

State of Alaska FY2013 Governor's Operating Budget

Department of Health and Social Services Quality Control Component Budget Summary

Component: Quality Control

Contribution to Department's Mission

Providing federally-mandated Quality Control (QC) auditing for Medicaid, Child Care, and Food Stamp program eligibility and benefit accuracy.

Core Services

- Conduct required Child Care, Food Stamp and Medicaid program case reviews to determine the accuracy of the eligibility and benefit determinations.
- Review Alaska Temporary Assistance Program (ATAP) and Adult Public Assistance (APA) cases to assess the effectiveness of state policies, payment accuracy, and data not available from the automated system.
- Conduct appropriate follow-up activity on data matches between public assistance and federal data bases.
- Conduct special project reviews to ensure program integrity.
- Provide the source data on several performance measurement targets.
- Analyze error trends and initiate corrective action.

Key Component Challenges

- Complete assigned reviews and fulfill frequent requests for state-funded program reviews and special evaluation projects.
- Maintain efforts that ensure federal Supplemental Nutrition Assistance Program (SNAP) payment accuracy targets are met for Alaska's Food Stamp Program, and that the division remains competitive in pursuing national performance bonus awards.
- Improve performance outcomes despite pressure from growing caseloads, strained staff resources and demands of federal program accountability requirements.
- Develop corrective action plans and identify actions to address the recent decline in Food Stamp payment accuracy.

Significant Changes in Results to be Delivered in FY2013

- Expanded use of the national Public Assistance Reporting Information System (PARIS) data match and validating the effectiveness of the data as a means to detect fraud and avoid duplicate program participation will facilitate QC's research and fraud detection efforts.

Major Component Accomplishments in 2011

- Alaska received a high performance bonus for Food Stamp payment accuracy rate for FFY2010 and is anticipated to win this award again for FFY2011 performance.
- The Quality Control staff sampled over 2,600 Food Stamp, Medicaid and Child Care cases in FFY2011.
- In FFY2011 Quality Control staff reviewed over 900 Food Stamp cases within reporting deadlines, and had very few variances in the federal level re-review process. This contributes significantly to progressive improvement in the state's food stamp payment error rate.

Statutory and Regulatory Authority

7 CFR 275.10-14 Food Stamp QC

45 CFR 431.800-.820 ME QC

42 CFR 431 and 457 ME and State Children's Health Insurance Program (SCHIP) (PERM)

45 CFR 98(K) CCDF Error Rate Reporting

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Quality Control Component Financial Summary			
		<i>All dollars shown in thousands</i>	
	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	1,414.7	1,623.9	1,674.1
72000 Travel	30.6	35.5	35.5
73000 Services	170.4	147.5	147.5
74000 Commodities	8.2	64.6	64.6
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	1,623.9	1,871.5	1,921.7
Funding Sources:			
1002 Federal Receipts	1,005.6	895.6	920.7
1003 General Fund Match	618.3	950.8	975.9
1004 General Fund Receipts	0.0	25.1	25.1
Funding Totals	1,623.9	1,871.5	1,921.7

Estimated Revenue Collections				
Description	Master Revenue Account	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	1,005.6	895.6	920.7
Restricted Total		1,005.6	895.6	920.7
Total Estimated Revenues		1,005.6	895.6	920.7

**Summary of Component Budget Changes
From FY2012 Management Plan to FY2013 Governor**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2012 Management Plan	975.9	0.0	0.0	895.6	1,871.5
Adjustments which will continue current level of service:					
-FY2013 Salary Increases	17.2	0.0	0.0	17.2	34.4
-FY2013 Health Insurance Increases	7.9	0.0	0.0	7.9	15.8
FY2013 Governor	1,001.0	0.0	0.0	920.7	1,921.7

Quality Control Personal Services Information				
Authorized Positions			Personal Services Costs	
	FY2012 Management Plan	FY2013 Governor		
Full-time	16	16	Annual Salaries	1,070,407
Part-time	0	0	Premium Pay	12,065
Nonpermanent	0	1	Annual Benefits	660,626
			<i>Less 3.96% Vacancy Factor</i>	(68,998)
			Lump Sum Premium Pay	0
Totals	16	17	Total Personal Services	1,674,100

