

# **State of Alaska FY2012 Governor's Operating Budget**

## **Department of Health and Social Services Designated Evaluation and Treatment Component Budget Summary**

## Component: Designated Evaluation and Treatment

### Contribution to Department's Mission

To protect and improve the quality of life for consumers in crisis and impacted by mental disorders or illness.

### Core Services

- The Designated Evaluation and Treatment (DET) component provides fee-for-service funding, on a payer-of-last resort basis, to designated local community and specialty hospitals. They provide evaluation and treatment services to people under court-ordered commitment through AS 47.30.655-915, and to people who meet those criteria but have agreed to voluntary services in lieu of commitment.
- A Designated Evaluation and Treatment (DET) facility may provide up to 72-hour inpatient psychiatric evaluations, up to 7 days of crisis stabilization and up to 40 days of in-patient hospital services close to the consumer's home, family, and support system. Component funding also supports consumer and escort travel to designated hospitals and back to their home community.
- A Designated Evaluation and Stabilization (DES) facility may provide up to 72-hour inpatient psychiatric evaluations and up to 7 days of crisis stabilization.
- DET/DES is a significant component within the continuum of psychiatric emergency services and is essential to controlling admissions to Alaska Psychiatric Institute.

### Key Component Challenges

#### Long term challenges:

- Communities outside of Anchorage, Juneau and Fairbanks often don't have adequate facilities to stabilize persons experiencing a psychiatric emergency and often only have "seclusion rooms" in the local hospital or clinic or have to hold people in local jails pending transport to an evaluation site.
- Communities statewide, but especially the rural areas, have difficulty recruiting and retaining psychiatrists, adult nurse practitioners (APNs) and psychiatric nurses on staff.
- There is a need for ongoing training and support of staff working in DET/DES facilities and local behavioral health center staff in the management of psychiatric emergencies and the stabilization process.
- Clear expectations need to be established and supported through ongoing orientation, training, technical assistance, and a process of continuing quality improvement, in order to develop and sustain the services.
- Obtaining reliable, timely, and safe transportation provided by staff trained to meet the needs of people in psychiatric crisis, has been elusive.
- Maintaining the expected partnership between local hospitals and provider agencies to have a shared, supportive response to emergencies.

#### Current year challenges:

- South Peninsula General Hospital in Homer, the most recent facility to apply for DES status, continues to delay implementation of their program due to an ongoing interruption of their regular psychiatric services.

#### Budget year challenges:

- It is anticipated that DET/DES facilities and local Community Behavioral Health Centers (CBHCs) will continue to struggle with workforce issues including the shortage and turnover of psychiatrists, advanced nurse practitioners, psych nurses, and behavioral health clinicians. Fluctuations in staffing in any of the partners (including transportation) involved with DET/DES can render the services ineffective.
- Logistics, training and implementation of increased capacity for telemedicine will be time intensive and require buy-in from local communities.

- Recruitment of new local DET/DES facilities in targeted areas such as Mat-Su, Anchorage, Kenai Peninsula, Kotzebue, and Nome will increase our need for program oversight, training and coordination with local hospitals and CBHCs.

### **Significant Changes in Results to be Delivered in FY2012**

- We have designated a recently vacated Mental Health Clinician III position as the statewide Emergency Services Coordinator. The position will be responsible for assessing, restructuring and developing an enhanced community behavioral health emergency services system, including the DET/DES component. The position will be filled November 1, 2010 and the new incumbent should be fully oriented and up to speed by the beginning of FY12. Expansion, training and support of DET/DES facilities and staff will be a top priority for this position during FY12.

### **Updated Status for Results to be Delivered in FY2011**

- The new Emergency Services Coordinator who starts November 1, 2010 will be on board for seven months of the current fiscal year and will take immediate steps to address the training and support needs of existing DET/DES facilities to ensure their quality of service and continued participation in the program. The ES Coordinator will review, revise and streamline policies and procedures for sustainability, and will establish performance indicators and a quality management process.
- API now has three telemedicine networks bridged into the hospital core. As a result, two remote sites are receiving telemedicine services and API is continuing to explore options with other rural hospitals and clinics that continue to have difficulty with psychiatric coverage.
- We have updated the Provider Agreement (PA) for Secure Transport Services, including the fee structure, and it will be posted shortly. We anticipate multiple responses to the new PA, including from local police agencies, which will result in more reliable and rapid transport for individuals needing a psychiatric evaluation and stabilization or treatment.
- We are working with local providers to develop or re-establish crisis respite capacity as an alternative to hospitalization. We will be entering into Provider Agreements with interested behavioral health grantees to provide this service, in which the Division of Behavioral Health (DBH) will be reimbursed through Individual Service Agreements (ISAs).

