

AP/AL: Appropriation

Project Type: Information Systems

Category: Public Protection

Location: Statewide

Contact: Amanda Ryder

House District: Statewide (HD 1-40)

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Estimated Project Dates: 07/01/2009 - 06/30/2014

Brief Summary and Statement of Need:

Replace and consolidate multiple systems used by the Division of Banking and Securities to track activities associated with regulating banks, securities offerings and securities brokers. The existing systems are past their useful life and need to be replaced. This project contributes to the mission of providing administrative support to all divisions and agencies and results in efficient and secure information technology services.

Funding:	FY2010	FY2011	FY2012	FY2013	FY2014	FY2015	Total
Rcpt Svcs	\$890,000						\$890,000
Total:	\$890,000	\$0	\$0	\$0	\$0	\$0	\$890,000

<input type="checkbox"/> State Match Required	<input checked="" type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased - new	<input type="checkbox"/> Phased - underway	<input type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Additional Information / Prior Funding History:

None.

Project Description/Justification:

INFORMATION TECHNOLOGY CAPITAL PROJECT REVIEW FORM FY2010

1. Has this project been previously approved?

No

2. What is the purpose of the project?

The Division of Banking and Securities regulates banks, securities offerings and securities brokers. The division currently uses multiple systems to track and report this information. These systems are past their useful life and need to be replaced.

The division has already created a portal application as the central location for the division's applications and reports. The goal of this project is to develop replacement systems in current technology and incorporate them into the portal. The following systems require replacement:

- STAR – this system is a commercial, off-the-shelf system designed to track securities data. This system never lived up to expectations and currently requires daily manual intervention to keep it running. This system is at risk of stopping altogether before a replacement system can be developed.
- ANCSA – this system was developed in Microsoft access and has very limited capabilities.
- Banking – this system was developed in the 1990s in non-standard technologies. The system has limited capabilities and makes tracking and enforcement time consuming for staff.

3. Is this a new systems development project?

Yes, but as a replacement to existing software.

Upgrade or enhancement to existing department capabilities?

No

4. Specifically, what hardware, software, consulting services, or other items will be purchased with this expenditure? (Include a line item breakdown.)

The expectation is that contractors will be utilized to design and build the system. The project breakdown is as follows:

Project Initiation / Planning	10,000
Requirements Definition	35,000
System Design	35,000
Software Acquisition	150,000
Software Installation / Programming	455,000
Hardware / Infrastructure Acquisition	10,000
Hardware / Infrastructure Installation	10,000
Hardware / Infrastructure Testing	10,000
System Integration and Testing	70,000
Installation and Deployment	45,000
Corrective and Adaptive Maintenance	45,000
Training	15,000

5. How will service to the public measurably improve if this project is funded?

- Consolidate three key systems into the existing division application portal, reducing costs.
- Enable staff to better manage increased workload.
- Build a system based on updated business processes rather than continuing inefficient processes.
- Provide expanded reporting capability for staff and investigators.

6. Does project affect the way in which other public agencies will conduct their business?

No

7. What are the potential out-year cost implications if this project is approved? (Bandwidth requirements, etc.)

None

8. What will happen if the project is not approved?

One of the existing systems is broken beyond repair, forcing staff to use spreadsheets and manual processes. Staff communication and investigative functions are currently suffering. This will continue until a new system is put in place.