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Elig Qual Cntrl Tech I	10	2	0	1	13
Elig Qual Cntrl Tech II	2	0	0	0	2
Public Asst Prog Off	0	0	1	0	1
Research Analyst III	0	0	1	0	1
Totals	12	2	2	1	17

Component Detail All Funds
Department of Health and Social Services

Component: Quality Control (234)
RDU: Public Assistance (73)

	FY2011 Actuals	FY2012 Conference Committee	FY2012 Authorized	FY2012 Management Plan	FY2013 Governor	FY2012 Management Plan vs FY2013 Governor	
71000 Personal Services	1,414.7	1,786.9	1,786.9	1,623.9	1,674.1	50.2	3.1%
72000 Travel	30.6	35.5	35.5	35.5	35.5	0.0	0.0%
73000 Services	170.4	147.5	147.5	147.5	147.5	0.0	0.0%
74000 Commodities	8.2	64.6	64.6	64.6	64.6	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	1,623.9	2,034.5	2,034.5	1,871.5	1,921.7	50.2	2.7%
Fund Sources:							
1002 Fed Rcpts (Other)	1,005.6	1,058.6	1,058.6	895.6	920.7	25.1	2.8%
1003 G/F Match (UGF)	618.3	950.8	950.8	950.8	975.9	25.1	2.6%
1004 Gen Fund (UGF)	0.0	25.1	25.1	25.1	25.1	0.0	0.0%
Unrestricted General (UGF)	618.3	975.9	975.9	975.9	1,001.0	25.1	2.6%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Federal Funds	1,005.6	1,058.6	1,058.6	895.6	920.7	25.1	2.8%
Positions:							
Permanent Full Time	18	18	18	16	16	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	1	1	100.0%

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Quality Control (234)
RDU: Public Assistance (73)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2012 Conference Committee To FY2012 Authorized *****												
FY2012 Conference Committee												
ConfCom		2,034.5	1,786.9	35.5	147.5	64.6	0.0	0.0	0.0	18	0	0
1002 Fed Rcpts		1,058.6										
1003 G/F Match		950.8										
1004 Gen Fund		25.1										
Subtotal		2,034.5	1,786.9	35.5	147.5	64.6	0.0	0.0	0.0	18	0	0
***** Changes From FY2012 Authorized To FY2012 Management Plan *****												
ADN 06-2-0246 Transfer PCN 06-8009 to Work Services												
Trout		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	-1	0	0
This realignment is part of the division's FY2012 spending plan. Difficulties in requirement has caused this PCN to not be filled for an extended amount of time within Quality Control. In order to fill the position a transfer to Work Services is needed so program needs can met by the division.												
ADN 06-2-0246 Transfer PCN 06-8196 to Field Services												
Trout		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	-1	0	0
This realignment is part of the division's FY2012 spending plan. This realignment is part of the division's FY2012 spending plan. Difficulties in requirement has caused this PCN to not be filled for an extended amount of time within Quality Control. In order to fill the position a transfer to Public Assistance Field Services is needed so program needs can met by the division.												
ADN 06-2-0246 Transfer to Child Care Benefits to cover increased caseload												
Trout		-163.0	-163.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1002 Fed Rcpts		-163.0										
Increases in program caseloads associated with the economic downturn, coupled with higher expectations for program accountability and payment accuracy, have increased the complexity and resources needed to process applications and issue benefits. The division has made significant efforts to streamline policies and practices to support the increased caseload; however, the additional workload continues to adversely affect processing timeframes. As a result, more premium pay is projected in Child Care Benefits to meet the steady growth in Public Assistance caseloads. This realignment is part of the division's FY2012 spending plan.												
ADN 06-2-0246 Relocation of PCN 06-8009 from Sitka to Anchorage												
PosLoc		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
Difficulties in requirement has caused this PCN to not be filled for an extended amount of time in Sitka within Quality Control. In order to fill the position a transfer to Anchorage Work Services is required so program needs can met by the division.												
ADN 06-0-0596 Relocation of PCN 06-8234 from Anchorage to Kenai Approved June 2010												
PosLoc		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0