### **Major Component Accomplishments in 2010**

- In FY09 (most recent reporting year that is complete) the DET/DES program served 518 clients, provided 1,388 bed days at an approved DET/DES facility, and paid for 369 transports. Although we served more clients and paid for more transports than in the previous year, the number of bed days decreased by 22% indicating that people were being successfully stabilized more quickly.
- Preliminary FY10 data shows that the program has served 419 clients, provided 1,014 bed days at an approved DET/DES facility, and paid for 327 transports. This is partial year data because the facilities have 180 days from discharge or until December 31, 2010 to submit their documentation for reimbursement of services.
- We worked extensively with Ketchikan General Hospital to address and mitigate the concerns that caused them to withdraw as a DES facility last year, and we were successful in re-engaging them. It is important for people in the southern panhandle to have this service available locally and it is important that Bartlett Hospital in Juneau not have to cover this activity which causes census problems for them.

### **Statutory and Regulatory Authority**

AS 47.30.520 - 620	Community Mental Health Services Act
AS 47.30.655 - 915	State Mental Health Policy
AS 47.30.011 - 061	Mental Health Trust Authority

7 AAC 78 Grant Programs  
7 AAC 72 Civil Commitment  
7 AAC 71 Community Mental Health Services  
13 AAC 60.010 – 900 Licensing of Security Guards and Security Guard Agencies  
AS 18.65.400 – 490 Police Protection

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**Designated Evaluation and Treatment  
Component Financial Summary**

*All dollars shown in thousands*

	<b>FY2010 Actuals</b>	<b>FY2011 Management Plan</b>	<b>FY2012 Governor</b>
<b>Non-Formula Program:</b>			
<b>Component Expenditures:</b>			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	0.0	0.0	0.0
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	3,792.0	3,156.4	3,156.4
78000 Miscellaneous	0.0	0.0	0.0
<b>Expenditure Totals</b>	<b>3,792.0</b>	<b>3,156.4</b>	<b>3,156.4</b>
<b>Funding Sources:</b>			
1037 General Fund / Mental Health	3,792.0	3,156.4	3,156.4
<b>Funding Totals</b>	<b>3,792.0</b>	<b>3,156.4</b>	<b>3,156.4</b>

**Summary of Component Budget Changes  
From FY2011 Management Plan to FY2012 Governor**

*All dollars shown in thousands*

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
<b>FY2011 Management Plan</b>	3,156.4	0.0	0.0	0.0	3,156.4
<b>FY2012 Governor</b>	3,156.4	0.0	0.0	0.0	3,156.4

**Component Detail All Funds**  
**Department of Health and Social Services**

**Component:** Designated Evaluation and Treatment (1014)  
**RDU:** Behavioral Health (483)

	FY2010 Actuals	FY2011 Conference Committee (Final)	FY2011 Authorized	FY2011 Management Plan	FY2012 Governor	FY2011 Management Plan vs FY2012 Governor	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	3,792.0	3,867.3	3,867.3	3,156.4	3,156.4	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
<b>Totals</b>	<b>3,792.0</b>	<b>3,867.3</b>	<b>3,867.3</b>	<b>3,156.4</b>	<b>3,156.4</b>	<b>0.0</b>	<b>0.0%</b>
<b>Fund Sources:</b>							
1037 GF/MH	3,792.0	3,867.3	3,867.3	3,156.4	3,156.4	0.0	0.0%
<b>Unrestricted General (UGF)</b>	<b>3,792.0</b>	<b>3,867.3</b>	<b>3,867.3</b>	<b>3,156.4</b>	<b>3,156.4</b>	<b>0.0</b>	<b>0.0%</b>
<b>Designated General (DGF)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>
<b>Other Funds</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>
<b>Federal Funds</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>
<b>Positions:</b>							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

