

State of Alaska FY2002 Governor's Operating Budget

Department of Health and Social Services
Administration
Component

Component: Administration

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Component Mission

The mission of the Division of Alcoholism and Drug Abuse is to promote the health and well-being of Alaskans by preventing and treating the abuse of alcohol, other drugs and inhalants.

Component Services Provided

In addition to the administration of the central office and two regional offices, this component includes quality assurance activities, grant monitoring activities, planning and policy development. Data collection is an integral part of these responsibilities. This component implements the program policy development of prevention, intervention and treatment services aimed at eliminating the use of illegal drugs, alcohol use by minors, problem use of alcohol, provides for treatment of alcoholics and drug abusers, oversees state approved programs, provides technical assistance, monitors grant-in-aid programs; and provides for statewide data collection through the Management Information System.

Component Goals and Strategies

Support community-based processes that build partnerships and provide more effective prevention and treatment services. Distribute useful and effective information to targeted populations. Advocate for positive change through legal and regulatory initiatives. Improve interdisciplinary coordination and collaboration at local, regional and statewide levels. Support a continuum of care for chronic alcoholics with psychosis that focuses on intervention, treatment, and the client's long term life domain requirements. Develop sufficient resources to meet community needs for appropriate levels of treatment for adults, youth and special populations. Identify and remove barriers that prevent clients from entering treatment. Support community efforts to establish involuntary commitment procedures and to use them when appropriate. Use relevant research to identify and incorporate key variables that contribute to successful treatment outcomes.

Key Component Issues for FY2001 – 2002

The Division is in the process of re-engineering its grants management and program approval systems to improve efficiency, increase communication and enhance collaboration among substance abuse programs, between the programs and the Division, and between substance abuse and related human services fields. While the grant administration portion of this project will be implemented in the near future the program approval process will take several years to re-engineer. It is the goal to be able to substantially implement this the redesign during the FY 2002 competitive grant cycle.

A second key issue is the redesign of the Division's Management Information System in order to meet changing data requests by both state and federal officials as well as the Division's grantee providers.

Major Component Accomplishments for FY2000

During FY2000 this BRU provided quality assurance activities, technical assistance, grant monitoring, planning and policy development, and data collection for prevention, intervention and treatment services aimed at eliminating alcohol and other substance abuse in Alaska. During FY2000 this BRU was responsible for successfully awarding, administering, and overseeing 175 grant awards totaling more than \$23,500.0. Grants awards ranged in value from \$3.6 to \$2,636.2.

In addition to the activities required to administer, monitor, and oversee the grant programs mentioned above, FY99 was also the culmination of a structured consensus building process which produced the indicators now being used by the Division to determine the success or failure of its activities. As a result of the Division's formal adoption of outcome indicators in FY99 and the shift to outcome based funding decisions in FY98, a significant accomplishment of the past fiscal year has been the steps we have taken towards outcome based funding decisions. Although a significant amount of work is needed to successfully complete this evolution, a framework has been developed which will be used to continue movement towards our goal of "Alaskans living free from the negative consequences of alcohol and other drug use".

Statutory and Regulatory Authority

AS 44.29.210-230 Department of Health & Social Services
 AS 47.30.470-500 Mental Health
 AS 47.37 Uniform Alcoholism & Intoxication Treatment Act
 7 AAC 28 Community Grant-In-Aid Program for Alcoholism
 7 AAC 29 Uniform Alcoholism & Intoxication Treatment Act
 7 AAC 32 Depressant, Hallucinogenic, and Stimulant Drugs
 7 AAC 33 Methadone Programs
 7 AAC 78 Grant Programs

Key Performance Measures for FY2002

Measure: Monitor the indicators in the Governor's Advisory Board on Alcoholism and Drug Abuse (Advisory Board) State Plan for Alcohol and Drug Abuse Services, 1999-2003.

(Not yet addressed by Legislature.)

Current Status:

To the extent possible all indicators have been updated. In their current form several indicators require reassessment as indicators. These indicators include drug and alcohol related convictions, injuries requiring hospitalization, and the rate of chronic and binge drinking.

Benchmark:

The project was begun in FY99.

Background and Strategies:

In FY99 a structured consensus building process culminated in the Governor's Advisory Board on Alcoholism and Drug Abuse (Advisory Board) State Plan for Alcohol and Drug Abuse Services, 1999-2003. This plan defines the results adopted by the Division that same year. As a consequence, the Division evaluates its effectiveness by measurable outcomes for the result "Alaskans living free from the negative consequences of alcohol and other drug use".

To continue our early success, continued structured consensus building processes which integrate and positively address all the needs of the state's population negatively affected by alcohol and other drug abuse are needed.

Measure: By the FY 2002 competitive grant cycle monitor, using the Management Information System and grants management processes, funded programs' compliance with program performance (outcome) reporting.

(Not yet addressed by Legislature.)

Current Status:

Programmatic and administrative grant management functions are beginning the second year of redesigned and testing to provide decision makers with quality program outcome information.

Benchmark:

The evolution and benchmark of outcome based grant funding for the Division began in FY98. This was the first year of a competitive grant cycle in which outcome requirements were in solicitations for grant applications.

Background and Strategies:

In FY98 the Division began to fund alcohol and other drug treatment and prevention services based upon a funded program's proposed outcomes and impacts on target populations. Prior to the implementation of outcome based funding, reporting on program impacts focused on the quantity of services delivered rather than client and community well being, or outcomes which have better meaning.