Change Record Detail - Multiple Scenarios With Descriptions
Department of Health and Social Services

Component: Quality Control (234)
RDU: Public Assistance (73)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
Duty station change for PCN 06-8234 to help reduce travel costs associated with case review duties.												
Subtotal		1,871.5	1,623.9	35.5	147.5	64.6	0.0	0.0	0.0	16	0	0
***** Changes From FY2012 Management Plan To FY2013 Governor *****												
Extend Eligibility Quality Control Technician I (06-N12001) into FY2013												
PosAdj		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	1
PCN 06-N12001, a FY2012 short-term non-permanent Eligibility Quality Control Technician I, was recently approved for conversion to a long-term non-permanent position, and extension through December 31, 2012. This record therefore brings the position onto budget for FY2013, as required.												
FY2013 Salary Increases												
SalAdj		34.4	34.4	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1002 Fed Rcpts		17.2										
1003 G/F Match		17.2										
FY2013 Salary Increases: \$34.4												
FY2013 Health Insurance Increases												
SalAdj		15.8	15.8	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1002 Fed Rcpts		7.9										
1003 G/F Match		7.9										
FY2013 Health Insurance Increases: \$15.8												
Totals		1,921.7	1,674.1	35.5	147.5	64.6	0.0	0.0	0.0	16	0	1

Department of Health and Social Services

Scenario: FY2013 Governor (9494)
Component: Quality Control (234)
RDU: Public Assistance (73)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	GF Amount
06-4100	Elig Qual Cntrl Tech II	FT	A	SS	Anchorage	200	18K / L	12.0		74,970	0	0	43,483	118,453	59,227
06-8166	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16J / K	12.0		63,182	0	4,705	41,403	109,290	54,645
06-8167	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16L / M	12.0		66,153	0	0	40,769	106,922	53,461
06-8191	Elig Qual Cntrl Tech II	FT	A	SS	Anchorage	200	18J / K	12.0		73,032	0	0	42,775	115,807	57,904
06-8192	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16J / K	12.0		63,277	0	0	39,719	102,996	51,498
06-8195	Public Asst Prog Off	FT	A	SS	Juneau	205	21D / E	12.0		82,790	0	0	46,339	129,129	64,565
06-8197	Research Analyst III	FT	A	GP	Juneau	205	18F / G	12.0		69,874	0	7,360	44,816	122,050	61,025
06-8198	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16C / D	12.0		52,407	0	0	35,749	88,156	44,078
06-8199	Elig Qual Cntrl Tech I	FT	A	GP	Fairbanks	203	16K / L	12.0		66,702	0	0	40,970	107,672	53,836
06-8232	Elig Qual Cntrl Tech I	FT	A	GG	Fairbanks	203	16L / M	12.0		70,368	0	0	42,309	112,677	56,339
06-8233	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16C / D	12.0		51,374	0	0	35,372	86,746	43,373
06-8234	Elig Qual Cntrl Tech I	FT	A	GP	Kenai	200	16E / F	12.0		55,888	0	0	37,021	92,909	46,455
06-8396	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16K / L	12.0		65,547	0	0	40,548	106,095	53,048
06-8634	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16G / J	12.0		59,248	0	0	38,248	97,496	48,748
06-8635	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16L / M	12.0		68,007	0	0	41,446	109,453	54,727
06-8639	Elig Qual Cntrl Tech I	FT	A	GP	Anchorage	200	16D / E	12.0		54,666	0	0	36,574	91,240	45,620
06-N12001	Elig Qual Cntrl Tech I	NP	N	GP	Anchorage	200	16L	6.0		32,922	0	0	13,085	46,007	23,004

		Total Positions	New	Deleted	Total Salary Costs:	1,070,407
					Total COLA:	0
Full Time Positions:		16	0	0	Total Premium Pay::	12,065
Part Time Positions:		0	0	0	Total Benefits:	660,626
Non Permanent Positions:		1	1	0		
Positions in Component:		17	1	0	Total Pre-Vacancy:	1,743,098
					Minus Vacancy Adjustment of 3.96%:	(68,998)
					Total Post-Vacancy:	1,674,100
Total Component Months:		198.0			Plus Lump Sum Premium Pay:	0
					Personal Services Line 100:	1,674,100

