.0	0.0	0.0
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	4,842.9	3,390.7	3,390.7
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	4,842.9	3,390.7	3,390.7
Funding Sources:			
1004 General Fund Receipts	396.5	0.0	0.0
1037 General Fund / Mental Health	4,446.4	3,390.7	3,390.7
Funding Totals	4,842.9	3,390.7	3,390.7

**Summary of Component Budget Changes
From FY2014 Management Plan to FY2015 Governor**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2014 Management Plan	3,390.7	0.0	0.0	0.0	3,390.7
FY2015 Governor	3,390.7	0.0	0.0	0.0	3,390.7

Component Detail All Funds
Department of Health and Social Services

Component: Designated Evaluation and Treatment (AR23150) (1014)

RDU: Behavioral Health (483)

	FY2013 Actuals	FY2014 Conference Committee	FY2014 Authorized	FY2014 Management Plan	FY2015 Governor	FY2014 Management Plan vs FY2015 Governor	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	4,842.9	3,286.3	3,286.3	3,390.7	3,390.7	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	4,842.9	3,286.3	3,286.3	3,390.7	3,390.7	0.0	0.0%
Fund Sources:							
1004Gen Fund (UGF)	396.5	0.0	0.0	0.0	0.0	0.0	0.0%
1037GF/MH (UGF)	4,446.4	3,286.3	3,286.3	3,390.7	3,390.7	0.0	0.0%
Unrestricted General (UGF)	4,842.9	3,286.3	3,286.3	3,390.7	3,390.7	0.0	0.0%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Federal Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Designated Evaluation and Treatment (1014)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2014 Conference Committee To FY2014 Authorized *****												
FY2014 Conference Committee												
1037 GF/MH	ConfCom	3,286.3	0.0	0.0	0.0	0.0	0.0	3,286.3	0.0	0	0	0
		3,286.3										
Subtotal		3,286.3	0.0	0.0	0.0	0.0	0.0	3,286.3	0.0	0	0	0
***** Changes From FY2014 Authorized To FY2014 Management Plan *****												
Transfer from Psychiatric Emergency Services for Title 47 Transports and Court-Ordered Commitments												
1037 GF/MH	Trin	104.4	0.0	0.0	0.0	0.0	0.0	104.4	0.0	0	0	0
		104.4										
Subtotal		3,390.7	0.0	0.0	0.0	0.0	0.0	3,390.7	0.0	0	0	0
***** Changes From FY2014 Management Plan To FY2015 Governor *****												
Totals		3,390.7	0.0	0.0	0.0	0.0	0.0	3,390.7	0.0	0	0	0

Transfer \$104.4 general funds mental health from Psychiatric Emergency Services.

In FY2012, Title 47 transport costs and hospital costs for the Designated Evaluation and Treatment program totaled \$3,248.6. In FY2013, those costs are projected to total \$3,513.7. If expenditures in FY2014 are assumed at FY2013 levels, the Designated Evaluation and Treatment component will be short funded.

The transfer of \$104.4 from Psychiatric Emergency Services to the Designated Evaluation and Treatment will help offset some of the projected FY2014 shortfall.

This component provides funding for hospital-based evaluation and treatment services to Alaskans experiencing a mental health crisis, when the needs of those individuals in crisis exceed the treatment capacities of their local communities, including the service options of their grant-funded comprehensive behavioral health center staff. As a result, these individuals are court-ordered to a designated evaluation and treatment facility for involuntary evaluation and/or treatment under Alaska Statute 47.30.655 - Alaska Statute 47.30.915.

The excess services authority has served as potential emergency contingency funding within the Psychiatric Emergency Services component. However, this cache has not been drawn upon since FY2010; therefore the division is not anticipating future use.

This will aid the division with accurately budgeting for projected costs within the Designated Evaluation and Treatment component and allow the division to avert a general fund shortfall.

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Designated Evaluation and Treatment (1014)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
77000	Grants, Benefits		4,842.9	3,390.7	3,390.7
Expenditure Account	Servicing Agency	Explanation	FY2013 Actuals	FY2014 Management Plan	FY2015 Governor
77000 Grants, Benefits Detail Totals			4,842.9	3,390.7	3,390.7
77670	Benefits		4,842.9	0.0	0.0
77670	Benefits	Title 47 transports	0.0	795.7	795.7
77670	Benefits	PubSaf RSA: Alaska State Troopers Title 47 transports	0.0	70.0	70.0
77670	Benefits	DET physician and hospital services not covered by DSH Agreements	0.0	0.0	0.0
77670	Benefits	H&SS RSA: Health Care Services, DSH Agreement with Fairbanks Memorial Hospital	0.0	1,146.0	1,146.0
77670	Benefits	H&SS RSA: Health Care Services, DSH Agreement with Bartlett Regional Hospital	0.0	1,379.0	1,379.0

Inter-Agency Services
Department of Health and Social Services

Component: Designated Evaluation and Treatment (1014)
RDU: Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2013 Actuals	FY2014		
					Management Plan	FY2015 Governor	
77670	Benefits	RSA: Alaska State Troopers Title 47 transports	Inter-dept	PubSaf	0.0	70.0	70.0
77670	Benefits	RSA: Health Care Services, DSH Agreement with Fairbanks Memorial Hospital	Intra-dept	H&SS	0.0	1,146.0	1,146.0
77670	Benefits	RSA: Health Care Services, DSH Agreement with Bartlett Regional Hospital	Intra-dept	H&SS	0.0	1,379.0	1,379.0
77670 Benefits subtotal:					0.0	2,595.0	2,595.0
Designated Evaluation and Treatment total:					0.0	2,595.0	2,595.0
Grand Total:					0.0	2,595.0	2,595.0