An extensive audit conducted by the Legislative Audit Division in FY99 documented several weaknesses in our reporting and monitoring functions. Since completion of the audit the Division has been in the process of rewriting the policies and procedures which are used to monitor and administer the Division approved substance abuse treatment and prevention programs.

Several work groups made up of Division staff and external stake holders continue their work on the Division's revised policies and procedures. These efforts have produced positive results in making the substantial change required to use outcome based measurement as the measure of success or failure. The Division intends to continue this structured consensus building process which promotes outcome based monitoring and compliance management practices.

Status of FY2001 Performance Measures

	<i>Achieved</i>	<i>On track</i>	<i>Too soon to tell</i>	<i>Not likely to achieve</i>	<i>Needs modification</i>
<ul style="list-style-type: none"> Monitor the indicators in the Governor's Advisory Board on Alcoholism and Drug Abuse (Advisory Board) State Plan for Alcohol and Drug Abuse Services, 1999-2003. By the FY 2002 competitive grant cycle monitor, using the Management Information System and grants management processes, funded programs compliance with program performance (outcome) reporting. 			X		
			X		

Administration
Component Financial Summary

All dollars in thousands

	FY2000 Actuals	FY2001 Authorized	FY2002 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	1,413.8	2,016.6	2,010.3
72000 Travel	231.6	248.9	305.1
73000 Contractual	835.1	1,207.1	1,249.5
74000 Supplies	32.6	43.9	83.9
75000 Equipment	11.3	12.3	46.7
76000 Land/Buildings	0.0	0.0	0.0
77000 Grants, Claims	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	2,524.4	3,528.8	3,695.5
Funding Sources:			
1002 Federal Receipts	1,042.8	1,816.0	2,035.6
1004 General Fund Receipts	93.9	136.3	137.4
1007 Inter-Agency Receipts	253.6	316.4	314.2
1013 Alcoholism & Drug Abuse Revolving Loan	2.0	2.0	2.0
1037 General Fund / Mental Health	1,107.3	1,132.6	1,131.0
1053 Investment Loss Trust Fund	0.0	0.5	0.0
1092 Mental Health Trust Authority Authorized Receipts	24.8	50.0	0.0
1108 Statutory Designated Program Receipts	0.0	75.0	75.3
Funding Totals	2,524.4	3,528.8	3,695.5

Estimated Revenue Collections

Description	Master Revenue Account	FY2000 Actuals	FY2001 Authorized	FY2001 Cash Estimate	FY2002 Governor	FY2003 Forecast
Unrestricted Revenues						
None.		0.0	0.0	0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0	0.0	0.0
Restricted Revenues						
Federal Receipts	51010	1,042.8	1,816.0	1,549.6	2,035.6	1,180.4
Interagency Receipts	51015	253.6	316.4	139.0	314.2	139.0
Statutory Designated Program Receipts	51063	0.0	75.0	75.0	75.3	75.0
Alcohol/Drug Abuse Revolving Loan Fund	51377	2.0	2.0	2.0	2.0	2.0
Mental Health Trust Authority Auth.Rec.	51410	24.8	50.0	50.0	0.0	0.0
Restricted Total		1,323.2	2,259.4	1,815.6	2,427.1	1,396.4

Description	Master Revenue Account	FY2000 Actuals	FY2001 Authorized	FY2001 Cash Estimate	FY2002 Governor	FY2003 Forecast
Total Estimated Revenues		1,323.2	2,259.4	1,815.6	2,427.1	1,396.4

Administration

Proposed Changes in Levels of Service for FY2002

Summary of Component Budget Changes
From FY2001 Authorized to FY2002 Governor

All dollars in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2001 Authorized	1,269.4	1,818.0	441.4	3,528.8
Adjustments which will continue current level of service:				
-Fetal Alcohol Syndrome Operating Adjustments (RP6-1-0002)	0.0	173.0	0.0	173.0
-FAS/ARBD administration	0.0	50.0	-50.0	0.0
-Year 2 Labor Costs - Net Change from FY2001	-1.0	-3.4	-1.9	-6.3
FY2002 Governor	1,268.4	2,037.6	389.5	3,695.5

Administration

Personal Services Information

Authorized Positions			Personal Services Costs	
	FY2001 Authorized	FY2002 Governor		
Full-time	30	30	Annual Salaries	1,508,983
Part-time	1	1	COLA	23,437
Nonpermanent	0	0	Premium Pay	54,872
			Annual Benefits	520,160
			<i>Less 4.61% Vacancy Factor</i>	<i>(97,152)</i>
			Lump Sum Premium Pay	0
Totals	31	31	Total Personal Services	2,010,300

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Administrative Assistant	0	0	1	0	1
Administrative Clerk II	0	0	2	0	2
Administrative Clerk III	1	0	0	0	1
Administrative Manager III	0	0	1	0	1
Alcohol Prog Administrator	0	0	1	0	1
Analyst/Programmer III	0	0	1	0	1
Analyst/Programmer IV	0	0	1	0	1
Assoc Coordinator	2	0	2	0	4
Division Director	0	0	1	0	1
Grants Administrator II	0	0	3	0	3
Health Program Mgr III	1	0	0	0	1
Hlth Facil Surv I	4	0	1	0	5
Hlth Facil Surv II	1	0	0	0	1
Micro/Network Tech II	0	0	1	0	1
Mntl Hlth Clinician III	1	0	0	0	1
Project Asst	0	0	1	0	1
Project Coord	0	0	1	0	1
Project Manager	0	0	1	0	1
Research Analyst III	0	0	1	0	1
Research Analyst IV	0	0	1	0	1
Secretary	0	0	1	0	1
Totals	10	0	21	0	31