PCN Funding Sources:	Pre-Vacancy	Post-Vacancy	Percent
1002 Federal Receipts	871,549	837,050	50.00%
1003 General Fund Match	871,549	837,050	50.00%
Total PCN Funding:	1,743,098	1,674,100	100.00%

Line Item Detail
Department of Health and Social Services
Travel

Component: Quality Control (234)
RDU: Public Assistance (73)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
72000	Travel		30.6	35.5	35.5
Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
72000 Travel Detail Totals			30.6	35.5	35.5
72110	Employee Travel (Instate)	In-state travel necessary for Quality Control (QC) staff to conduct case review on Public Assistance recipients to determine correctness of eligibility and benefits. Quality Control case review is a federally required function where cases are selected on a federally approved random sample for Medicaid, Alaska Temporary Assistance Program, and Food Stamp Programs. Supervisor must travel to Anchorage and Fairbanks to meet with regional QC staff.	27.9	34.1	32.5
72410	Employee Travel (Out of state)	Out-of-State travel by Administrator to meet with Federal Quality Control officials. Data interpretation and corrective action recommendations being made in other states can potentially reduce errors in Alaska's Public Assistance programs; this information is made available through a nationwide exchange.	2.7	1.4	3.0

Line Item Detail
Department of Health and Social Services
Services

Component: Quality Control (234)
RDU: Public Assistance (73)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000	Services		170.4	147.5	147.5
Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000 Services Detail Totals			170.4	147.5	147.5
73025	Education Services	Membership dues, conference fees and training costs.	0.9	0.5	1.0
73150	Information Technlgy	Software licensing	3.3	0.0	3.5
73156	Telecommunication	Telecommunications for vendor local, long distance, dedicated telephone lines for office equipment, telecopier expenses and teleconference charges.	2.6	8.0	3.0
73225	Delivery Services	Freight, courier service and postage.	6.6	10.7	10.0
73525	Utilities	Utilites	0.1	0.0	0.1
73650	Struc/Infstruct/Land	Office structure changes to better align with business processes.	0.9	0.0	0.9
73675	Equipment/Machinery	Office machine and equipment repair and maintenance.	1.2	1.5	1.5
73750	Other Services (Non IA Svcs)	Other Services to support the Quality Control Unit	0.1	8.8	8.9
73805	IT-Non-Telecommunication	Admin RSA with Department of Administration, Enterprise Technology Services for Computer EPR.	10.5	15.0	15.0
73806	IT-Telecommunication	Admin	0.0	12.5	0.0
73806	IT-Telecommunication	Admin RSA with Department of Administration, Enterprise Technology Services for Telecommunications EPR.	24.7	20.0	25.0
73807	Storage	Storage of files for the Qaulity Control Unit	0.2	0.0	0.5
73810	Human Resources	Admin RSA with Department of Administration, Division of Personnel for Human Resource Services.	14.3	15.0	15.0
73811	Building Leases	Admin Office space lease charges.	84.0	31.0	38.0
73814	Insurance	Admin RSA with Department of Administration for Risk Management (property insurance/bonds).	0.6	1.0	1.0
73816	ADA Compliance		0.4	0.0	0.0
73819	Commission Sales (IA Svcs)	State Travel Office (STO) service fee for travel arrangements	0.6	0.0	0.6

Line Item Detail
Department of Health and Social Services
Services

Component: Quality Control (234)
RDU: Public Assistance (73)

Expenditure Account	Servicing Agency	Explanation	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
73000 Services Detail Totals			170.4	147.5	147.5
73848	State Equip Fleet	Trans Department of Transportation State vehicle charges.	11.1	12.0	12.0
73979	Mgmt/Consulting (IA Svcs)	H&SS RSA with Department of Health & Social Services for finance and management services.	5.0	5.9	5.9
73979	Mgmt/Consulting (IA Svcs)	H&SS RSA with Department of Health & Social Services, Finance and Management Services for information technology support services.	1.8	3.0	3.0
73979	Mgmt/Consulting (IA Svcs)	H&SS RSA with Department of Health & Social Services, Commissioner's Office for support services.	1.5	2.6	2.6