**Change Record Detail - Multiple Scenarios With Descriptions  
Department of Health and Social Services**

**Component:** Designated Evaluation and Treatment (1014)

**RDU:** Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2011 Conference Committee (Final) To FY2011 Authorized *****												
<b>FY2011 Conference Committee</b>												
1037 GF/MH	ConfCom	3,867.3	0.0	0.0	0.0	0.0	0.0	3,867.3	0.0	0	0	0
		3,867.3										
<b>Subtotal</b>		<b>3,867.3</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,867.3</b>	<b>0.0</b>	<b>0</b>	<b>0</b>	<b>0</b>
***** Changes From FY2011 Authorized To FY2011 Management Plan *****												
<b>ADN 06-1-0186 Transfer authority to Seriously Mentally Ill to meet service priorities, approved 8/26/10</b>												
1037 GF/MH	Trout	-654.4	0.0	0.0	0.0	0.0	0.0	-654.4	0.0	0	0	0
		-654.4										
<b>Subtotal</b>		<b>3,156.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,156.4</b>	<b>0.0</b>	<b>0</b>	<b>0</b>	<b>0</b>
***** Changes From FY2011 Management Plan To FY2012 Governor *****												
<b>Totals</b>		<b>3,156.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,156.4</b>	<b>0.0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Funds from the DET component are available to hospitals for "designated evaluation and treatment" services for people under court-ordered commitment. This change record will transfer grant authorization from the Designated Evaluation & Treatment (DET) component to the Seriously Mentally Ill (SMI) component. This realignment of authority will allow the division to allocate more resources to agencies that provide community based services to keep people with serious mental illness in their home as independent as possible in the least restrictive environment to divert them from psychiatric hospitalization. DBH believes that the lack of services at the community level has contributed to the rise in psychiatric hospitalizations.

ADN 06-1-0186, approved 8/26/10

**ADN 06-1-0186 Transfer authority to Psychiatric Emergency Services for crisis respite, approved 8/26/10**

1037 GF/MH	Trout	-56.5	0.0	0.0	0.0	0.0	0.0	-56.5	0.0	0	0	0
		-56.5										

Transfer grant funding from the Designated Evaluation & Treatment (DET) component to the Psychiatric Emergency Services component to provide funding for Individualized Services Agreements for Crisis Respite. DET funds are used for hospitalizations of people under court-ordered commitment. Crisis respite diverts consumers from the psychiatric hospitalizations by providing screening, assessments, 24/7 shelter, clinic and rehabilitative services at the community level. The division believes that cost efficiencies can be achieved when services are provided at the community level.

ADN 06-1-0186, approved 8/26/10

**Line Item Detail**  
**Department of Health and Social Services**  
**Grants, Benefits**

**Component:** Designated Evaluation and Treatment (1014)  
**RDU:** Behavioral Health (483)

<b>Line Number</b>	<b>Line Name</b>		<b>FY2010 Actuals</b>	<b>FY2011 Management Plan</b>	<b>FY2012 Governor</b>
77000	Grants, Benefits		3,792.0	3,156.4	3,156.4
<b>Expenditure Account</b>			<b>FY2010 Actuals</b>	<b>FY2011 Management Plan</b>	<b>FY2012 Governor</b>
<b>77000 Grants, Benefits Detail Totals</b>			<b>3,792.0</b>	<b>3,156.4</b>	<b>3,156.4</b>
77110	Grants		70.0	0.0	0.0
77281	Client Travel (Tax)		159.0	0.0	0.0
77290	Medical Svcs (Tax)		3,563.0	0.0	0.0
77438	State Agency Grants	H&SS	0.0	150.0	0.0
77670	Benefits		0.0	468.3	468.3
77670	Benefits		0.0	5.0	5.0
77670	Benefits	PubSaf	0.0	120.0	120.0
77670	Benefits		0.0	300.0	300.0
77670	Benefits	H&SS	0.0	911.5	1,061.5
77670	Benefits	H&SS	0.0	1,201.6	1,201.6

**Inter-Agency Services**  
**Department of Health and Social Services**

**Component:** Designated Evaluation and Treatment (1014)  
**RDU:** Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2010 Actuals	FY2011	
					Management Plan	FY2012 Governor
77438	State Agency Grants	Intra-dept	H&SS	0.0	150.0	0.0
<b>77438 State Agency Grants subtotal:</b>				<b>0.0</b>	<b>150.0</b>	<b>0.0</b>
77670	Benefits	Inter-dept	PubSaf	0.0	120.0	120.0
77670	Benefits	Intra-dept	H&SS	0.0	911.5	1,061.5
77670	Benefits	Intra-dept	H&SS	0.0	1,201.6	1,201.6
<b>77670 Benefits subtotal:</b>				<b>0.0</b>	<b>2,233.1</b>	<b>2,383.1</b>
<b>Designated Evaluation and Treatment total:</b>				<b>0.0</b>	<b>2,383.1</b>	<b>2,383.1</b>
<b>Grand Total:</b>				<b>0.0</b>	<b>2,383.1</b>	<b>2,383.1</b>