Line Item Detail
Department of Health and Social Services
Commodities

Component: Quality Control (234)
RDU: Public Assistance (73)

Line Number	Line Name		FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
74000	Commodities		8.2	64.6	64.6
Expenditure Account			FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
74000 Commodities Detail Totals			8.2	64.6	64.6
74200	Business	<p>Replacement of worn chairs and office equipment, as well as office equipment and equipment for new positions.</p> <p>General office supplies and copier supplies for quality control units in Anchorage, Fairbanks, and Juneau, as well as for new positions.</p> <p>Computer hardware, software and related supplies.</p>	8.2	64.6	64.6

Restricted Revenue Detail
Department of Health and Social Services

Component: Quality Control (234)
RDU: Public Assistance (73)

Master Account	Revenue Description	FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
51010	Federal Receipts	1,005.6	895.6	920.7

Detail Information					FY2011 Actuals	FY2012 Management Plan	FY2013 Governor
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund			
51010	Federal Receipts		06216270	11100	1,005.6	895.6	920.7

Federal restricted receipts are based on an approved cost allocation plan using staff time studies and document counts to allocate costs by federal program. Quality Control component expenditures are claimed and reimbursed for Food Stamp program activity at 50% FFP, Alaska Temporary Assistance Program (ATAP) (Temporary Assistance to Needy Families (TANF) block grant), and Medicaid (50% FFP). Food Stamp and Medicaid programs require a State match of 50%. ATAP requires general fund match to meet the state Maintenance of Effort (MOE) under federal TANF.

Inter-Agency Services
Department of Health and Social Services

Component: Quality Control (234)
RDU: Public Assistance (73)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2011 Actuals	FY2012		
					Management Plan	FY2013 Governor	
73805	IT-Non-Telecommunication	RSA with Department of Administration, Enterprise Technology Services for Computer EPR.	Inter-dept	Admin	10.5	15.0	15.0
73805 IT-Non-Telecommunication subtotal:					10.5	15.0	15.0
73806	IT-Telecommunication		Inter-dept	Admin	0.0	12.5	0.0
73806	IT-Telecommunication	RSA with Department of Administration, Enterprise Technology Services for Telecommunications EPR.	Inter-dept	Admin	24.7	20.0	25.0
73806 IT-Telecommunication subtotal:					24.7	32.5	25.0
73810	Human Resources	RSA with Department of Administration, Division of Personnel for Human Resource Services.	Inter-dept	Admin	14.3	15.0	15.0
73810 Human Resources subtotal:					14.3	15.0	15.0
73811	Building Leases	Office space lease charges.	Inter-dept	Admin	84.0	31.0	38.0
73811 Building Leases subtotal:					84.0	31.0	38.0
73814	Insurance	RSA with Department of Administration for Risk Management (property insurance/bonds).	Inter-dept	Admin	0.6	1.0	1.0
73814 Insurance subtotal:					0.6	1.0	1.0
73848	State Equip Fleet	Department of Transportation State vehicle charges.	Inter-dept	Trans	11.1	12.0	12.0
73848 State Equip Fleet subtotal:					11.1	12.0	12.0
73979	Mgmt/Consulting (IA Svcs)	RSA with Department of Health & Social Services for finance and management services.	Intra-dept	H&SS	5.0	5.9	5.9
73979	Mgmt/Consulting (IA Svcs)	RSA with Department of Health & Social Services, Finance and Management Services for information technology support services.	Intra-dept	H&SS	1.8	3.0	3.0
73979	Mgmt/Consulting (IA Svcs)	RSA with Department of Health & Social Services, Commissioner's Office for support services.	Intra-dept	H&SS	1.5	2.6	2.6
73979 Mgmt/Consulting (IA Svcs) subtotal:					8.3	11.5	11.5
Quality Control total:					153.5	118.0	117.5
Grand Total:					153.5	118.0	117.5